

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 6,853,690 B1
APPLICATION NO. : 09/525615
DATED : February 8, 2005
INVENTOR(S) : Sorrells et al.

Page 1 of 147

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page should be deleted and substitute therefor the attached title page as shown on the attached title page.

Drawings

Please replace all of the drawings with the attached 144 pages of formal drawings.

Column 5

In line 65, please replace "FIGS. 55A-D illustrates" with --FIGS. 55A-D, which includes FIGs. 55A, FIGs. 55B1-55B4, FIGs. 55C1-55C3, and FIG. 55D, illustrates--.

In line 67, after "invention;," please insert -- FIGs. 55B1-55B4 should be referred to for all references to FIG. 55B in the specification; FIGs. 55C1-55C3 should be referred to for all references to FIG. 55C in the specification; --.

Column 6

In line 45, please replace "FIG. 70A illustrates" with -- FIG. 70A, which includes FIG. 70A1 and FIG. 70A2, illustrates --.

In line 46, after "invention;," please insert -- FIGs. 70A1 and 70A2 should be referred to for all references to FIG. 70 in the specification; --.

In line 52, please replace "FIG. 70E illustrates" with -- FIG. 70E, which includes FIG. 70E1 and 70E2, illustrates --.

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Page 2 of 147

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 6 (continued)

In line 53, after "invention;"; please insert -- FIGs. 70E1 and 70E2 should be referred to for all references to FIG. 70 in the specification; --.

Signed and Sealed this

Twenty-second Day of January, 2008

A handwritten signature in black ink, reading "Jon W. Dudas". The signature is stylized, with a large, looped initial "J" and a distinct "D" at the end.

JON W. DUDAS
Director of the United States Patent and Trademark Office

(12) **United States Patent**
Sorrells et al.

(10) Patent No.: **US 6,853,690 B1**
(45) Date of Patent: **Feb. 8, 2005**

(54) **METHOD, SYSTEM AND APPARATUS FOR BALANCED FREQUENCY UP-CONVERSION OF A BASEBAND SIGNAL AND 4-PHASE RECEIVER AND TRANSCEIVER EMBODIMENTS**

(75) Inventors: David F. Sorrells, Jacksonville, FL (US); Michael J. Bultman, Jacksonville, FL (US); Robert W. Cook, Switzerland, FL (US); Richard C. Looka, Jacksonville, FL (US); Charley D. Moses, Jr., Jacksonville, FL (US); Gregory S. Rawlins, Lake Mary, FL (US); Michael W. Rawlins, Lake Mary, FL (US)

(73) Assignee: ParkerVision, Inc., Jacksonville, FL (US)

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: 09/525,615

(22) Filed: Mar. 14, 2000

Related U.S. Application Data

(60) Provisional application No. 60/177,381, filed on Jan. 24, 2000, provisional application No. 60/171,502, filed on Dec. 22, 1999, provisional application No. 60/177,705, filed on Jan. 24, 2000, provisional application No. 60/129,839, filed on Apr. 16, 1999, provisional application No. 60/158,047, filed on Oct. 7, 1999, provisional application No. 60/171,349, filed on Dec. 21, 1999, provisional application No. 60/177,702, filed on Jan. 24, 2000, provisional application No. 60/180,667, filed on Feb. 7, 2000, and provisional application No. 60/171,496, filed on Dec. 22, 1999.

(51) Int. Cl.⁷ H04L 27/04; H04L 27/12; H04L 27/20

(52) U.S. Cl. 375/295; 375/298; 375/259; 375/256; 455/76; 455/91

(58) Field of Search 375/295-296, 375/298, 309-312, 256, 259, 268; 455/118, 323, 313, 76, 91

(56) References Cited

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4,132,952 A 1/1979 Hongu et al.

(List continued on next page.)

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Simoni, A. et al., "A Single-Chip Optical Sensor with Analog Memory for Motion Detection," *IEEE Journal of Solid-State Circuits*, IEEE, vol. 30, No. 7, pp. 800-806 (Jul. 1995).

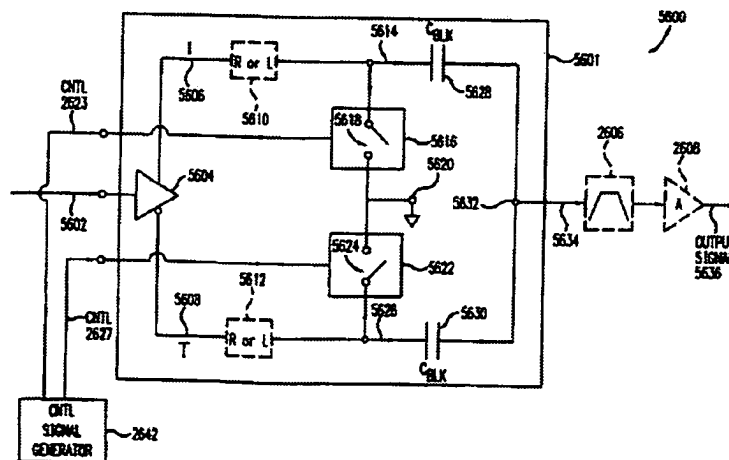
(List continued on next page.)

Primary Examiner—Phuong Phu

(74) Attorney, Agent, or Firm—Sterne, Kessler, Goldstein & Fox PLLC

(57) ABSTRACT

A balanced transmitter up-converts a baseband signal directly from baseband-to-RF. The up-conversion process is sufficiently linear that no IF processing is required, even in communications applications that have stringent requirements on spectral growth. In operation, the balanced modulator sub-harmonically samples the baseband signal in a balanced and differential manner, resulting in harmonically rich signal. The harmonically rich signal contains multiple harmonic images that repeat at multiples of the sampling frequency, where each harmonic contains the necessary information to reconstruct the baseband signal. The differential sampling is performed according to a first and second control signals that are phase shifted with respect to each other. In embodiments of the invention, the control signals have pulse widths (or apertures) that operate to improve energy transfer to a desired harmonic in the harmonically rich signal. A bandpass filter can then be utilized to select the desired harmonic of interest from the harmonically rich signal. The sampling modules that perform the sampling can



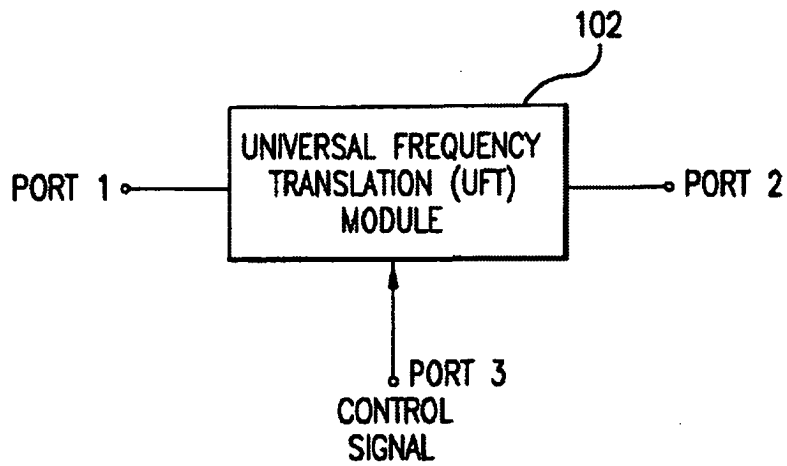


FIG. 1A

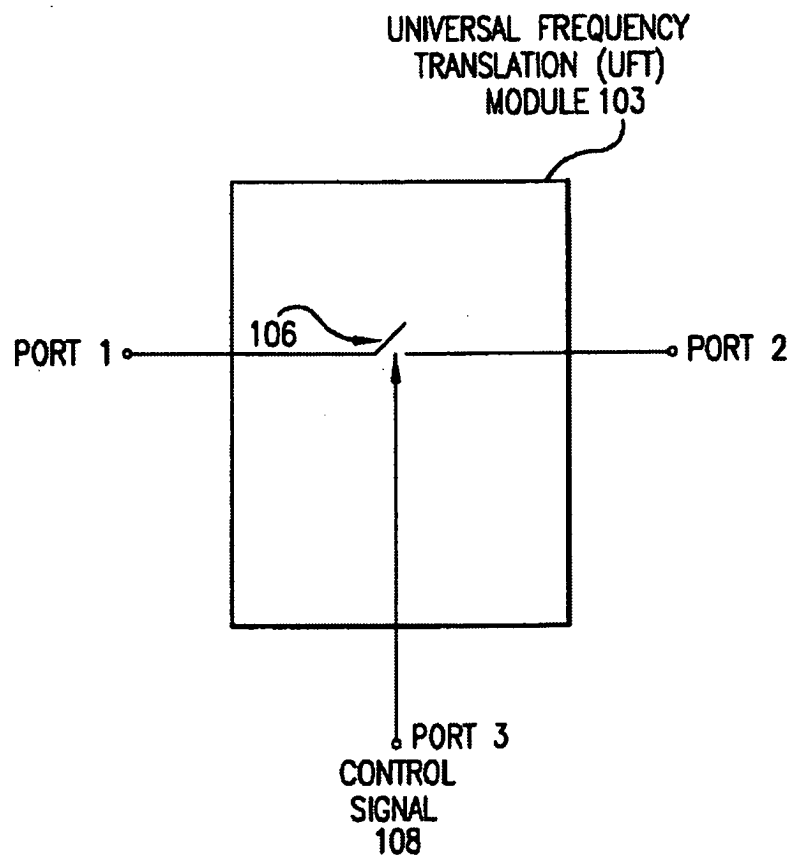


FIG. 1B

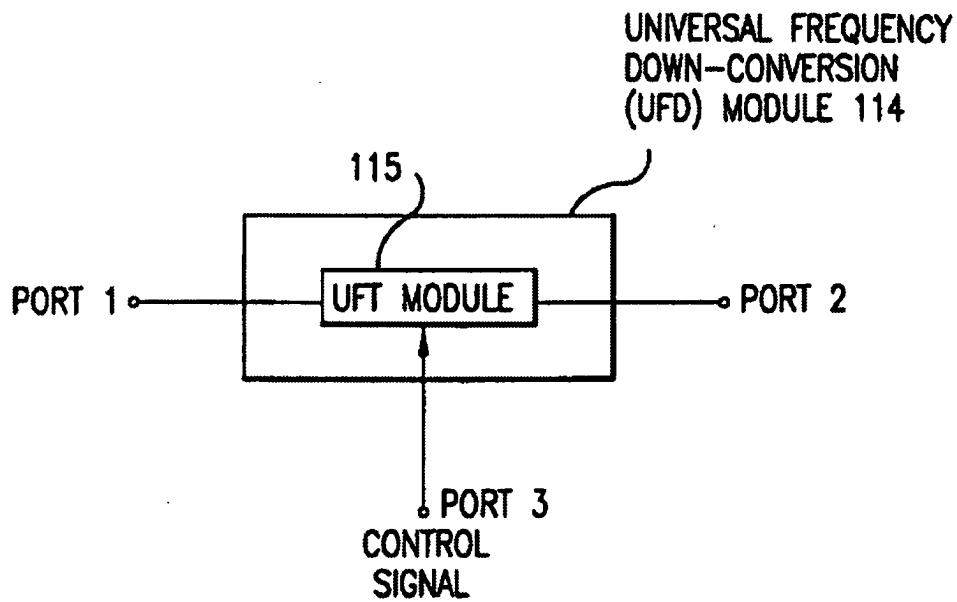


FIG. 1C

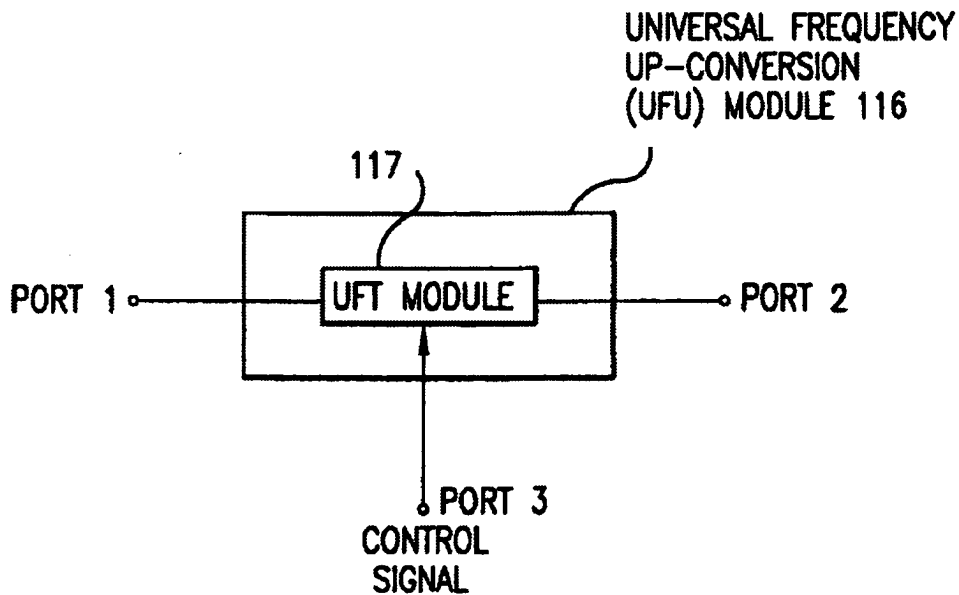
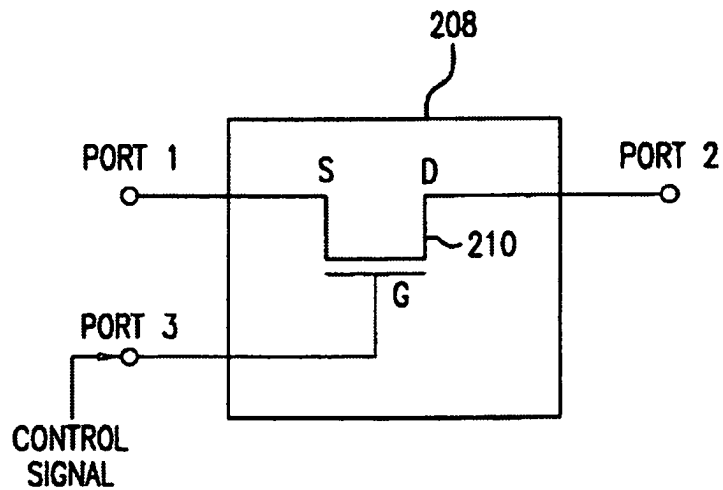
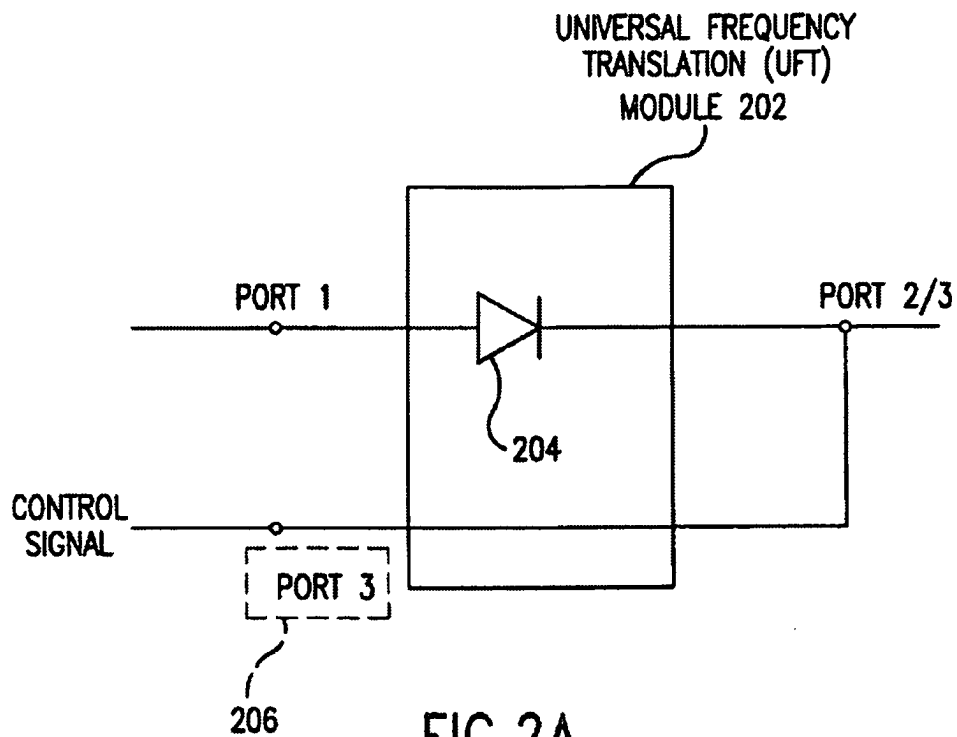


FIG. 1D



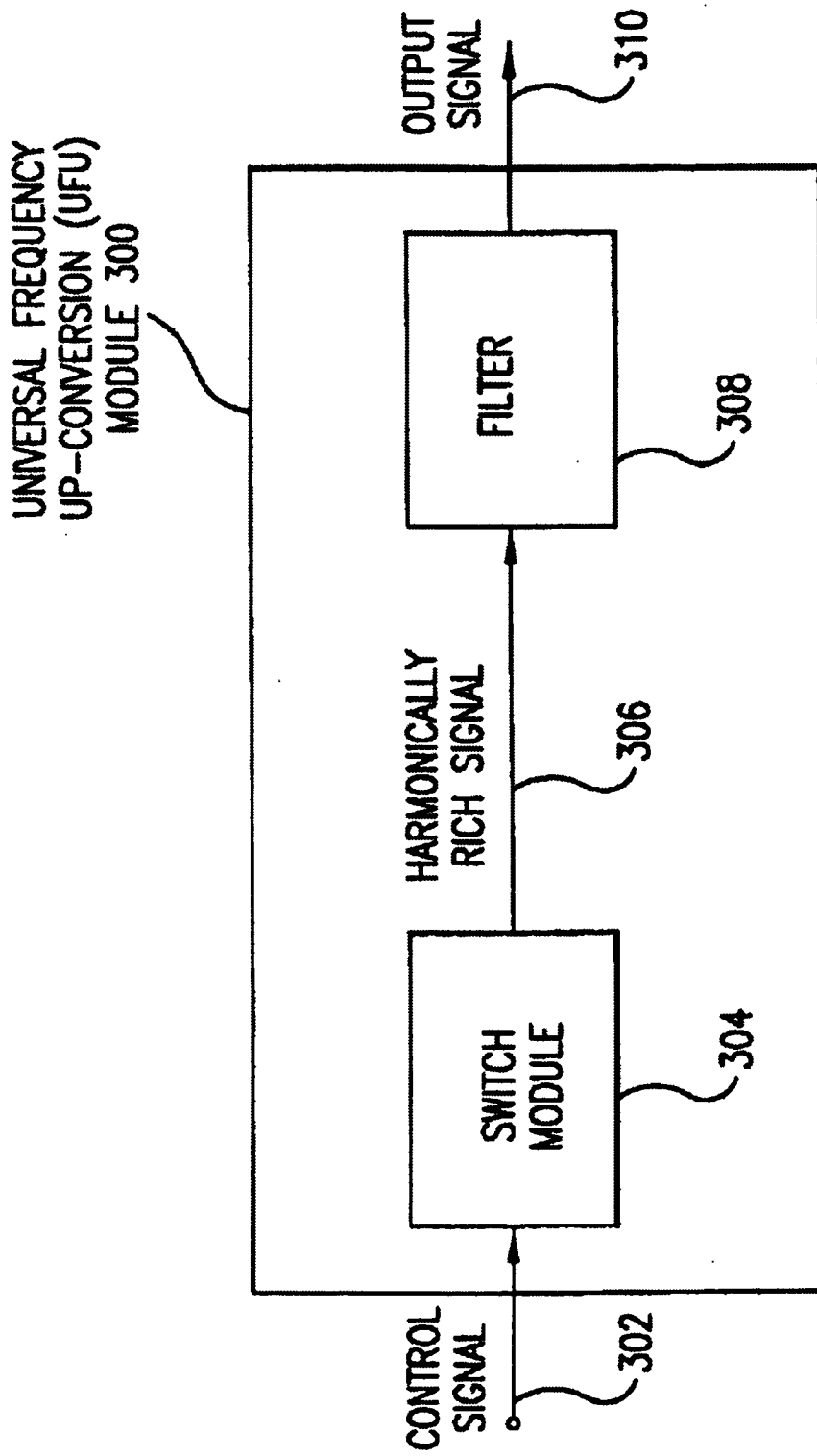


FIG. 3

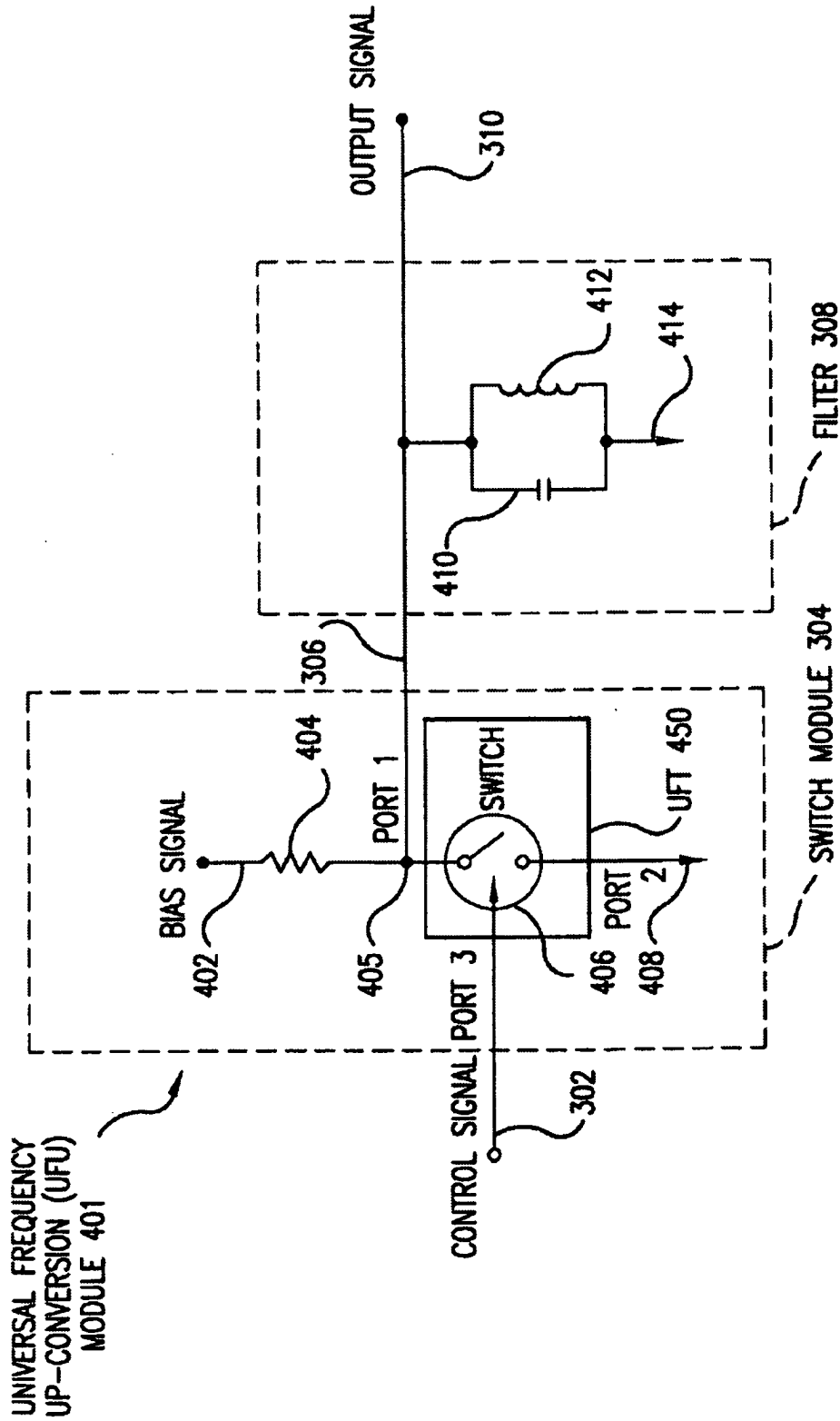


FIG. 4

UNIVERSAL FREQUENCY
UP-CONVERSION
(UFU) MODULE 590

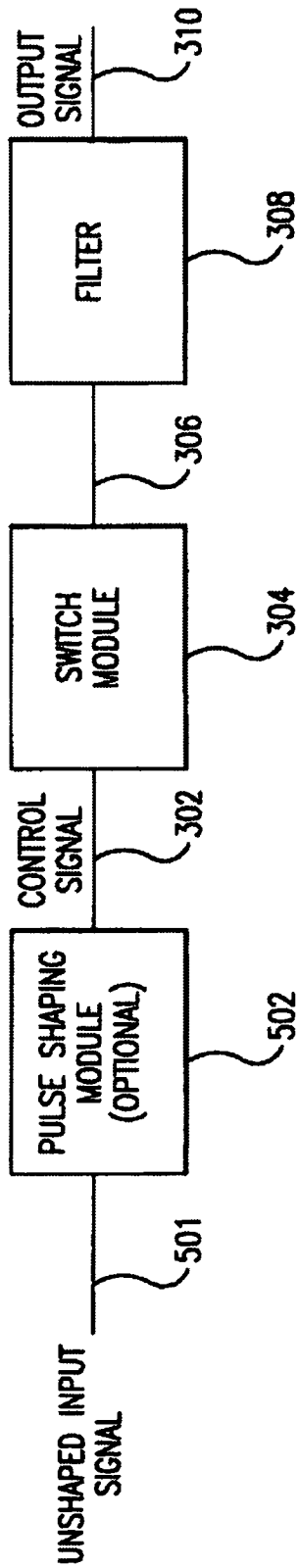
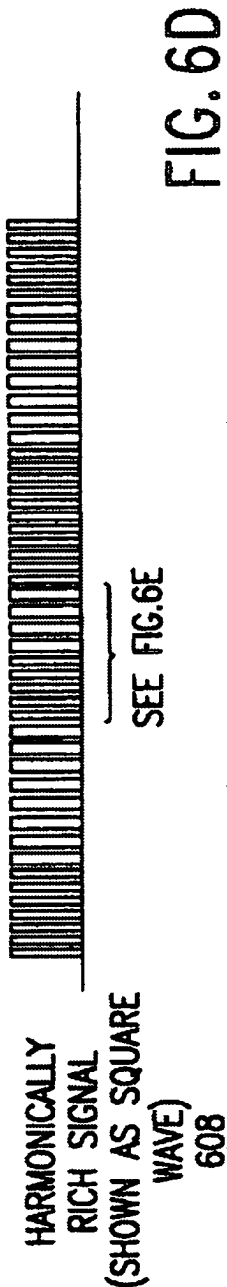
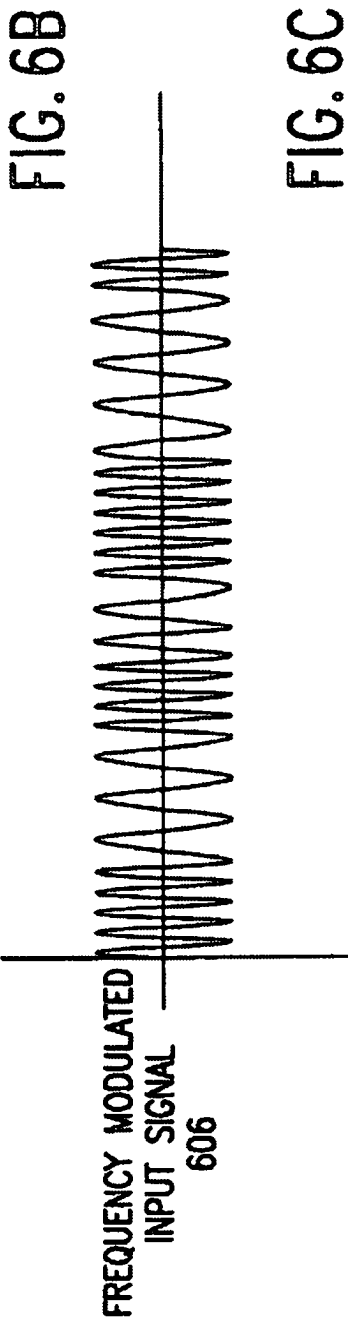
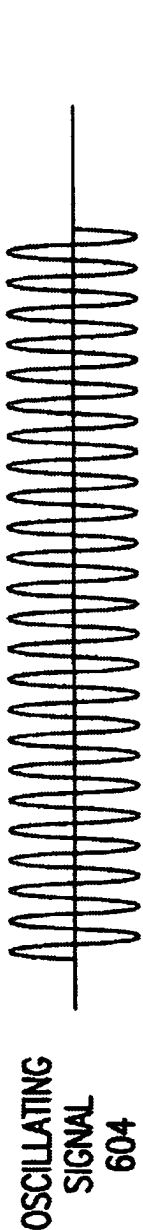
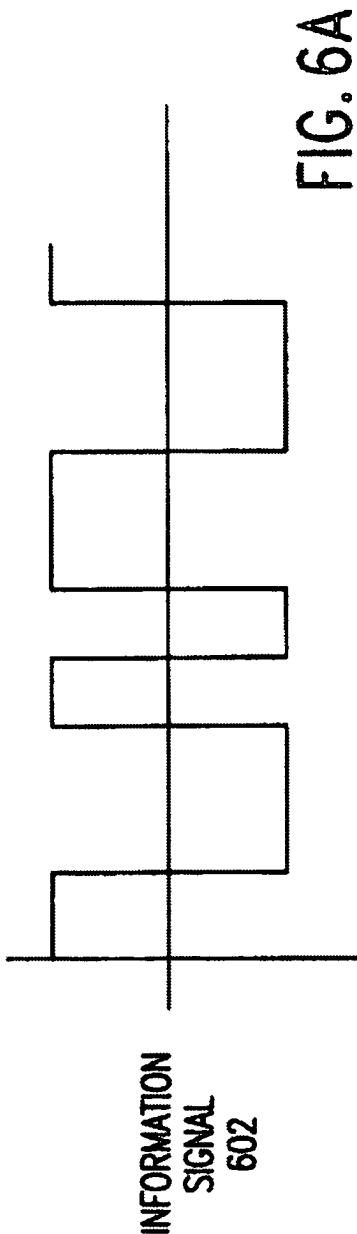
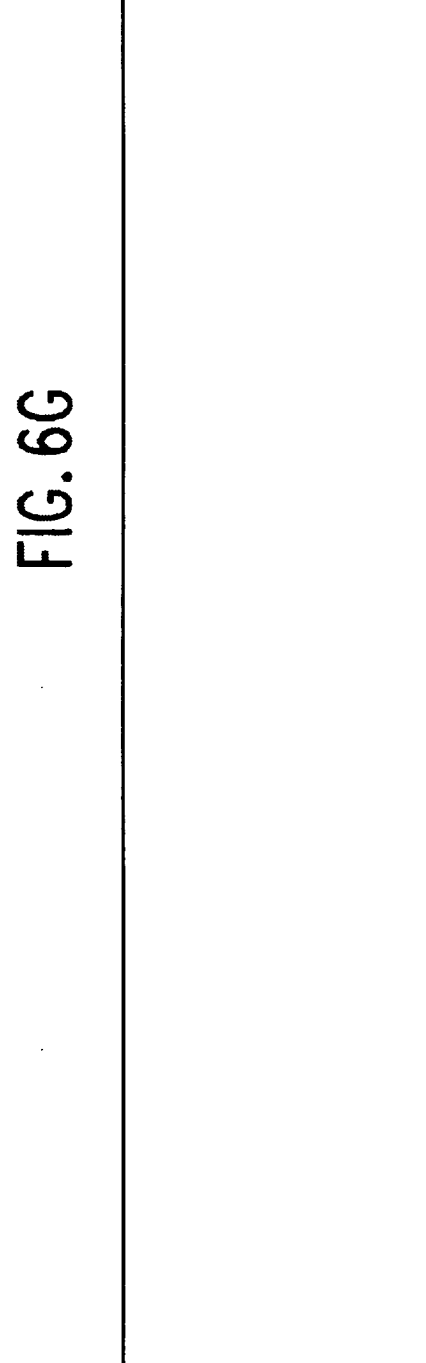
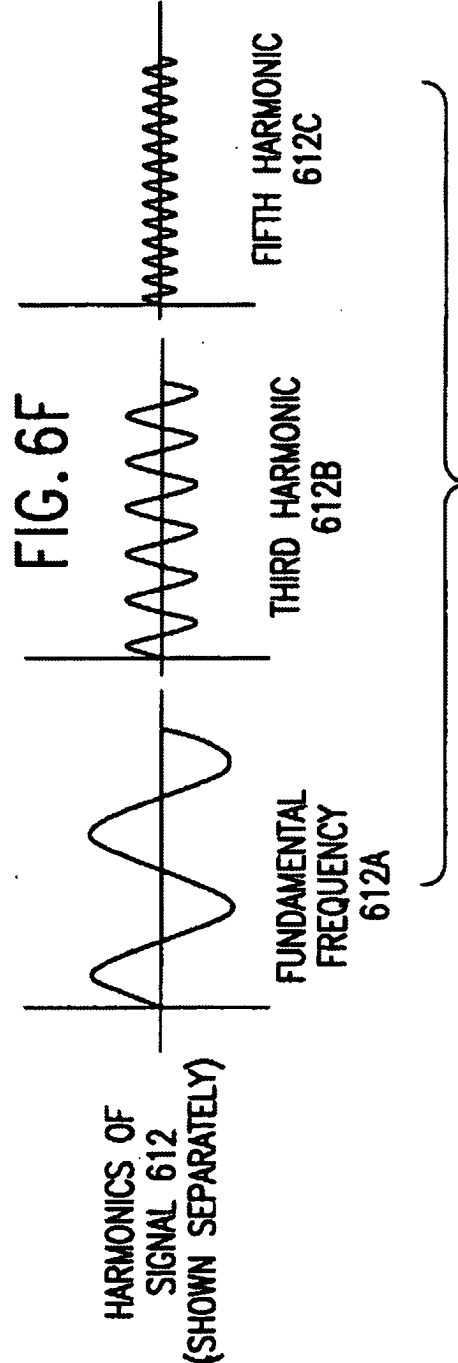
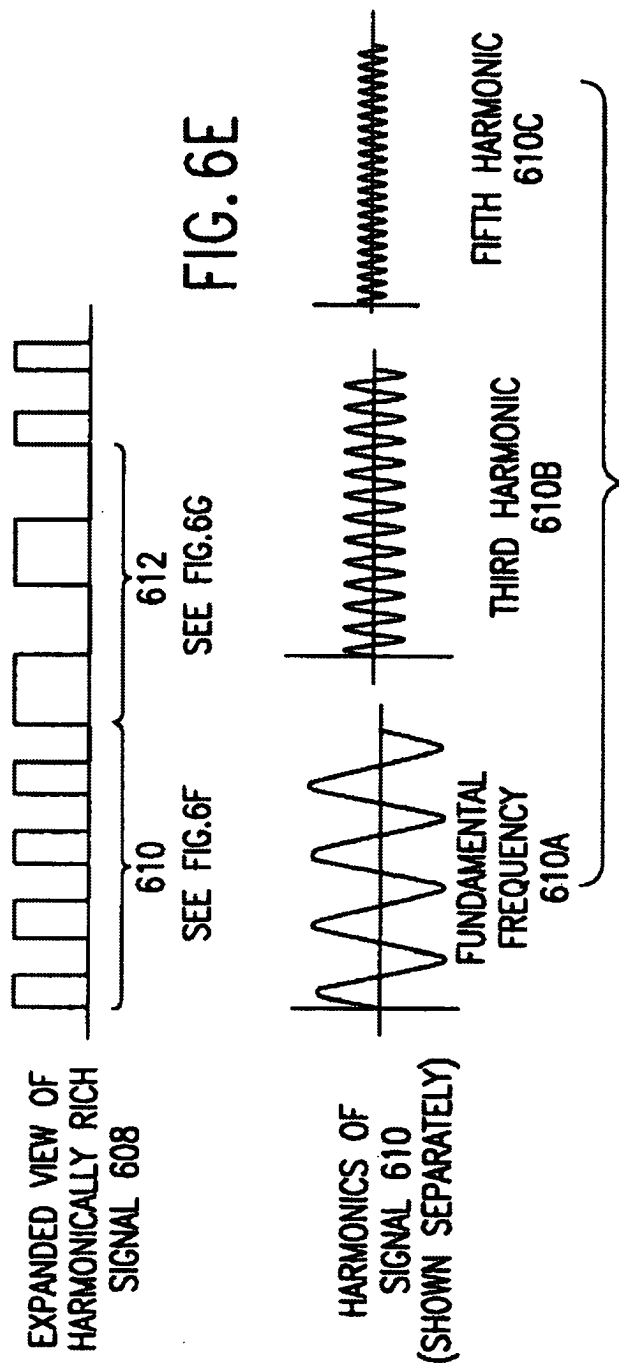
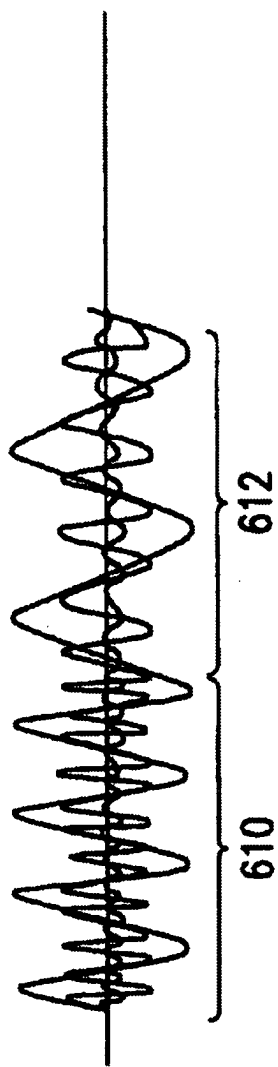


FIG. 5

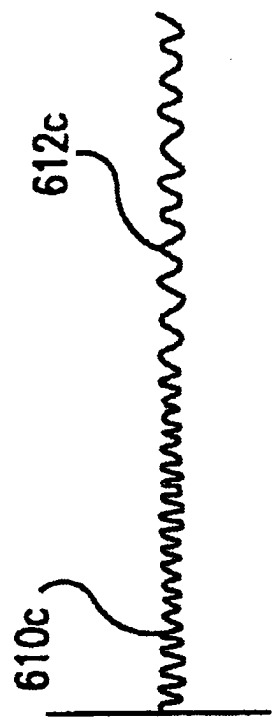






HARMONICS OF
SIGNALS 5410 AND
612
(SHOWN SIMULTANEOUSLY
BUT NOT SUMMED)

FIG. 6H



FILTERED
OUTPUT
SIGNAL
614

FIG. 6I

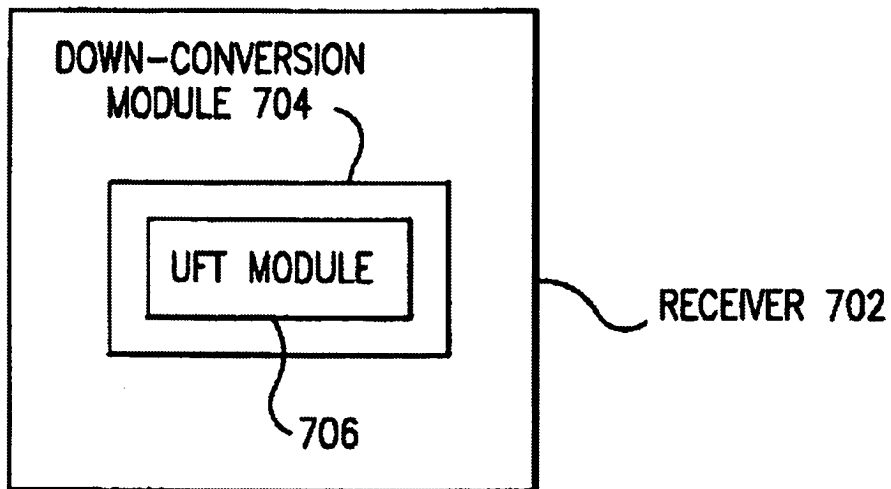


FIG. 7

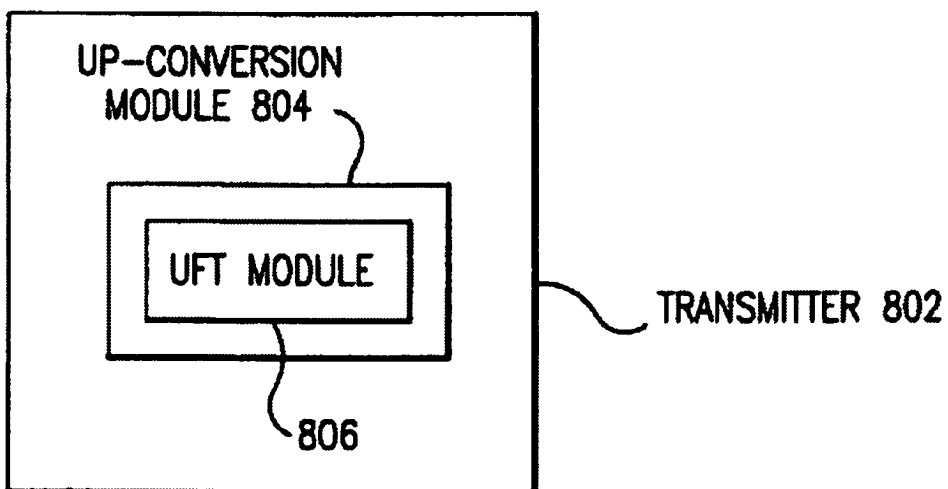


FIG. 8

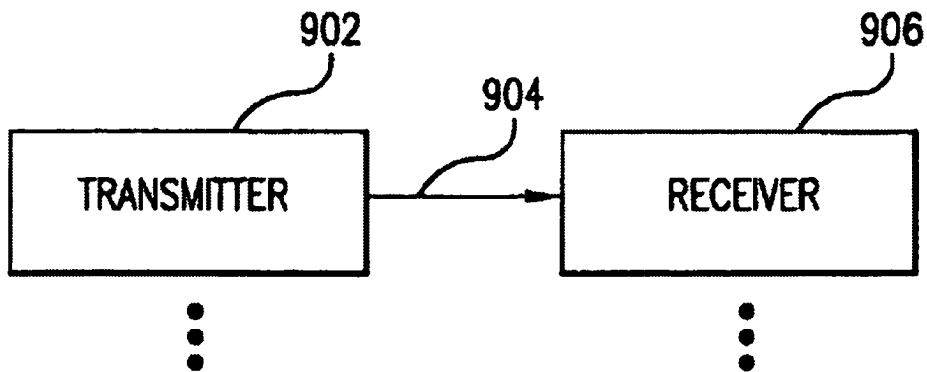


FIG. 9

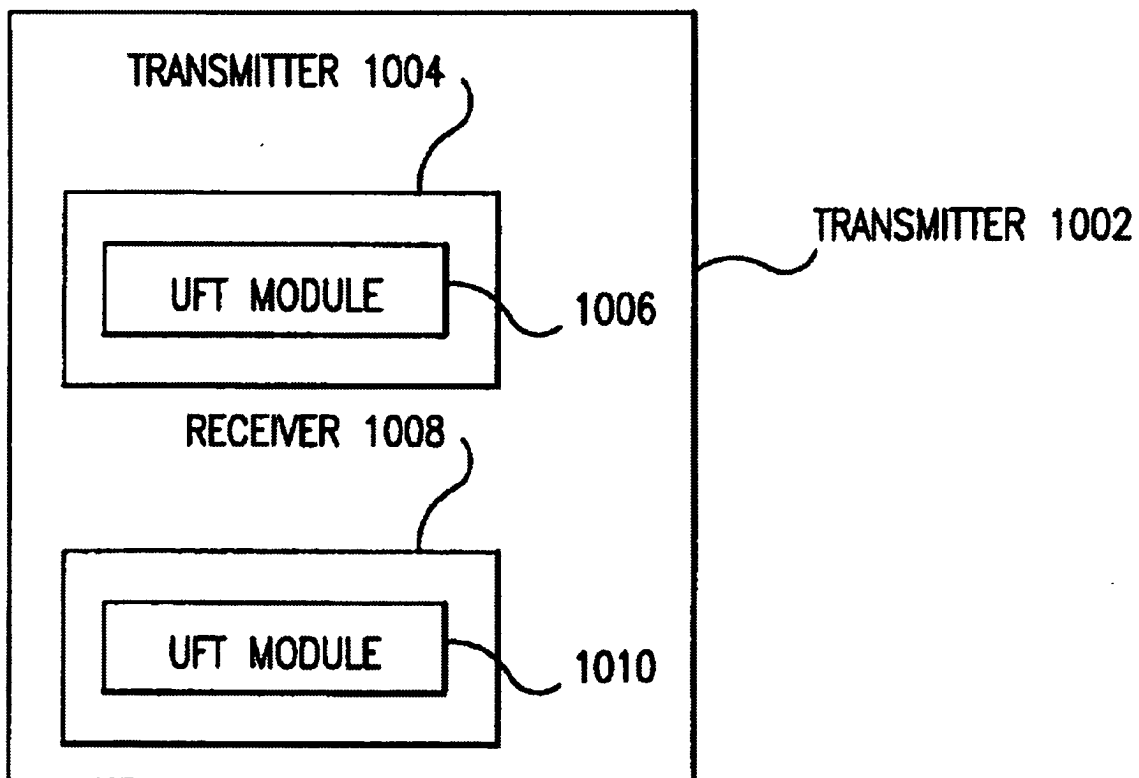


FIG. 10

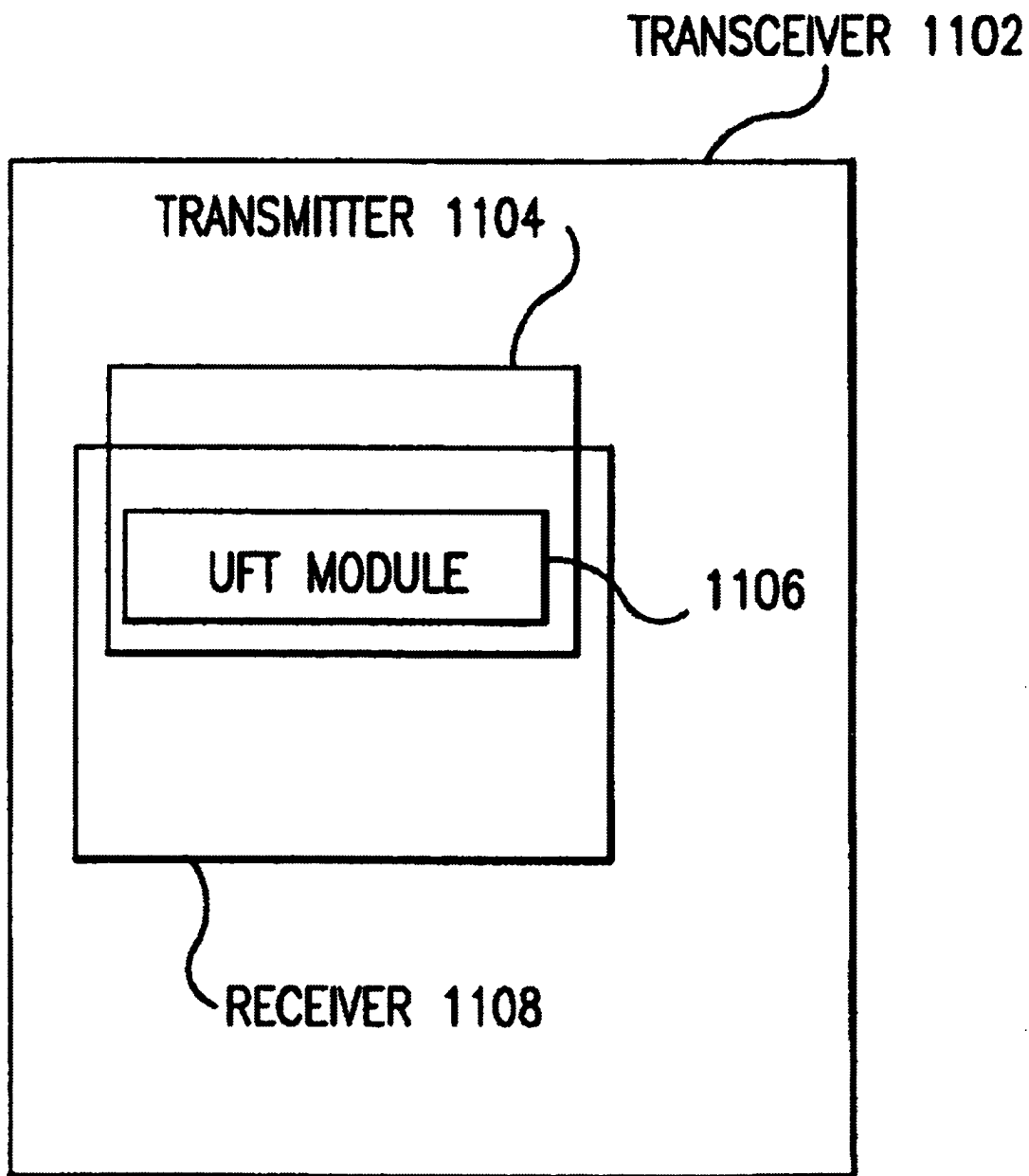


FIG. 11

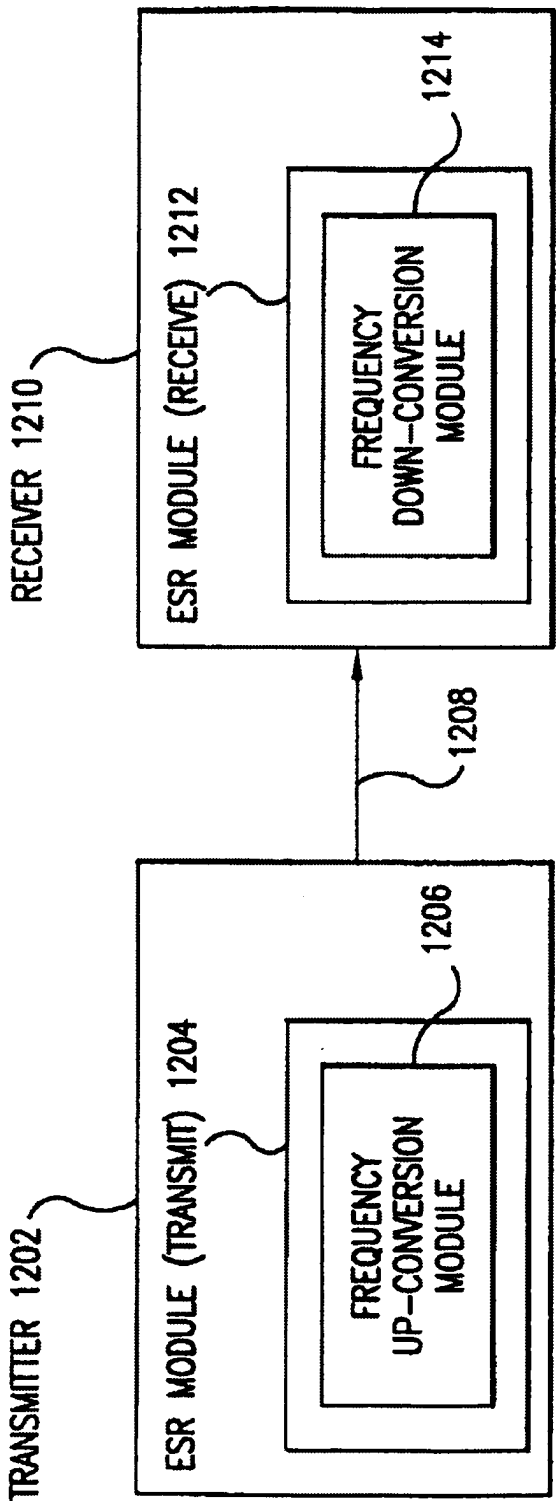


FIG. 12

UNIFIED DOWN-CONVERTING
AND FILTERING (UDF) MODULE 1302

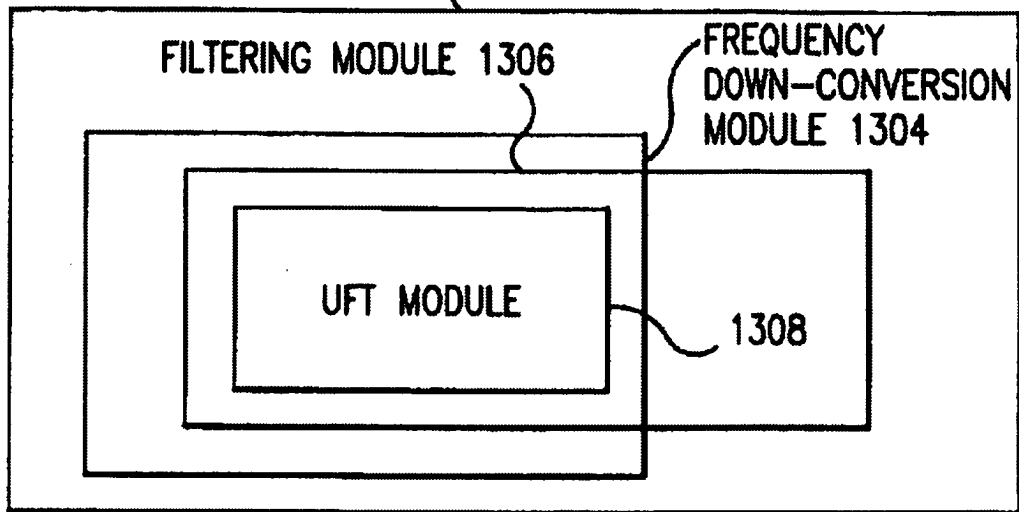


FIG. 13

RECEIVER 1402

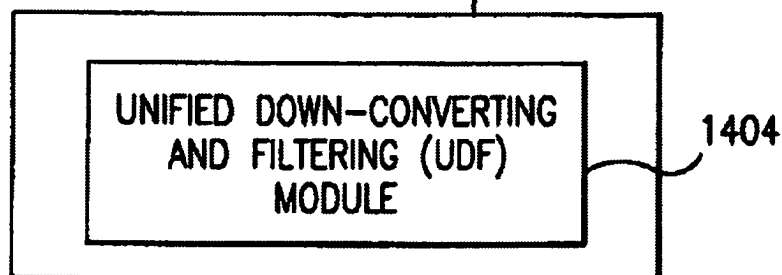


FIG. 14

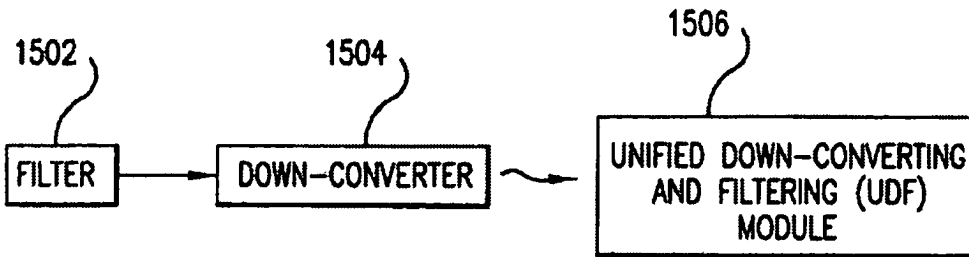


FIG. 15A

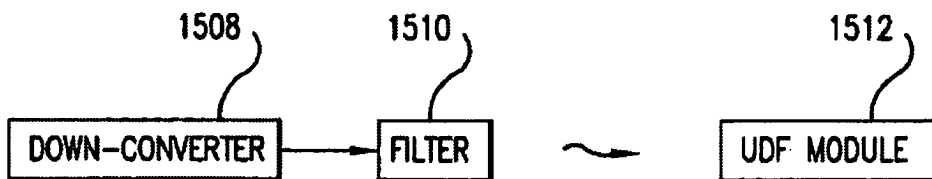


FIG. 15B

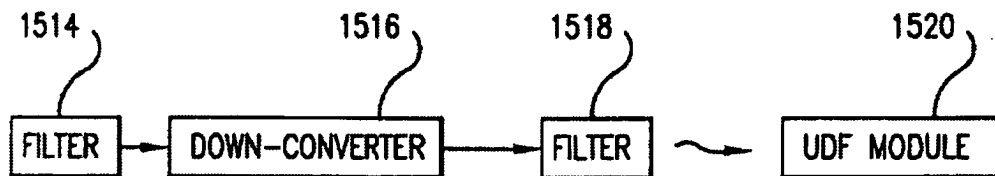


FIG. 15C



FIG. 15D



FIG. 15E



FIG. 15F

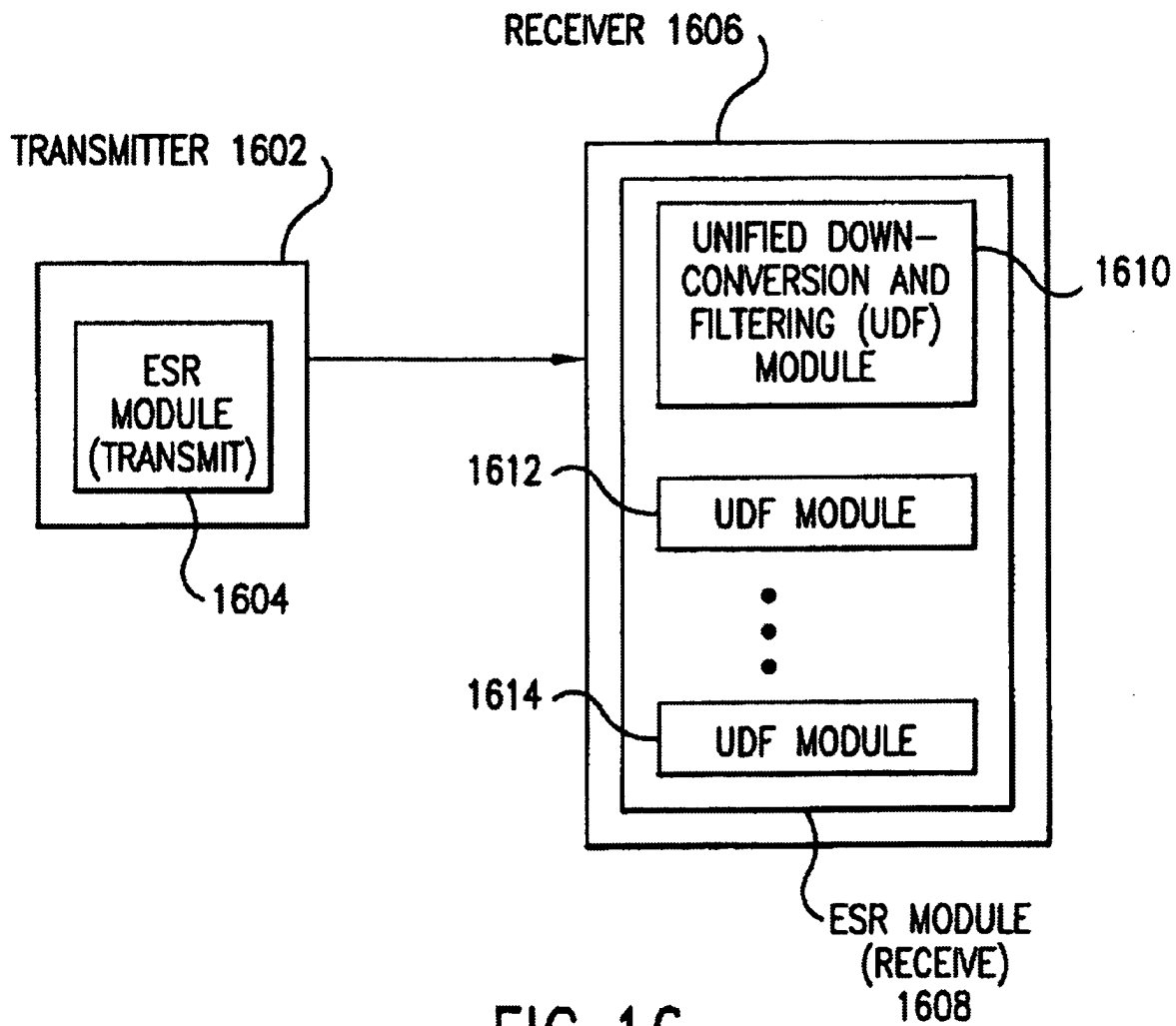


FIG. 16

UNIFIED DOWNCONVERTING AND
FILTERING (UDF) MODULE 1702

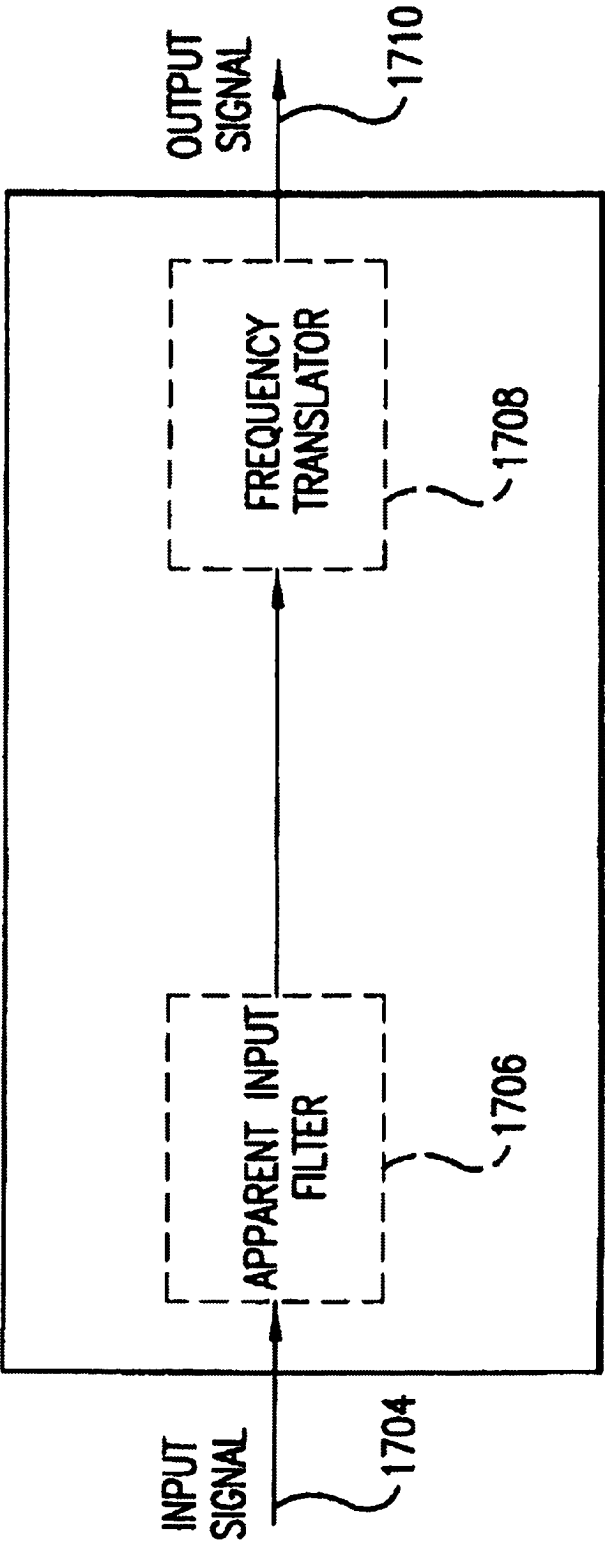


FIG. 17

TIME NODE	$t-1$ (RISING EDGE OF ϕ_1)	$t-1$ (RISING EDGE OF ϕ_2)	t (RISING EDGE OF ϕ_1)	t (RISING EDGE OF ϕ_2)	$t+1$ (RISING EDGE OF ϕ_1)
1902	V_{t-1} 1804	V_{t-1} 1808	V_t 1816	V_t 1826	V_{t+1} 1838
1904	—	V_{t-1} 1810	V_{t-1} 1818	V_t 1828	V_t 1840
1906	VO_{t-1} 1806	VO_{t-1} 1812	VO_t 1820	VO_t 1830	VO_{t+1} 1842
1908	—	VO_{t-1} 1814	VO_{t-1} 1822	VO_t 1832	VO_t 1844
1910	— 1807	—	VO_{t-1} 1824	VO_{t-1} 1834	VO_t 1846
1912	—	— 1815	—	VO_{t-1} 1836	VO_{t-1} 1848
1918	—	—	—	—	$V_t - 0.1 * VO_t - 0.8 * VO_{t-1}$ 1850

FIG. 18

1802



INPUT FILTER 1706

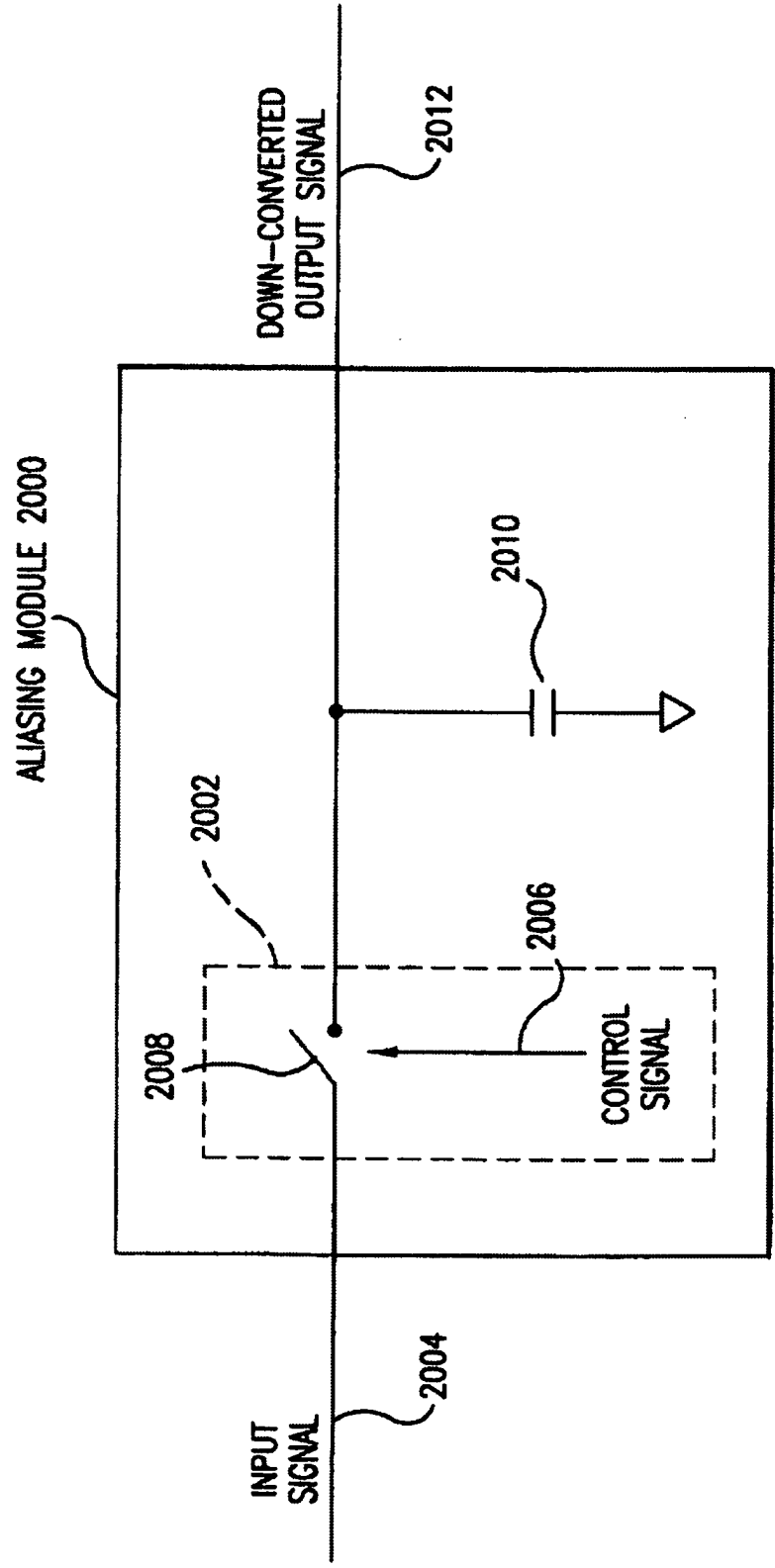


FIG. 20A

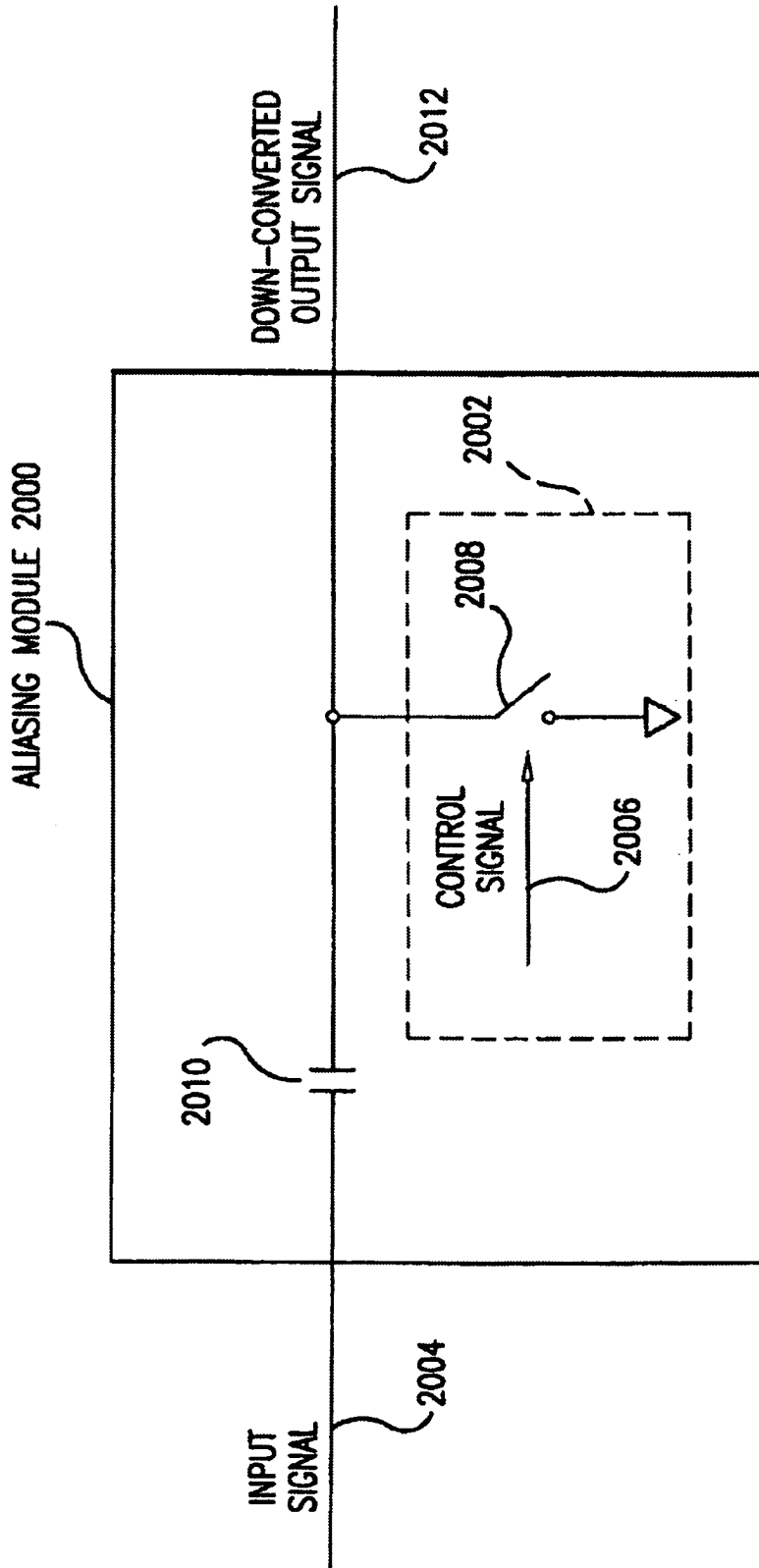


FIG. 20A-1

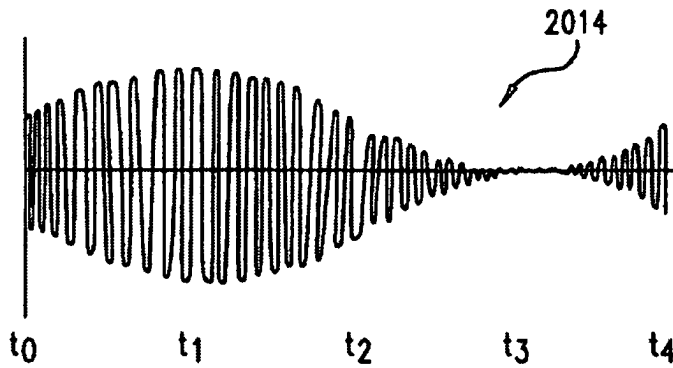


FIG. 20B

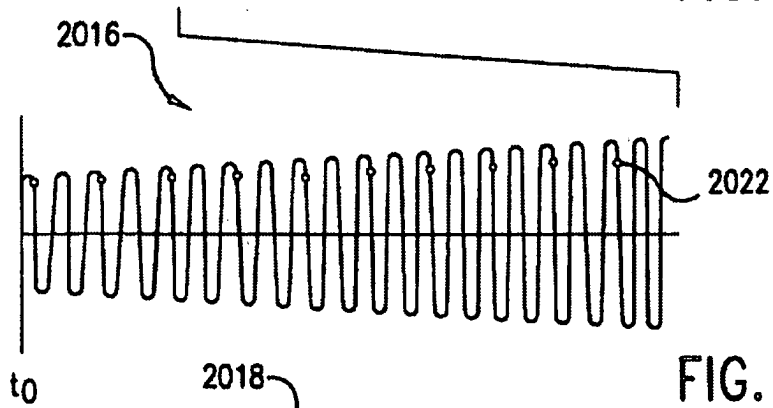


FIG. 20C



FIG. 20D

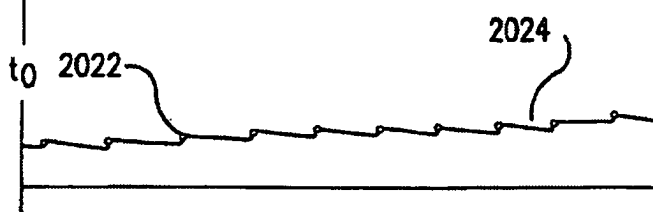


FIG. 20E

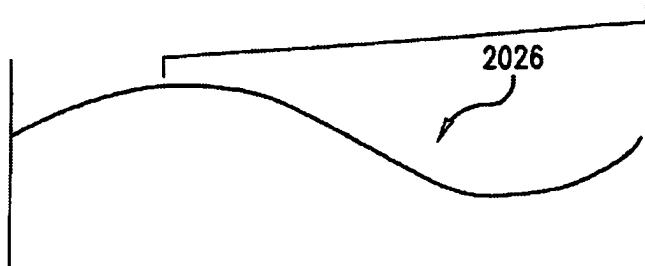


FIG. 20F

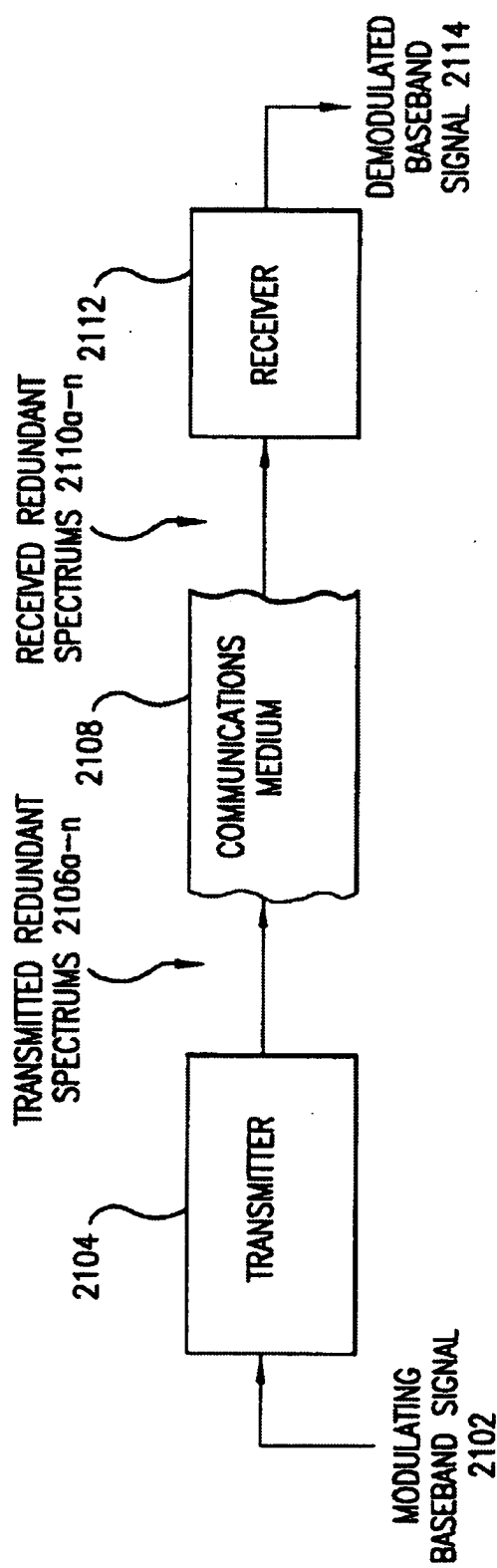


FIG. 21



FIG. 22A

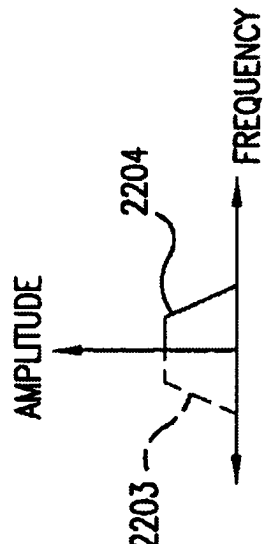


FIG. 22B

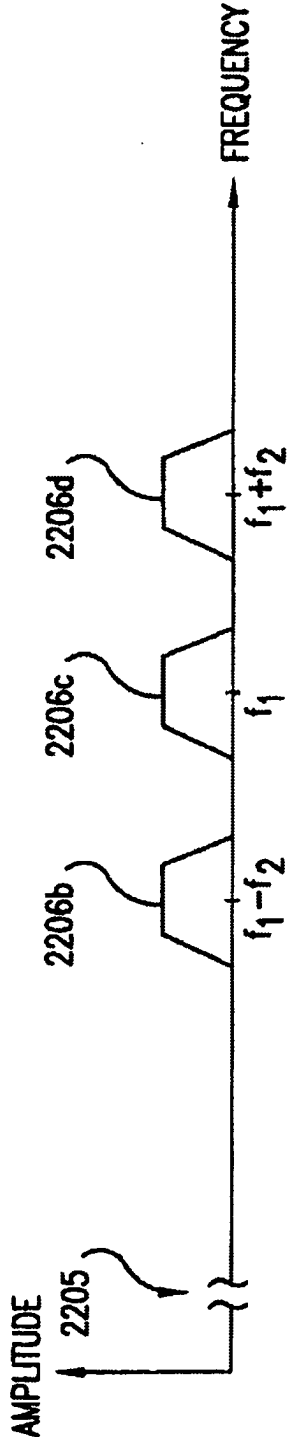


FIG. 22C

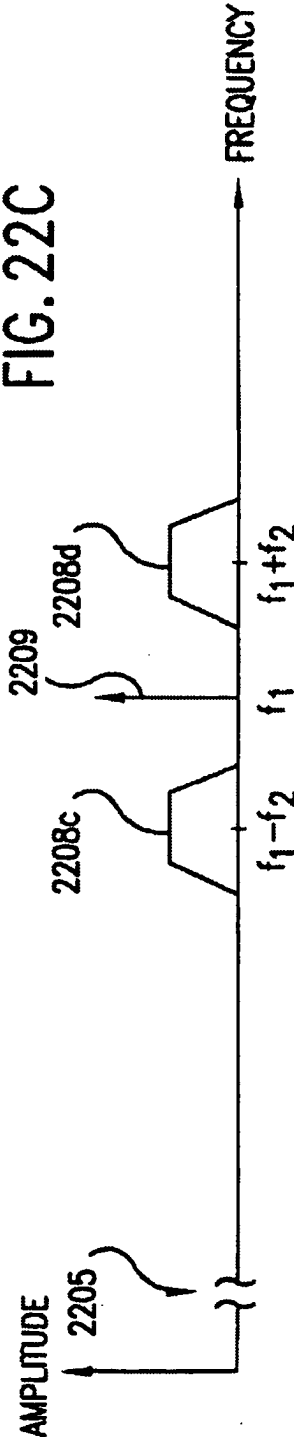


FIG. 22D

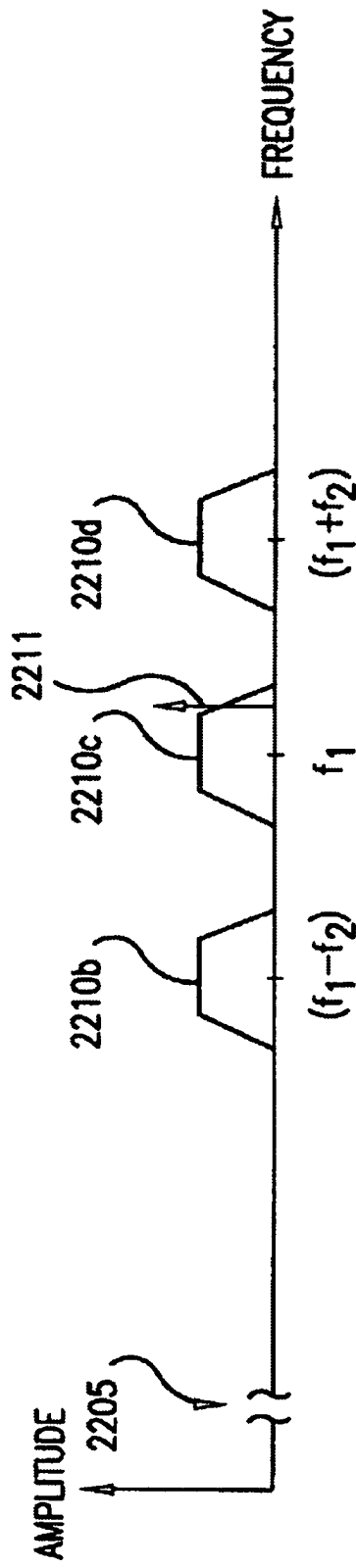


FIG. 22E

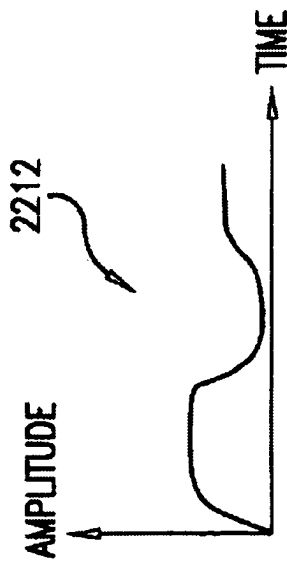


FIG. 22F

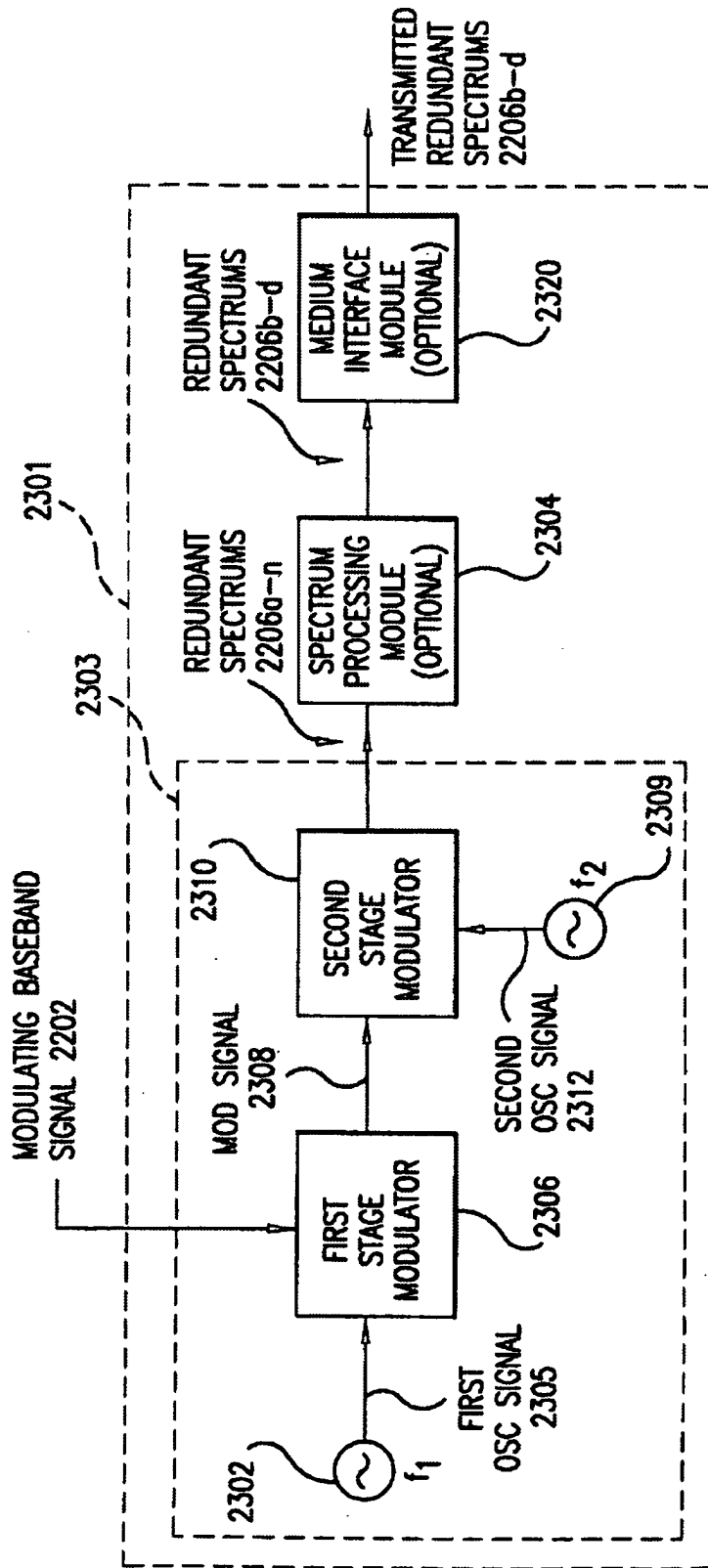


FIG. 23A

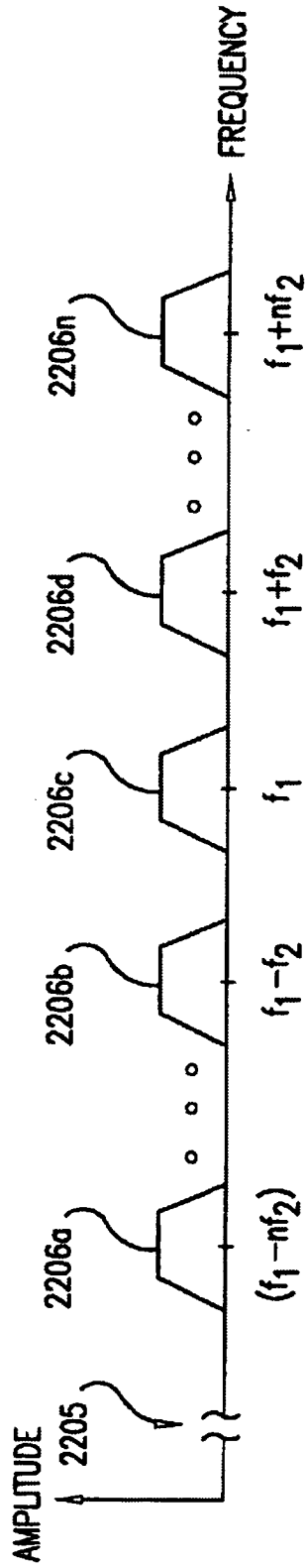


FIG. 23B

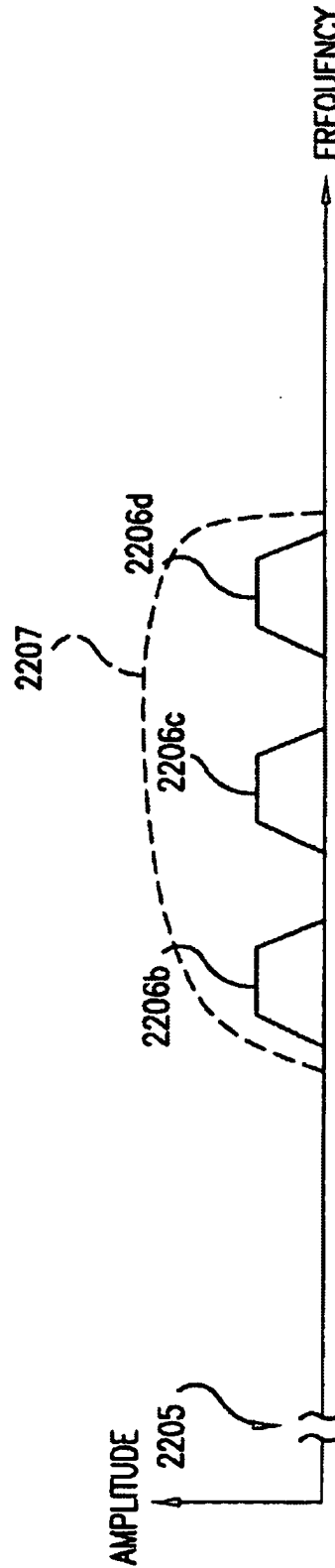


FIG. 23C

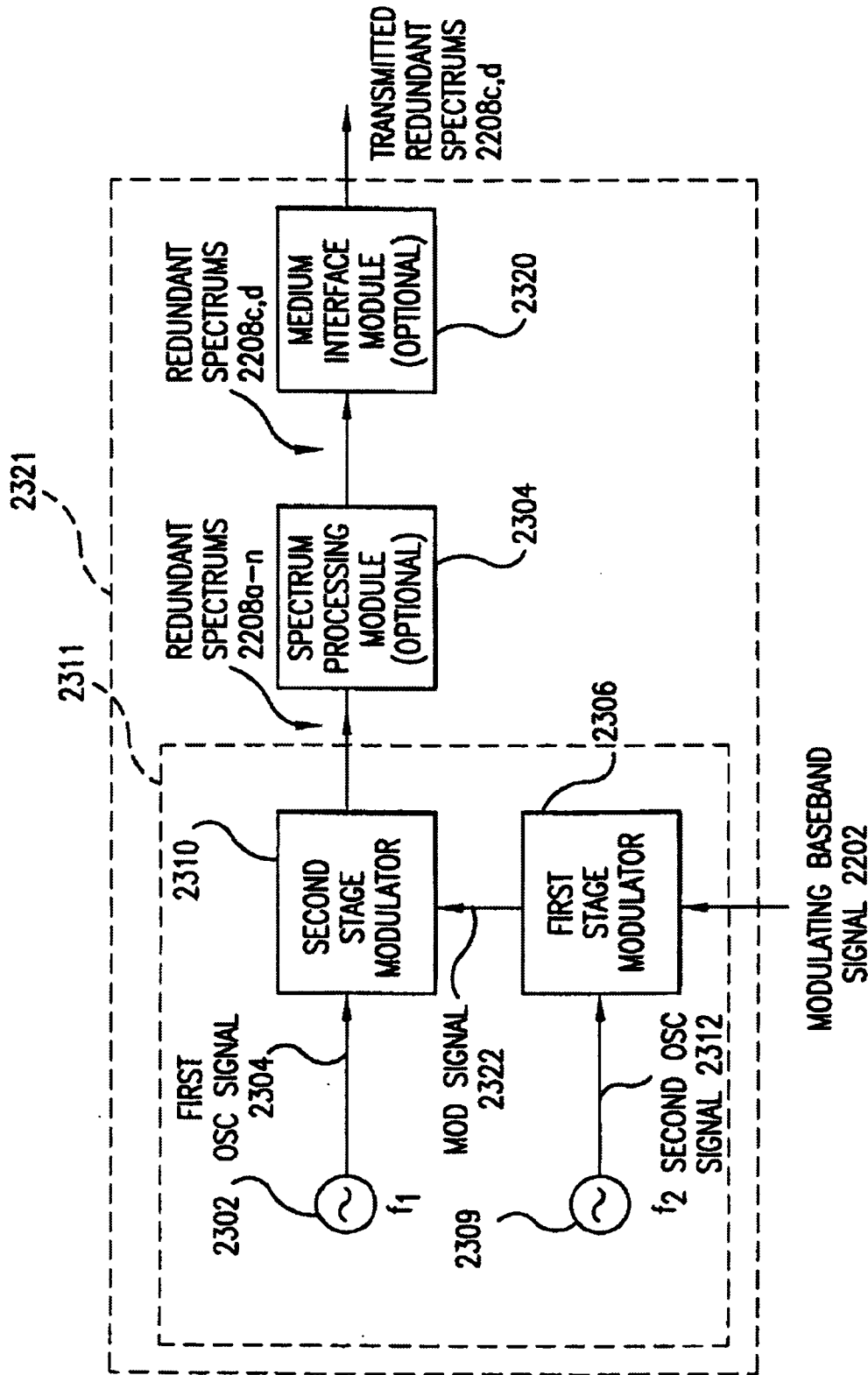


FIG. 23D

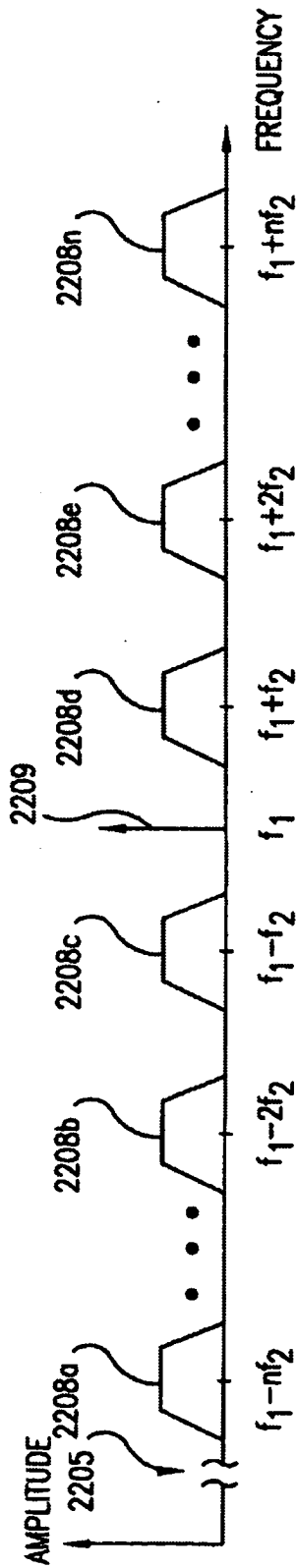


FIG. 23E

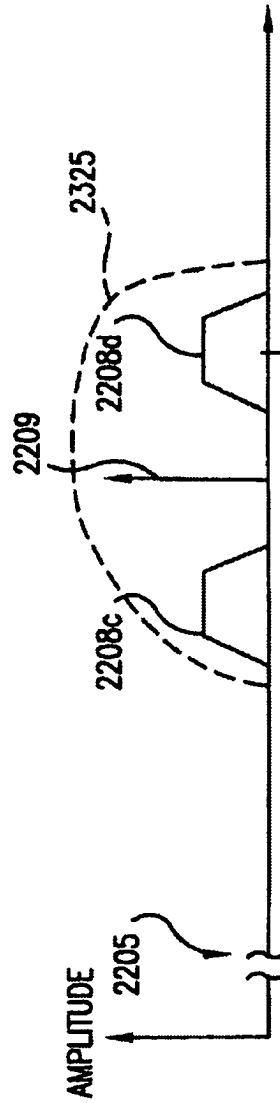


FIG. 23F

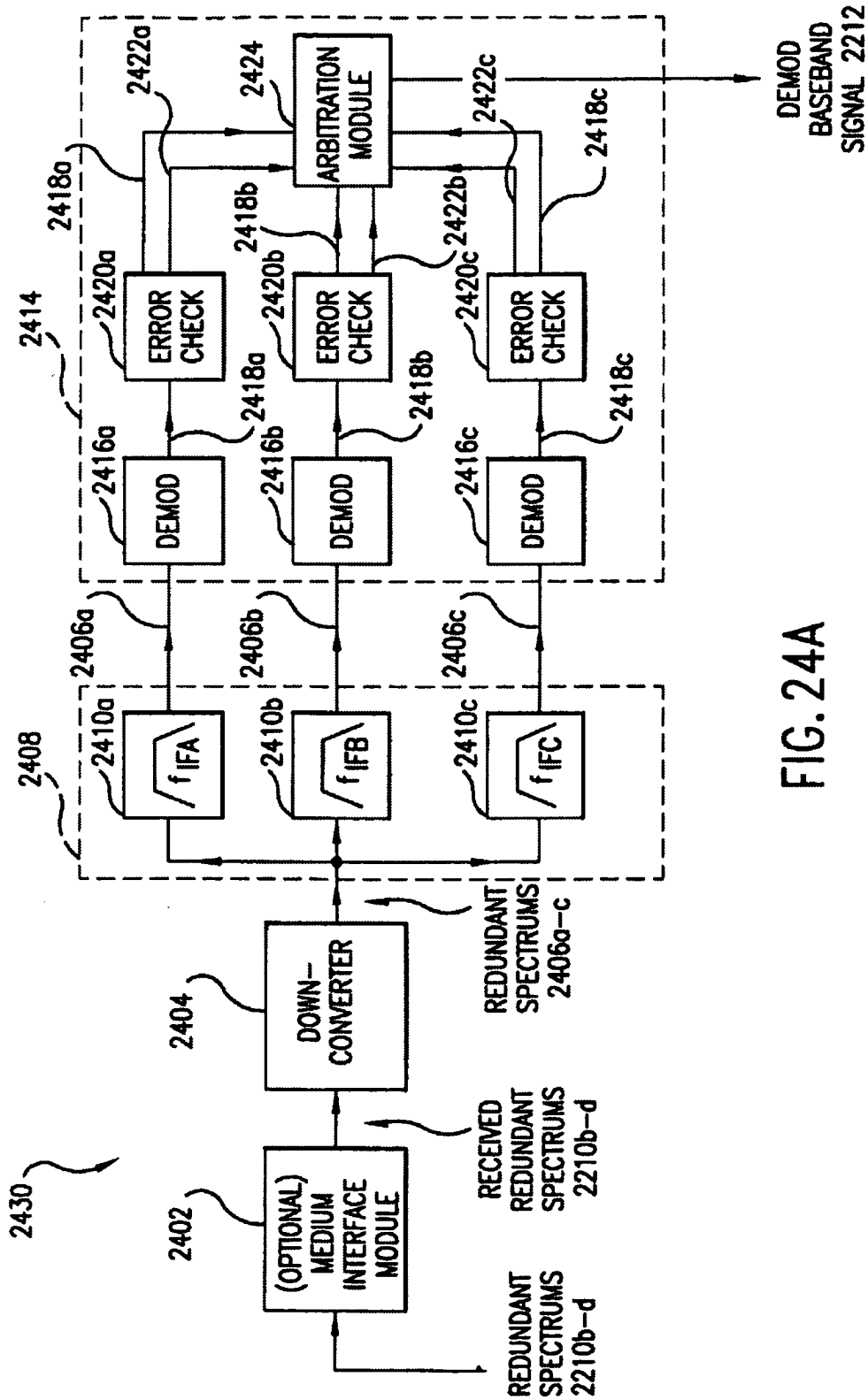


FIG. 24A

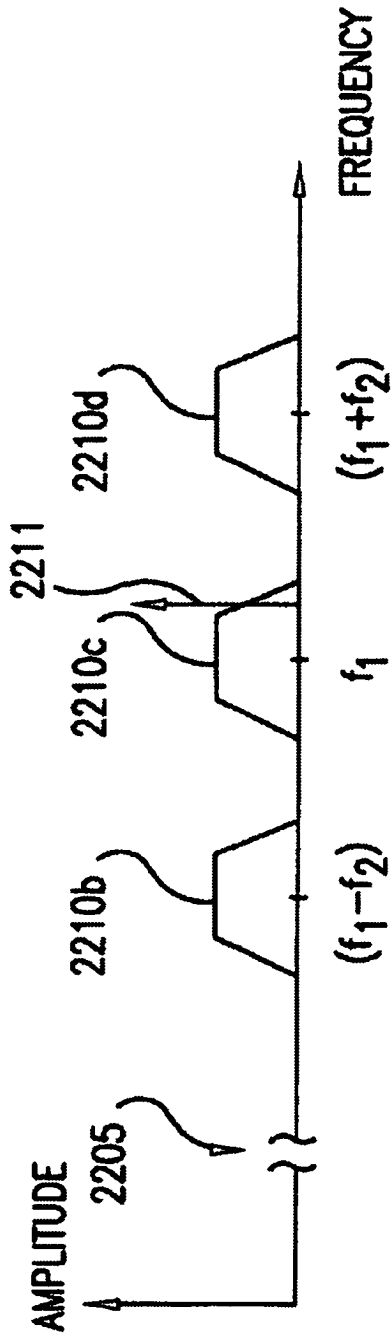


FIG. 24B

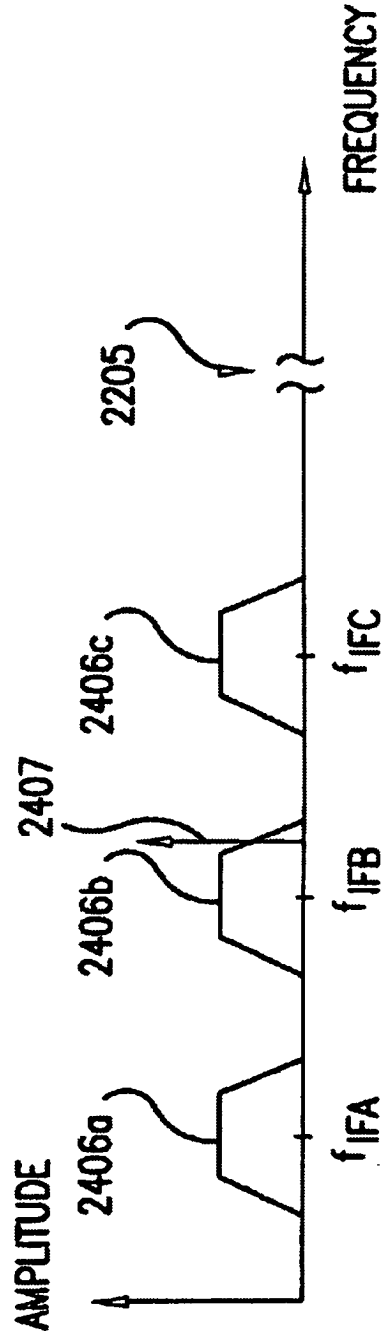


FIG. 24C

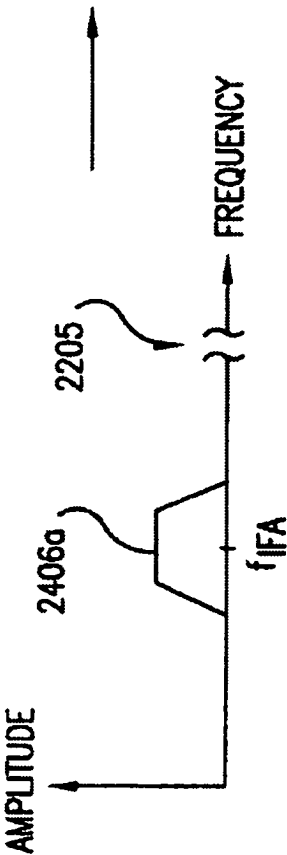


FIG. 24D

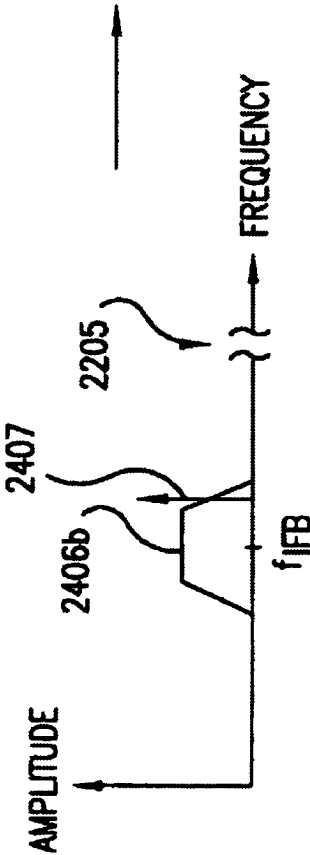


FIG. 24E

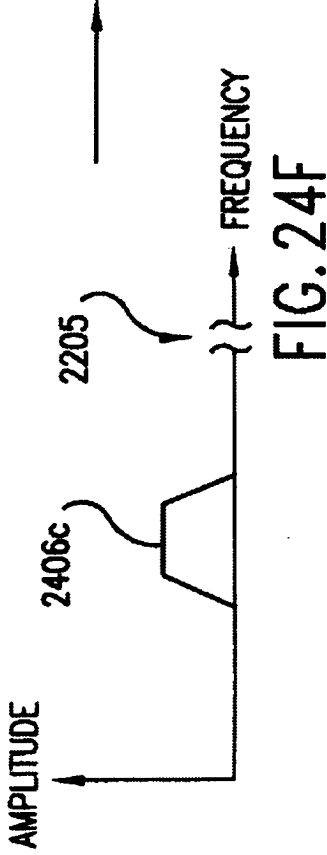


FIG. 24F

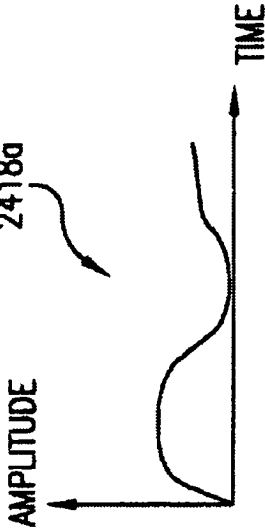


FIG. 24G

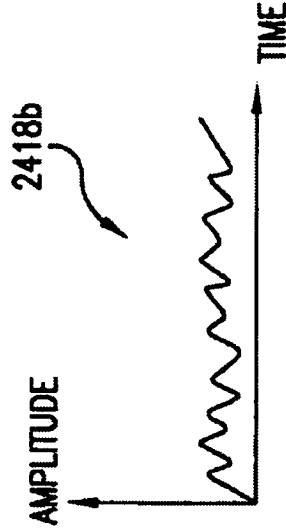


FIG. 24H

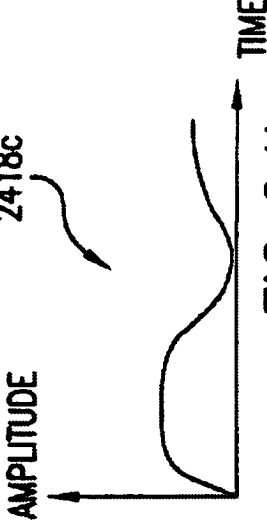


FIG. 24I

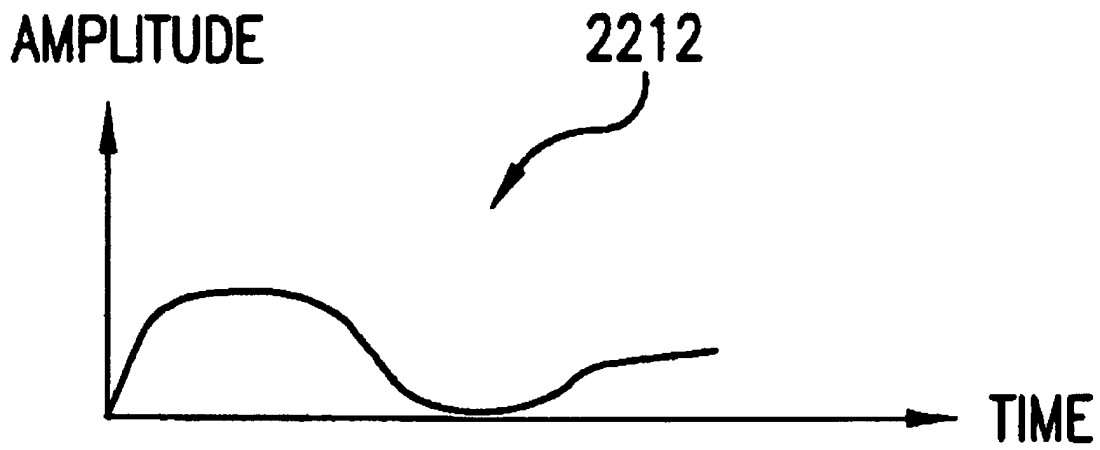
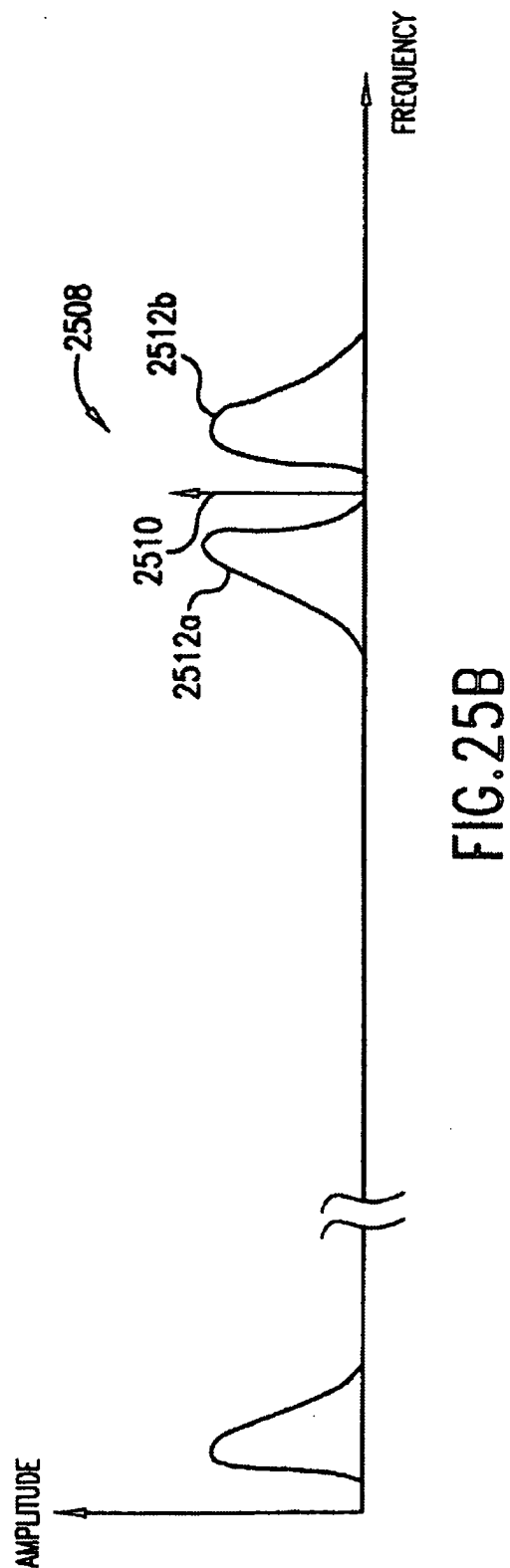
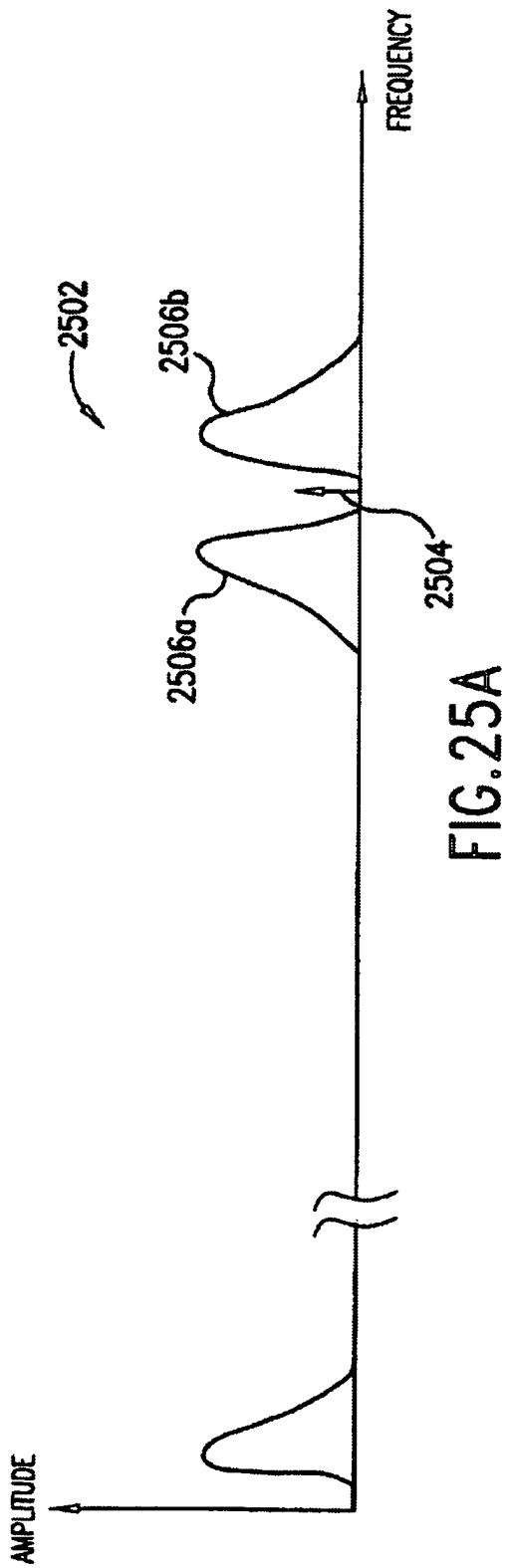
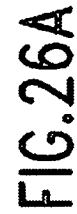


FIG. 24J





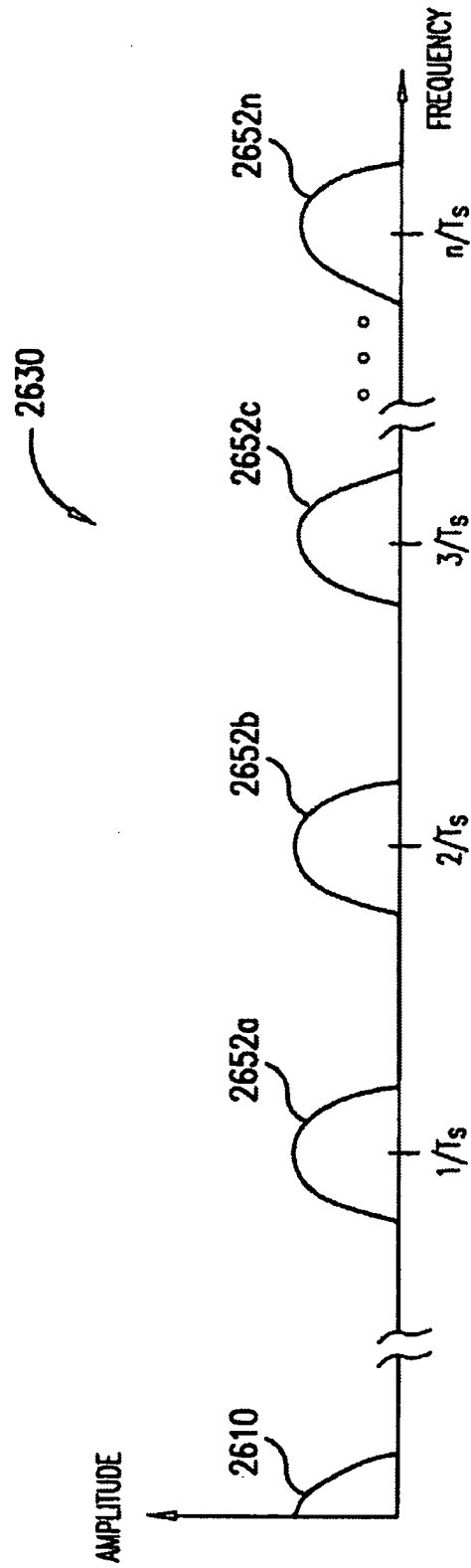


FIG. 26B

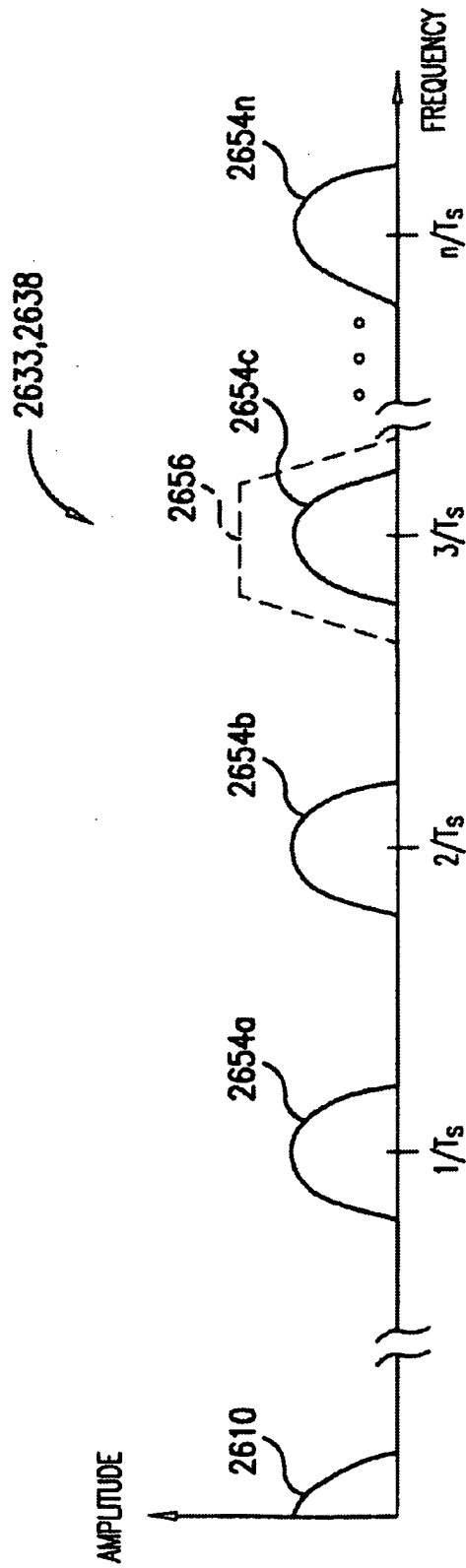
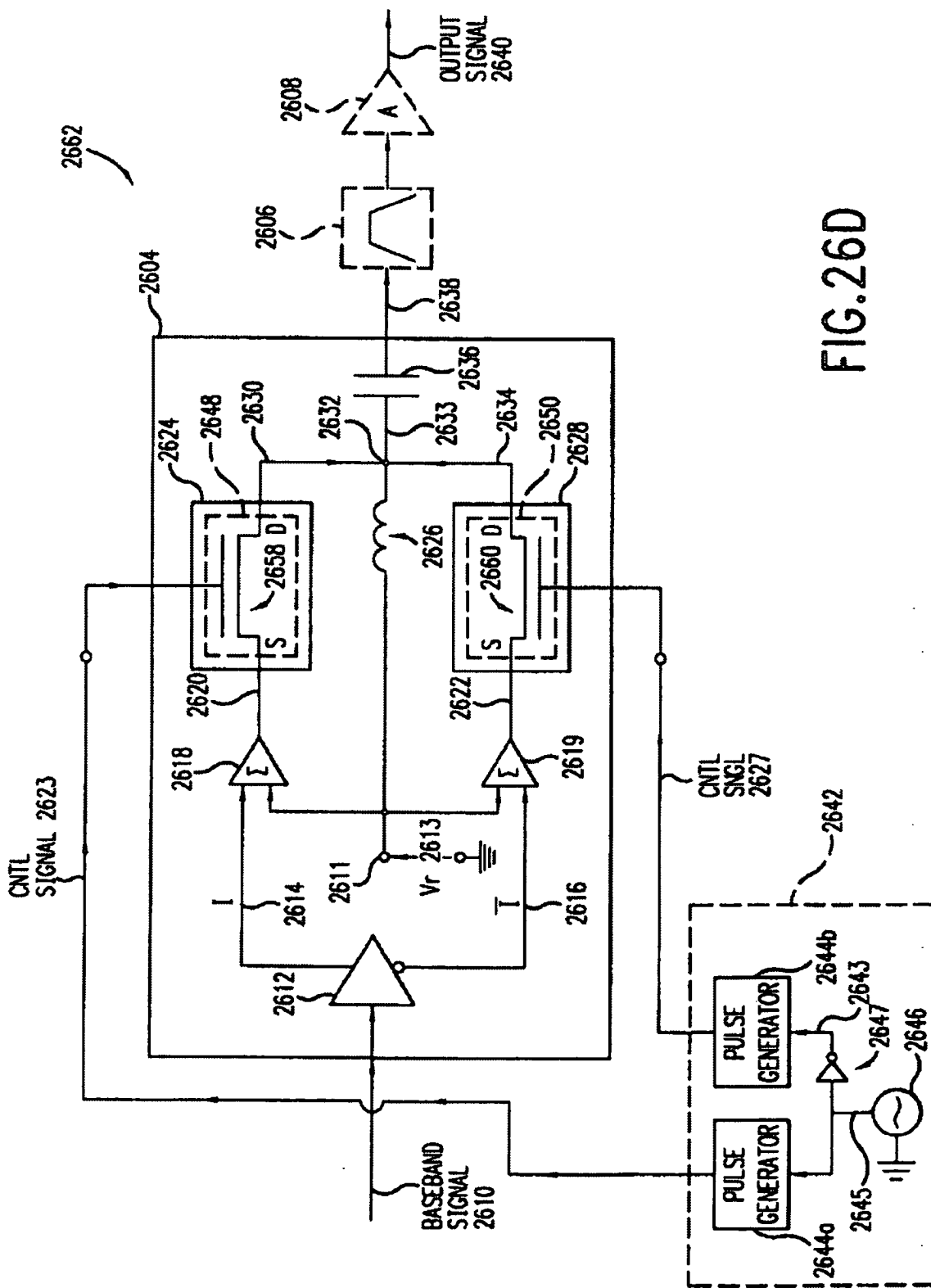
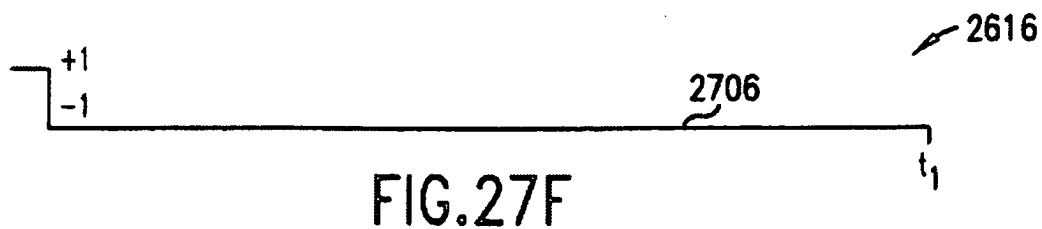
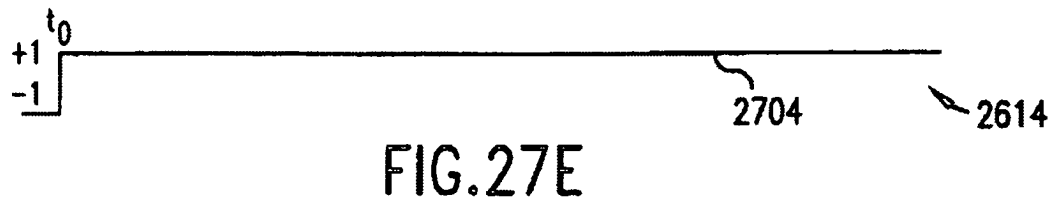
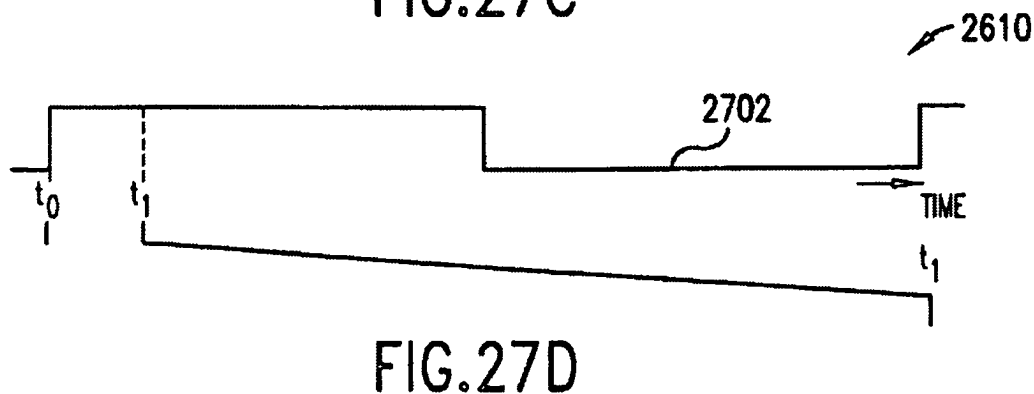
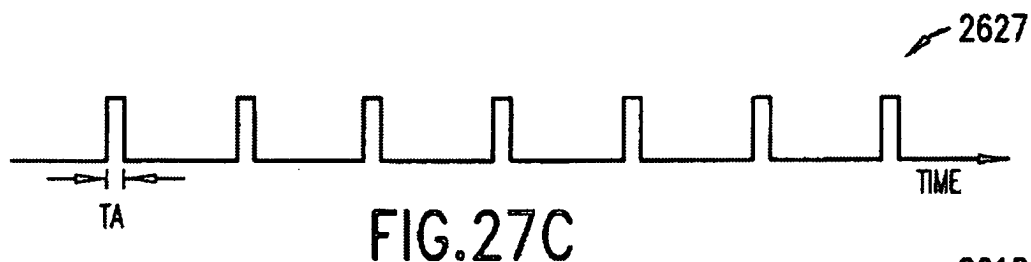
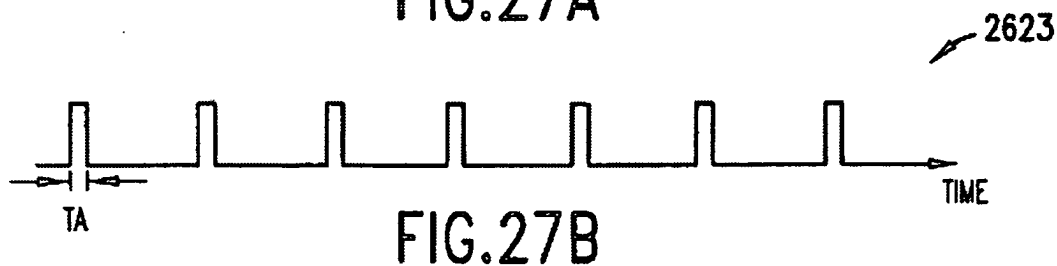
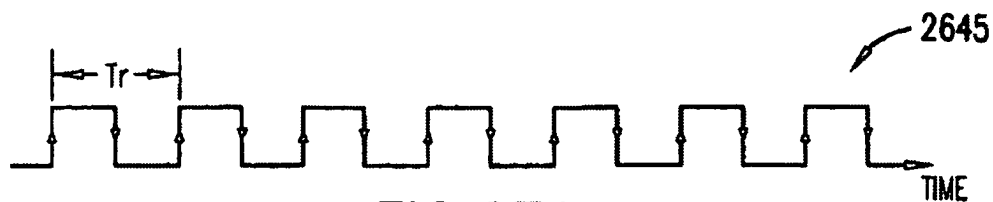
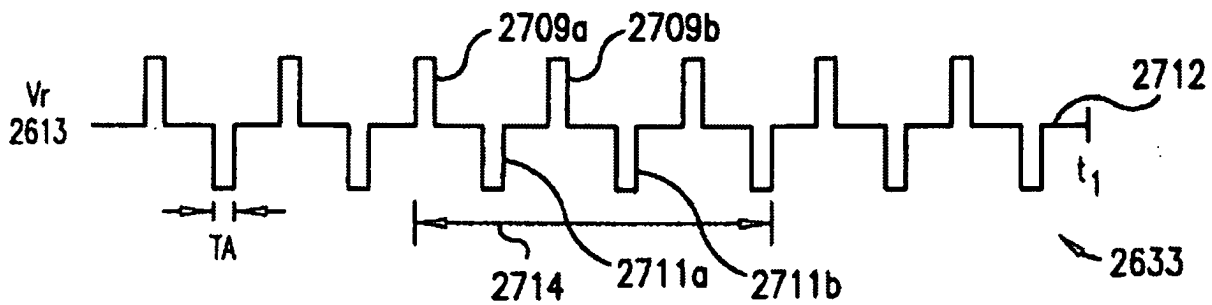
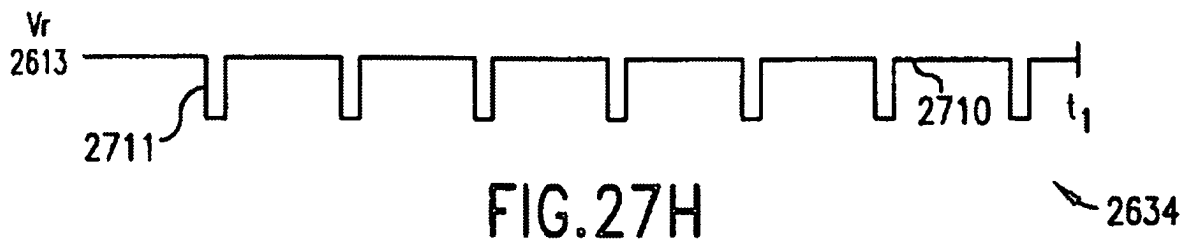
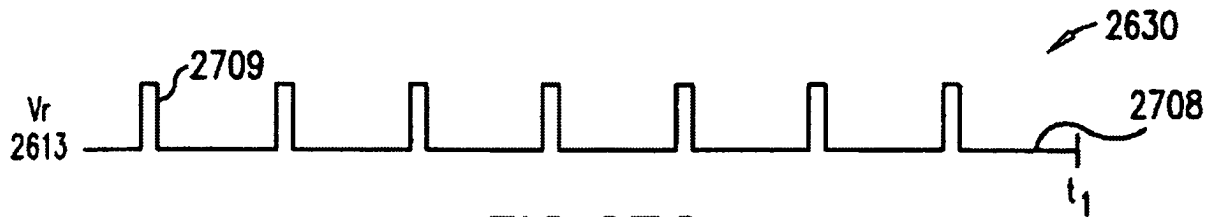


FIG. 26C







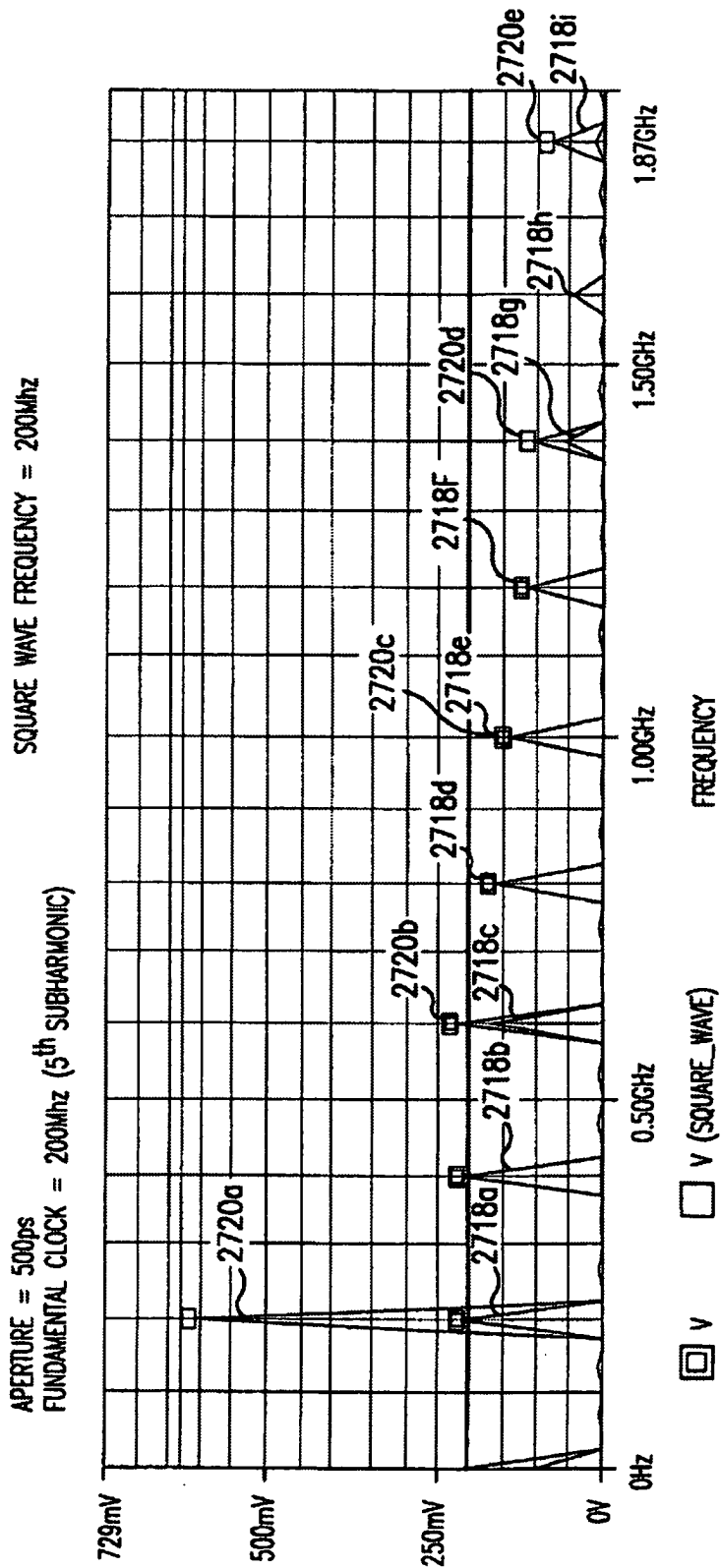
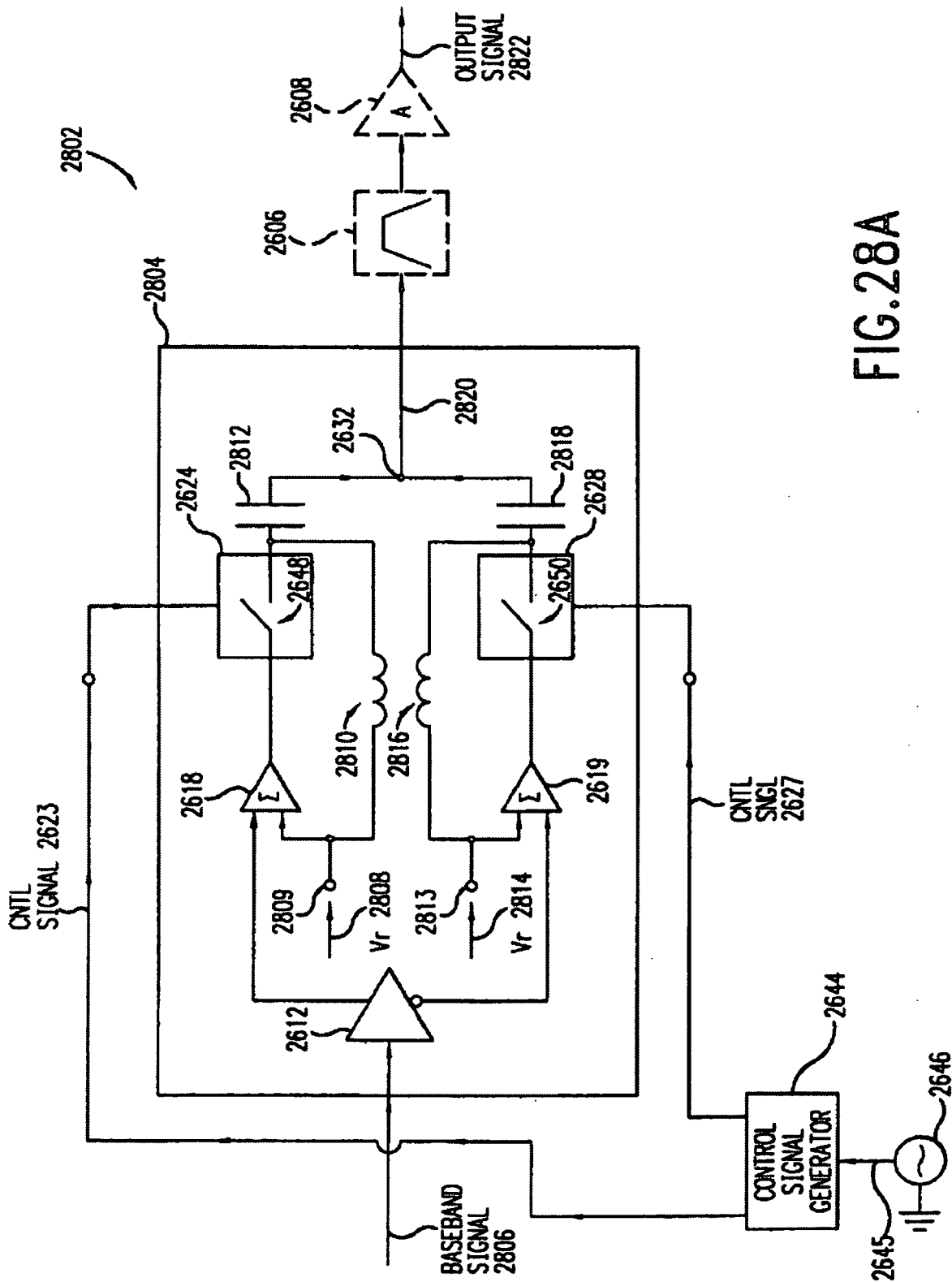


FIG. 27J

FIG. 28A



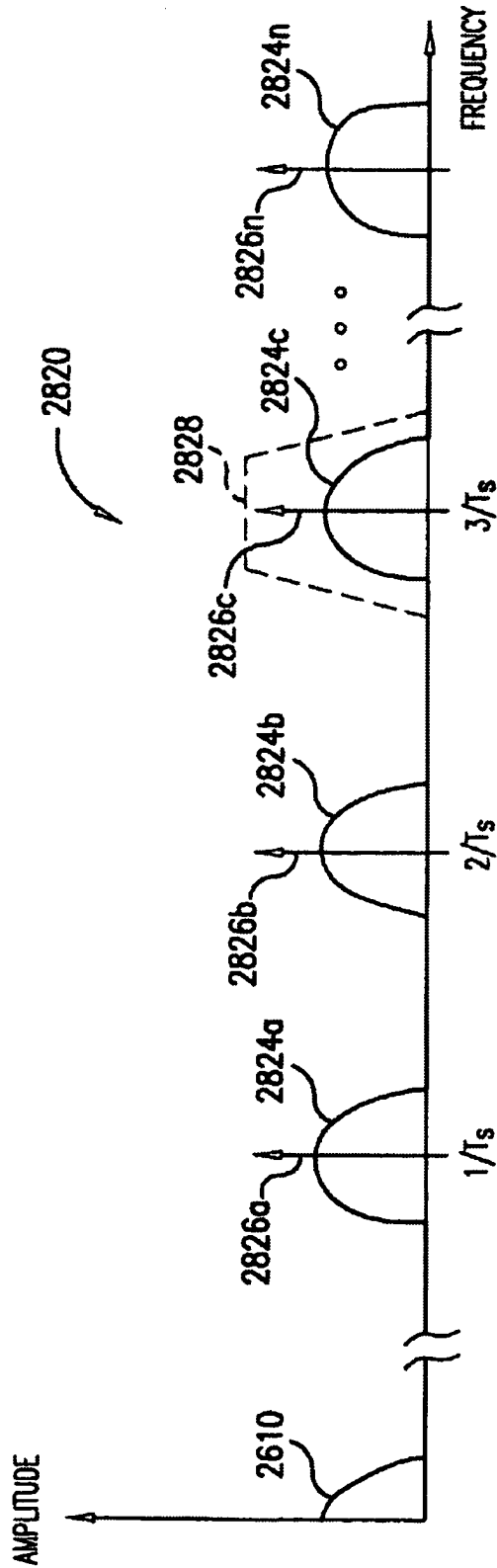


FIG. 28B



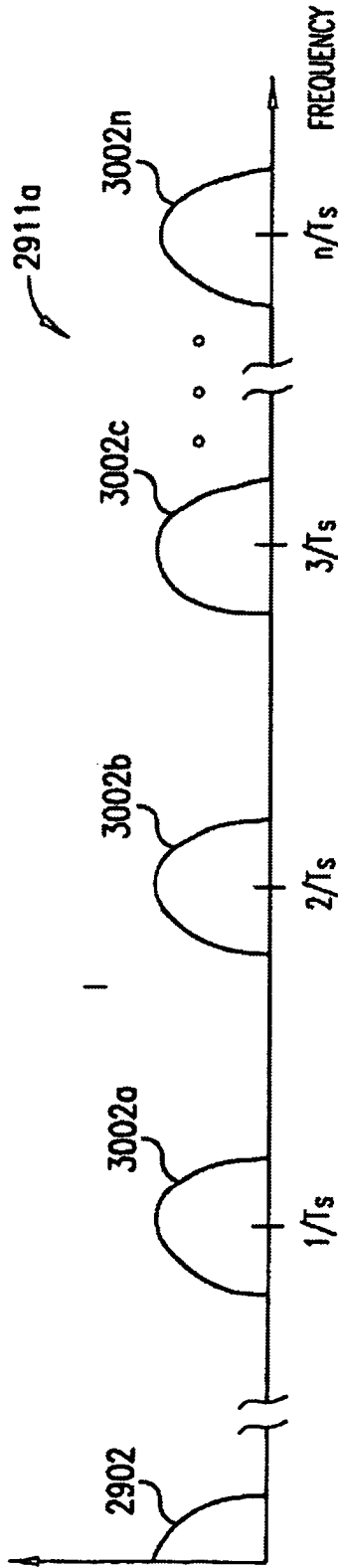


FIG. 30A

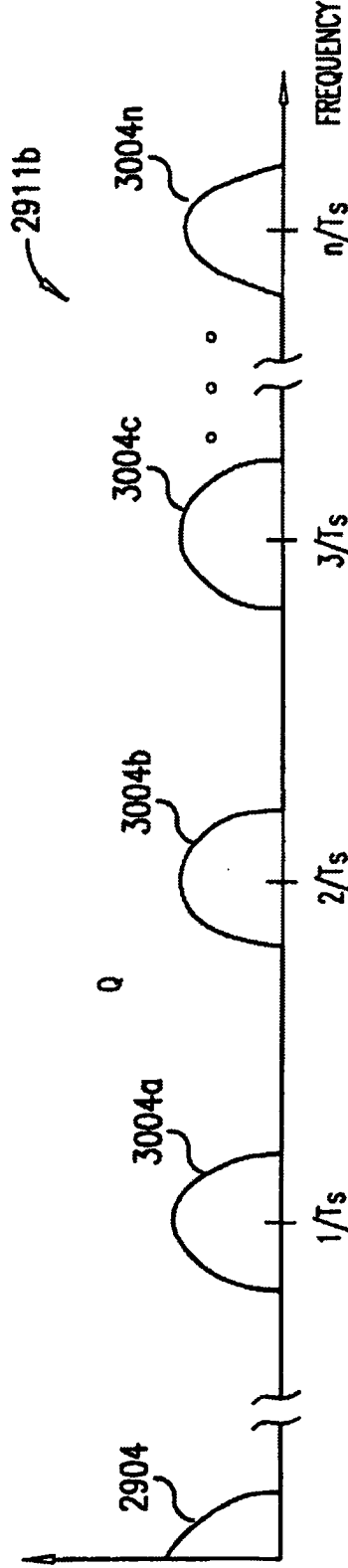


FIG. 30B

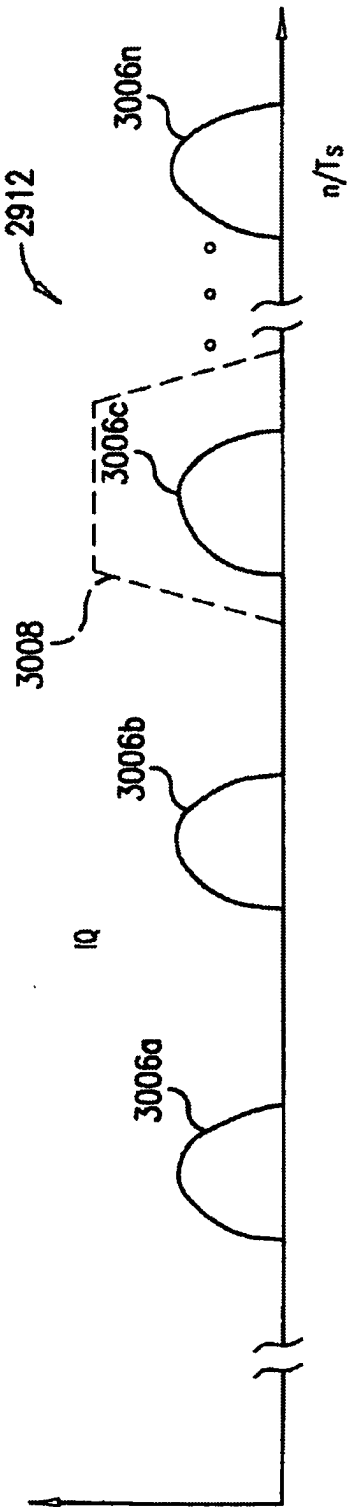


FIG. 30C



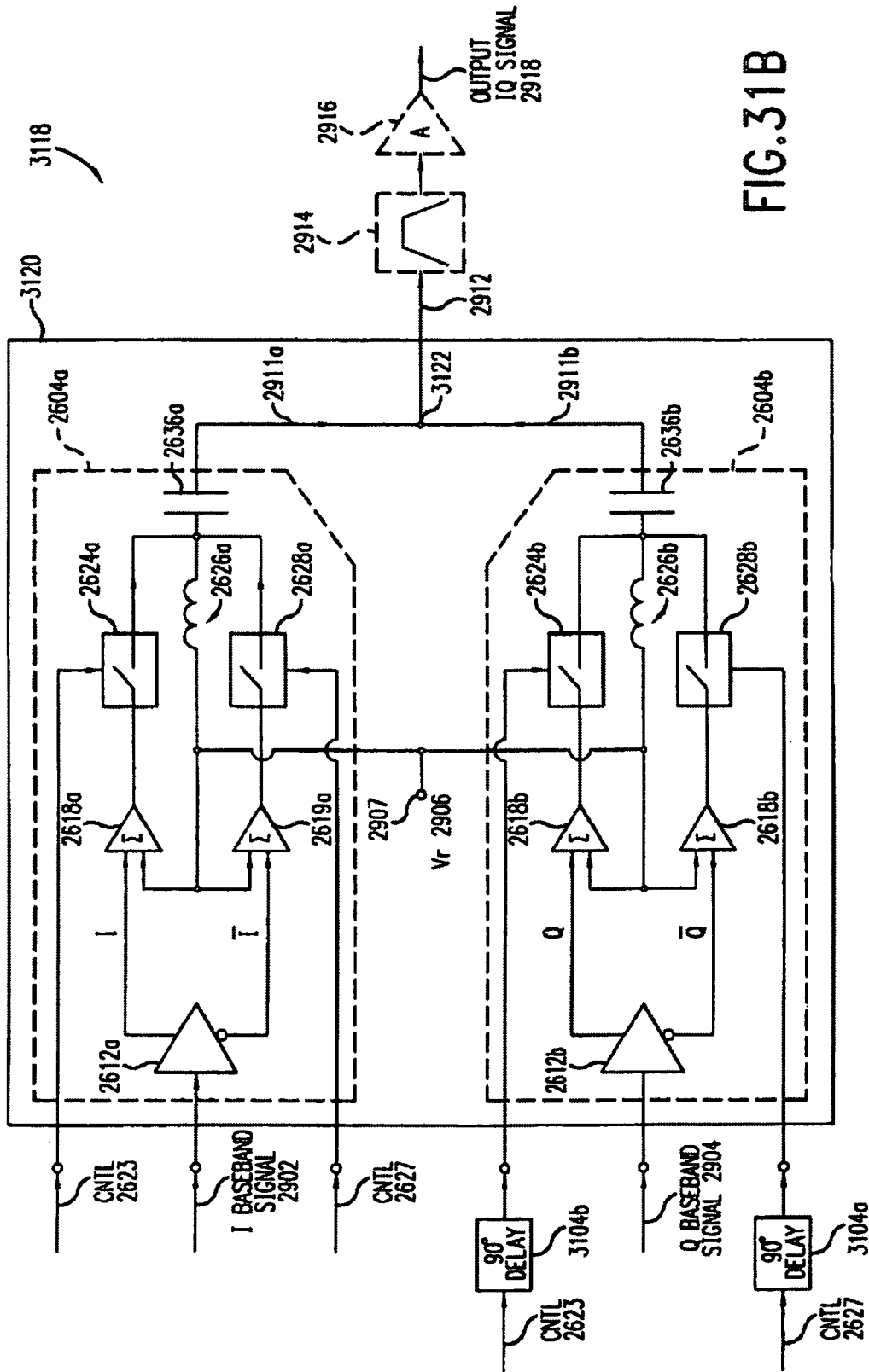


FIG. 311B

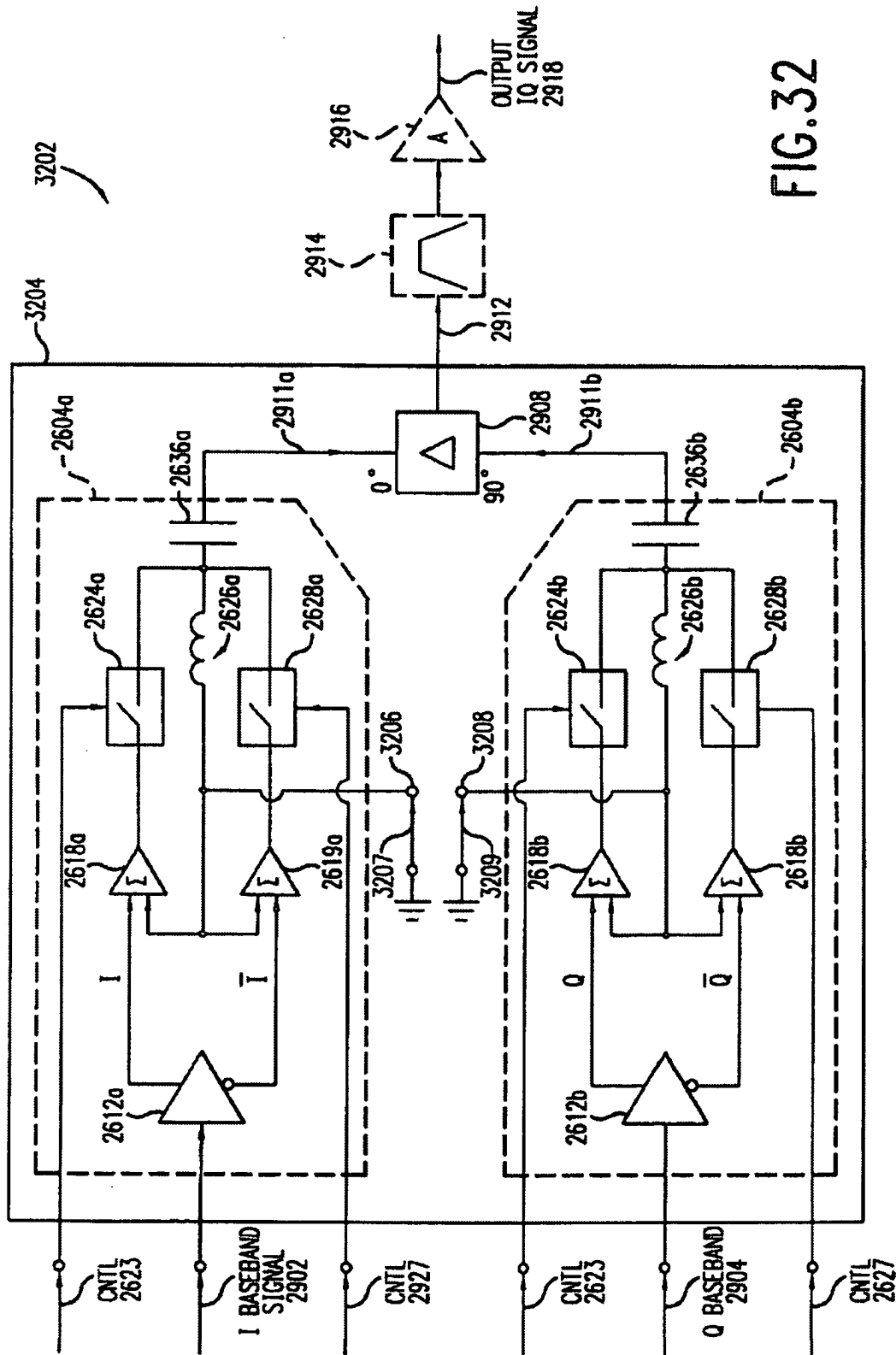


FIG. 32

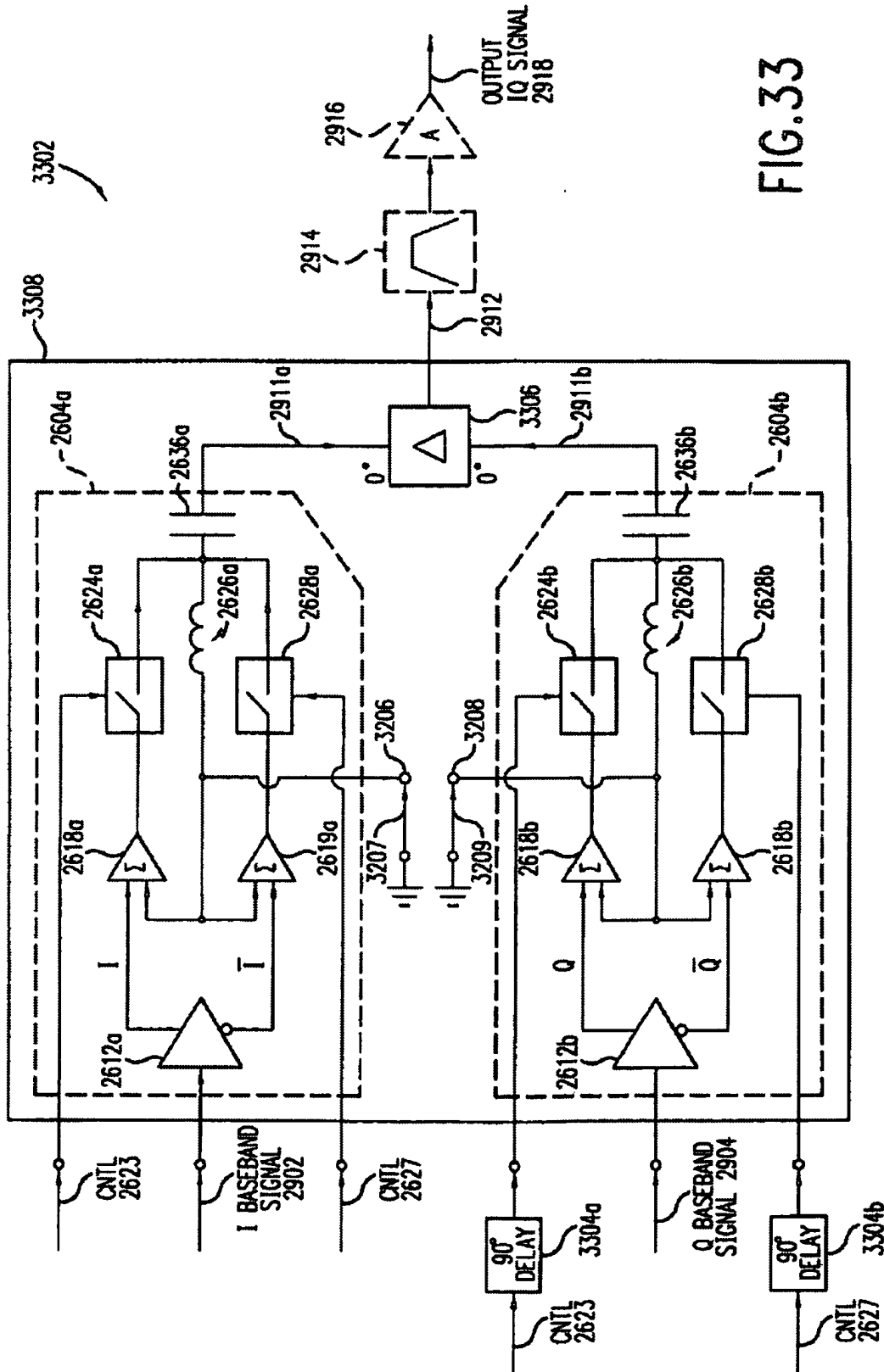


FIG. 33

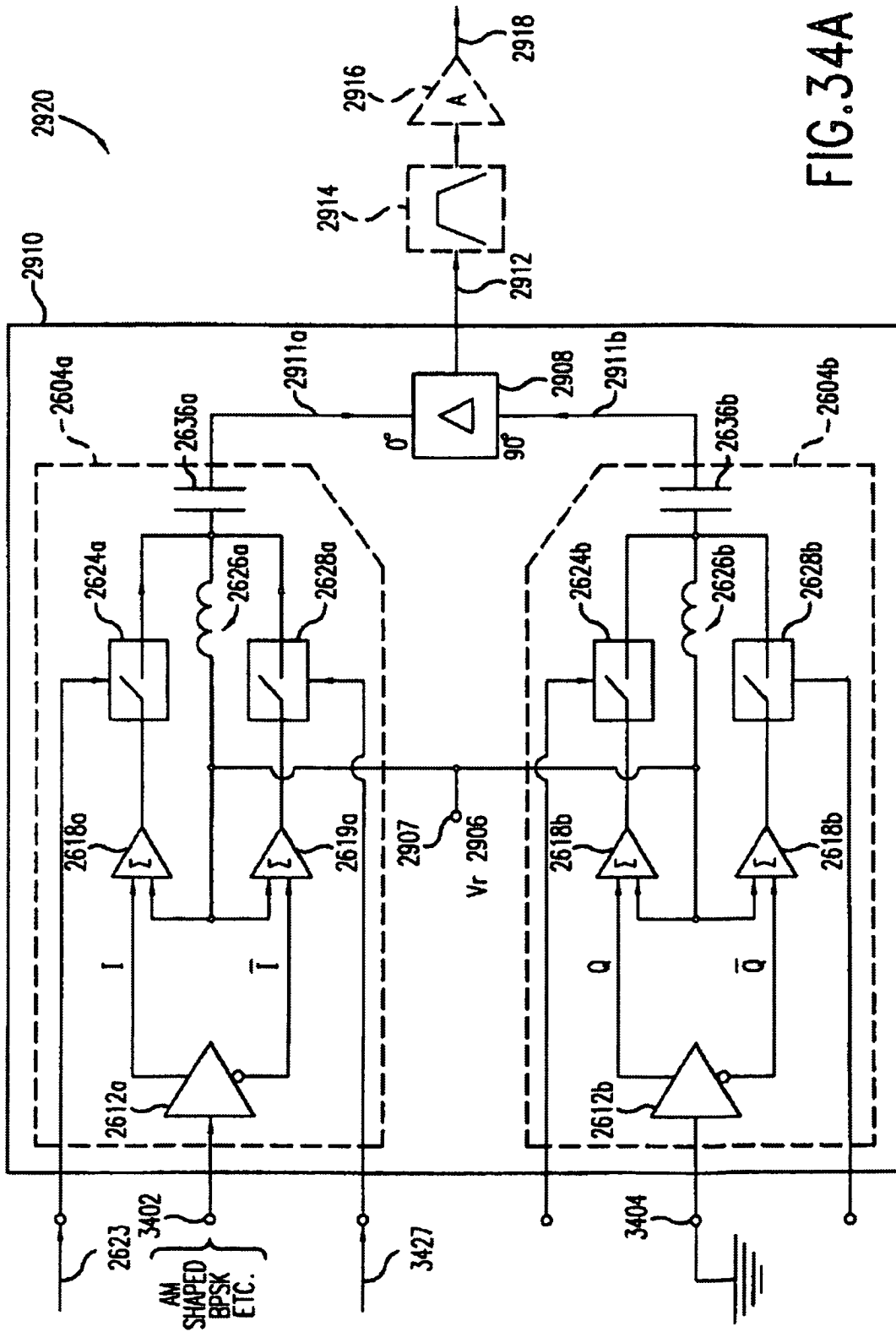


FIG. 34A

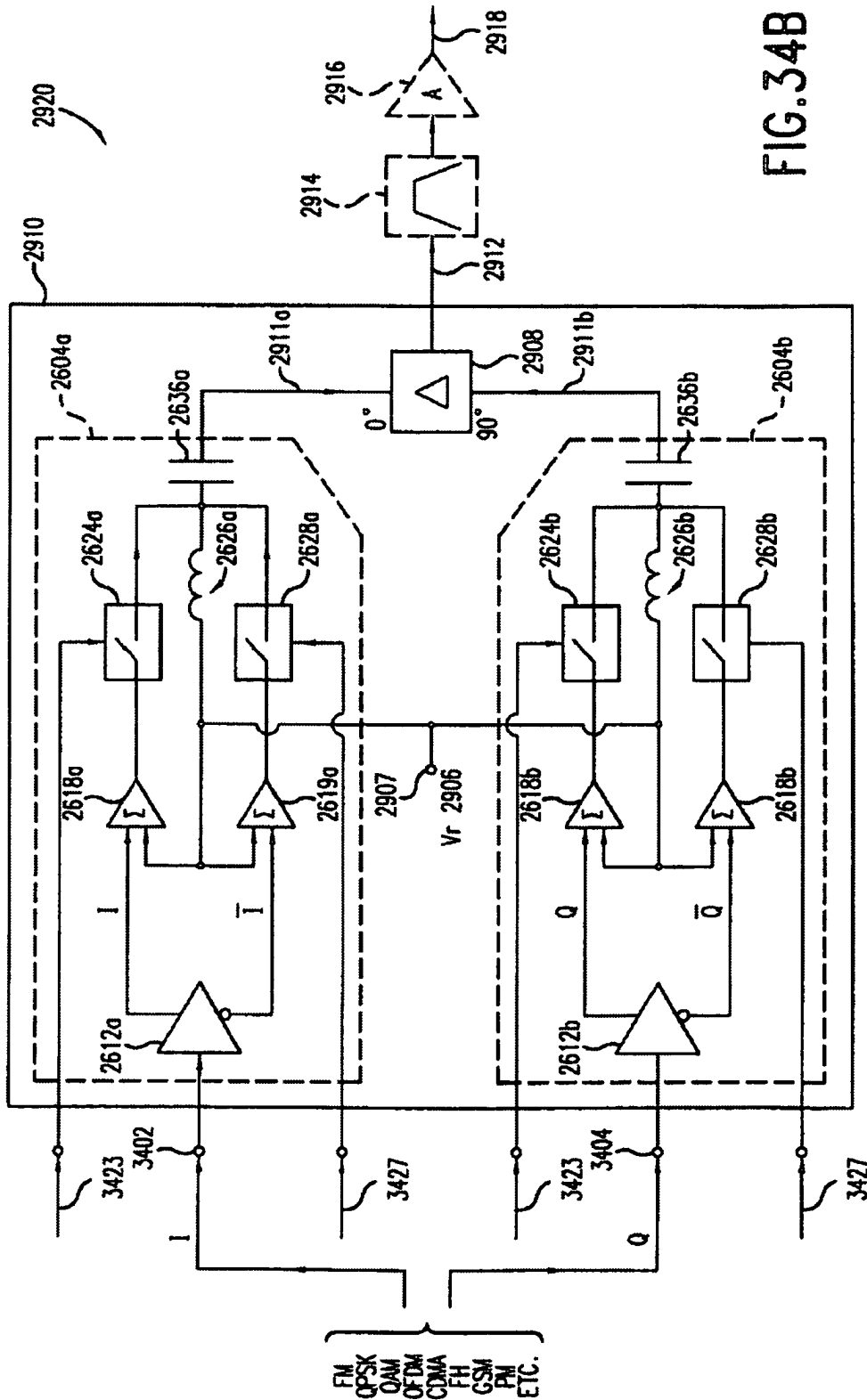


FIG. 34B

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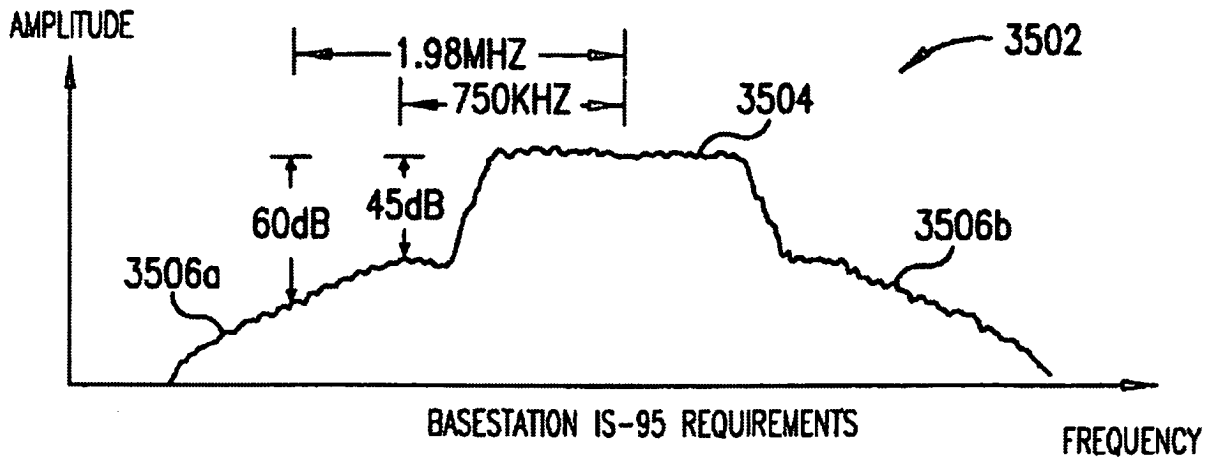


FIG.35A

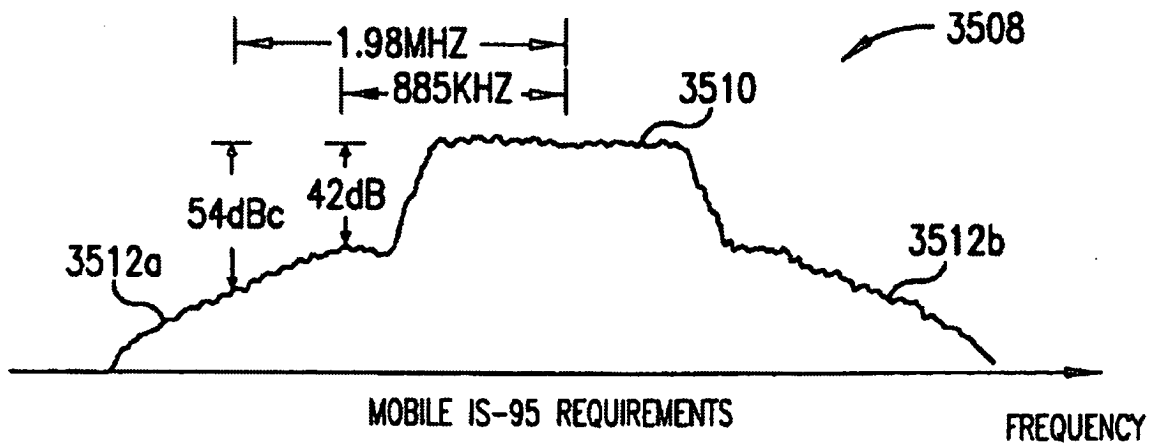
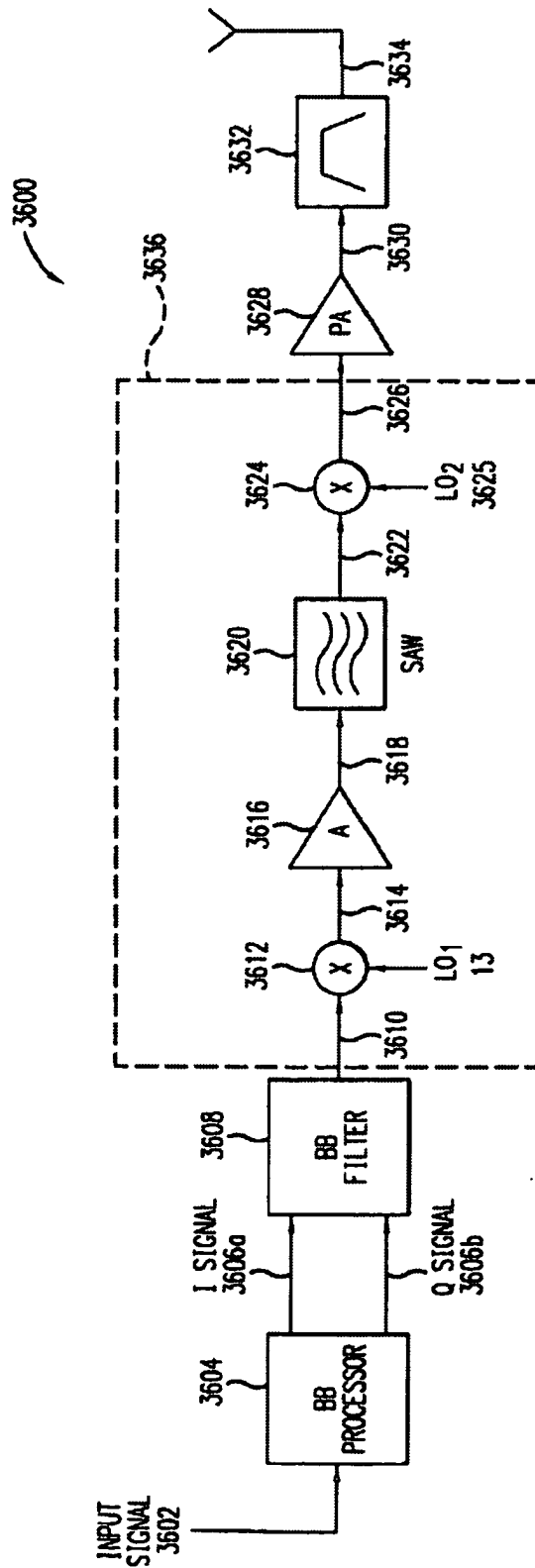


FIG.35B



CONVENTIONAL TRANSMITTER

FIG. 36

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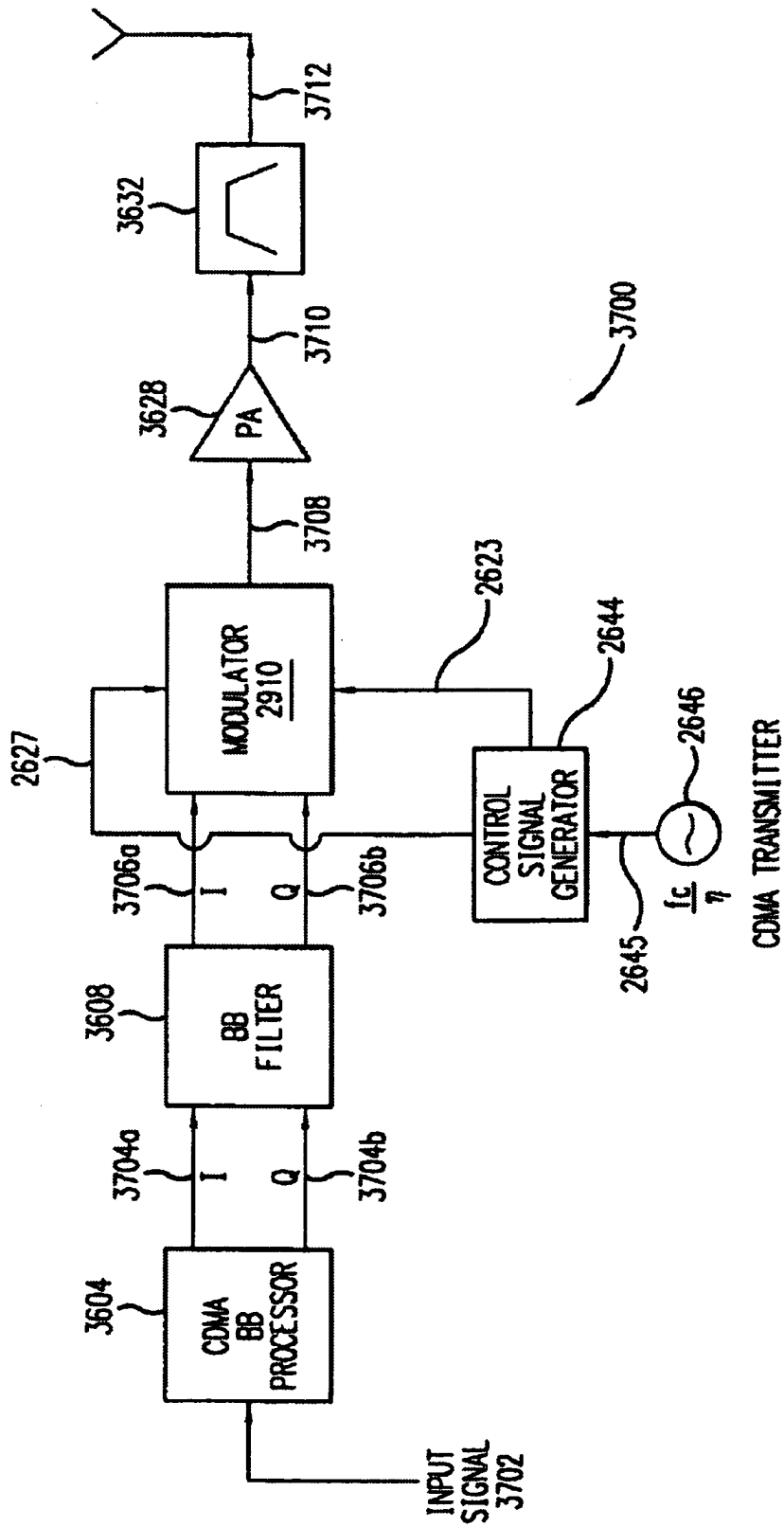


FIG. 37A

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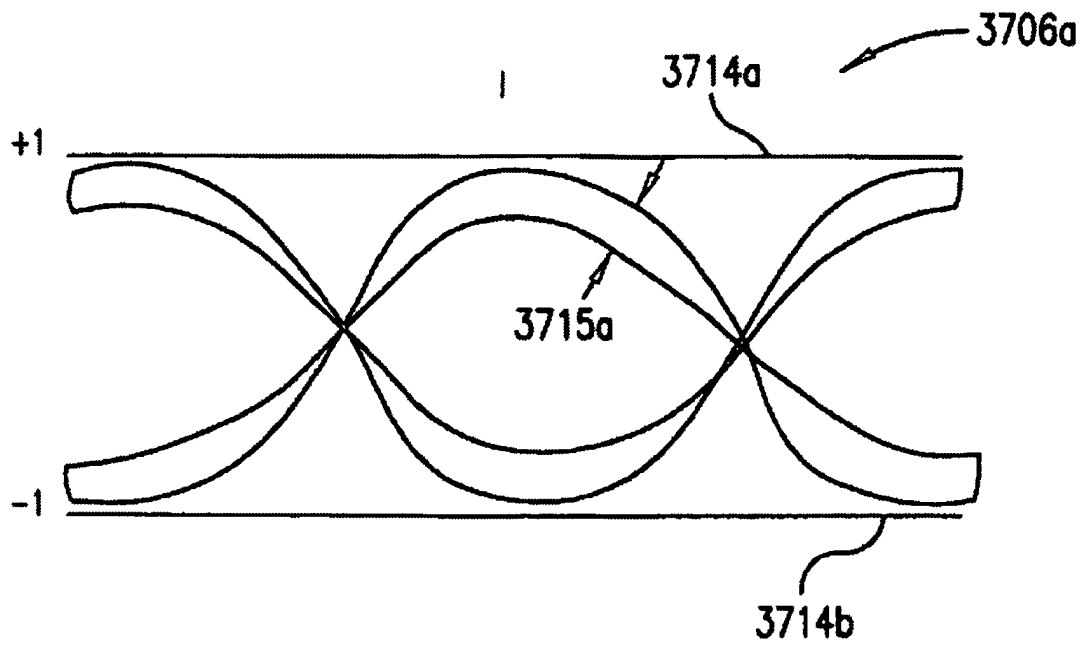


FIG. 37B

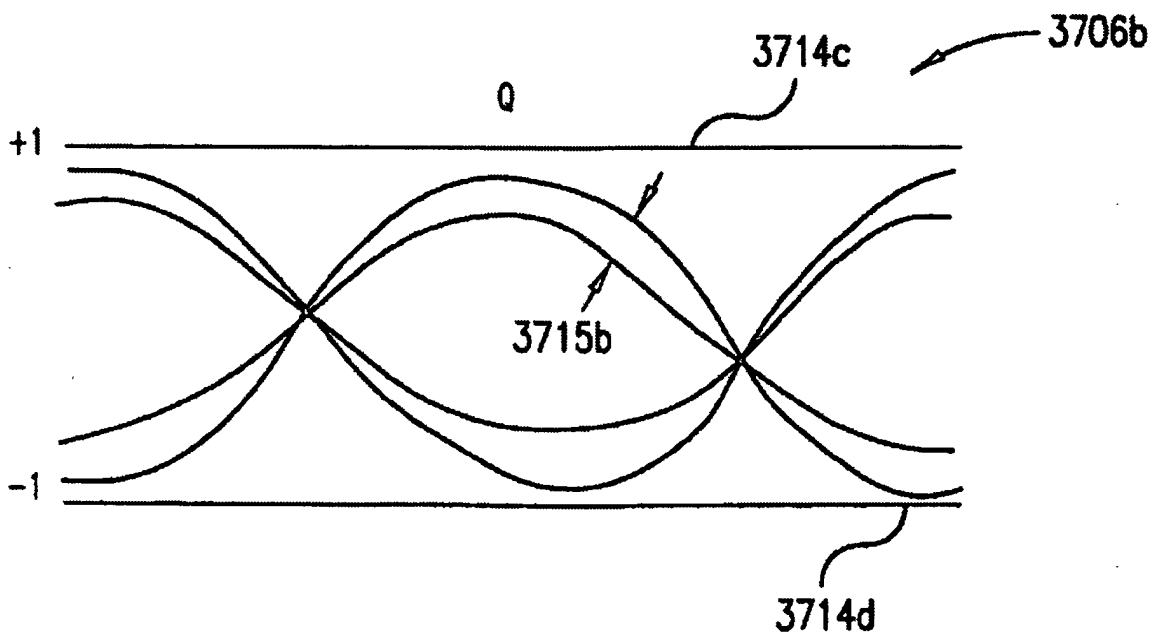


FIG. 37C

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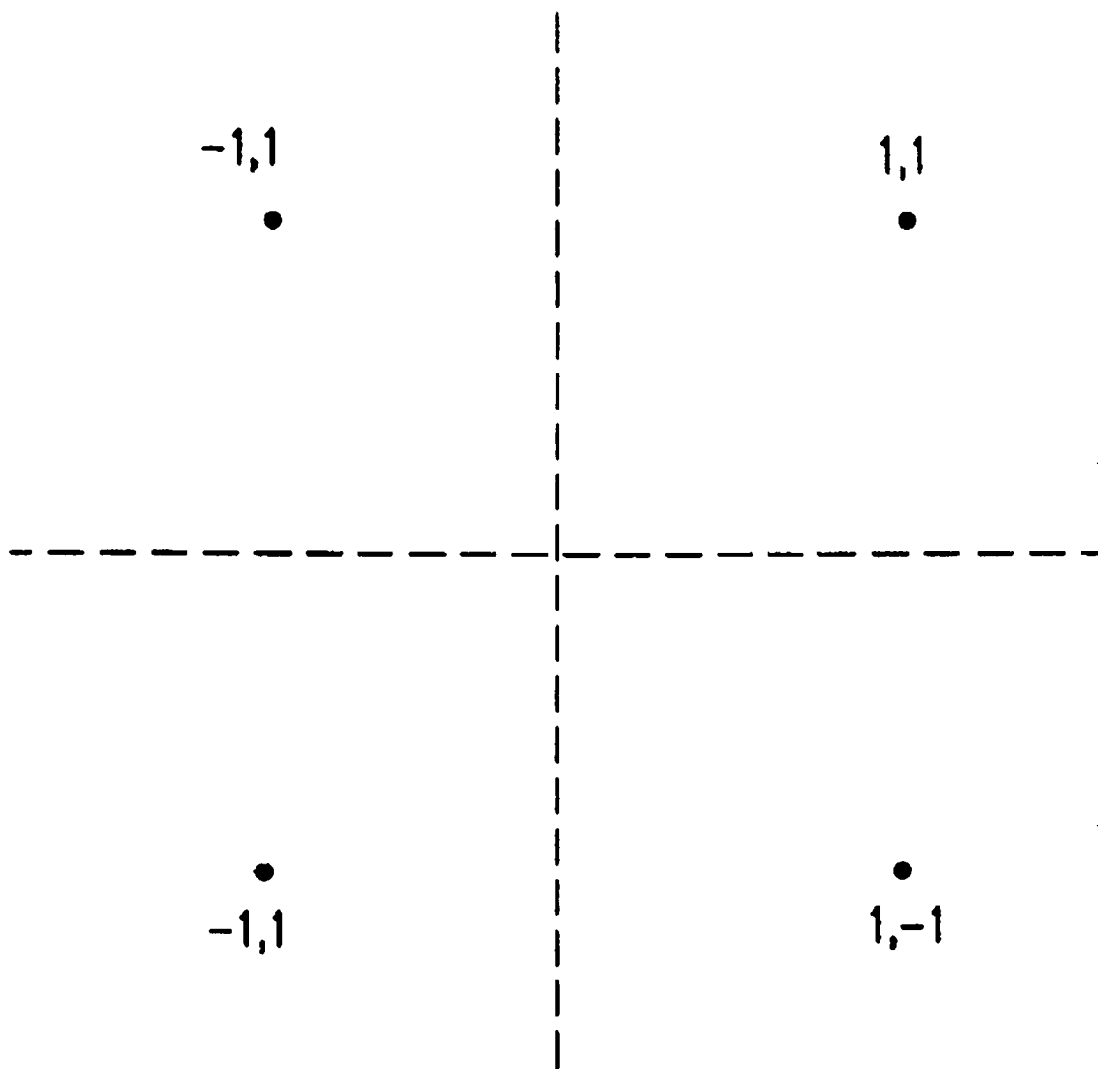


FIG.37D

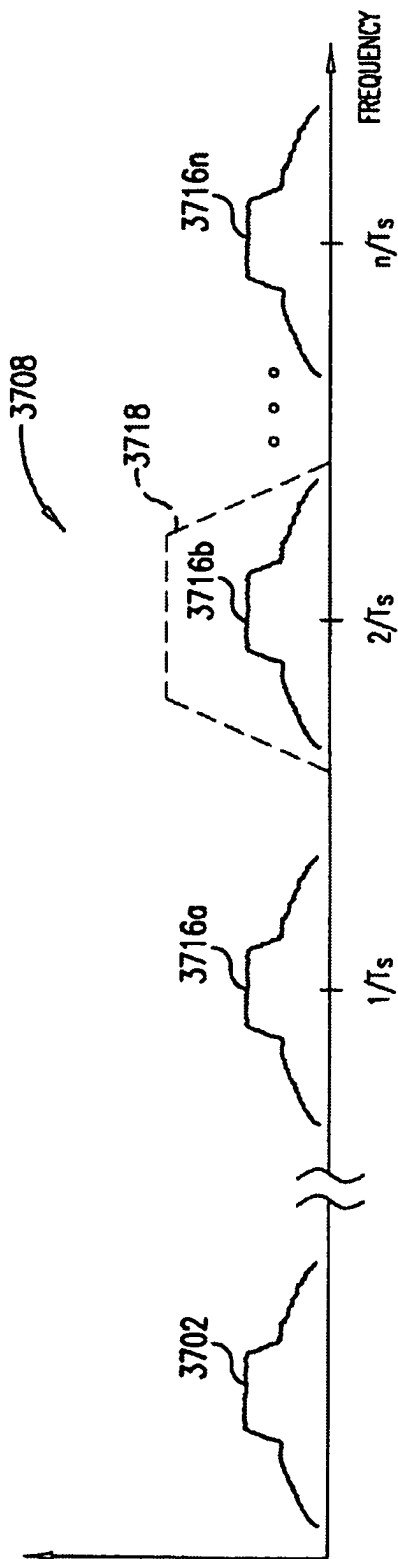


FIG. 37E

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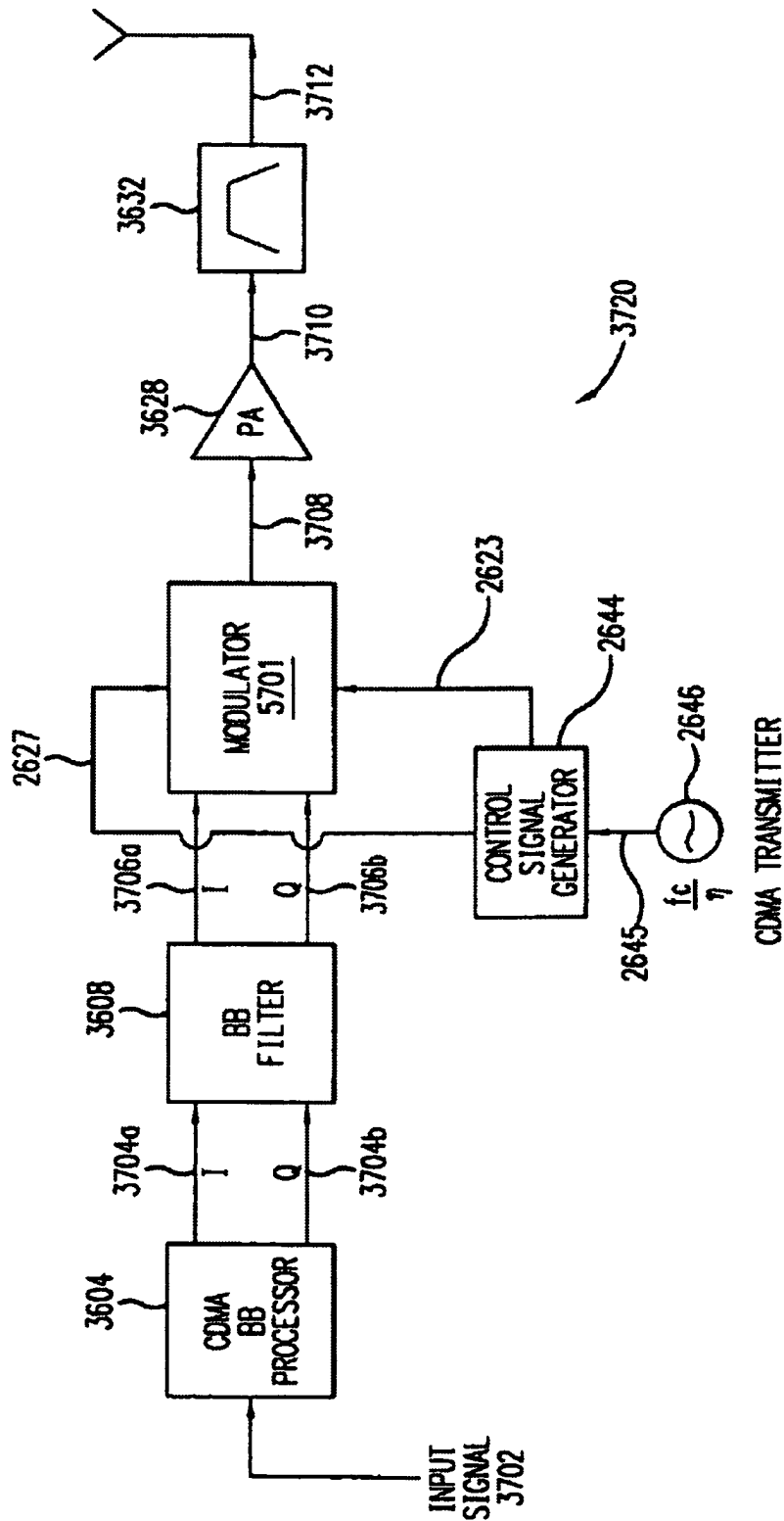
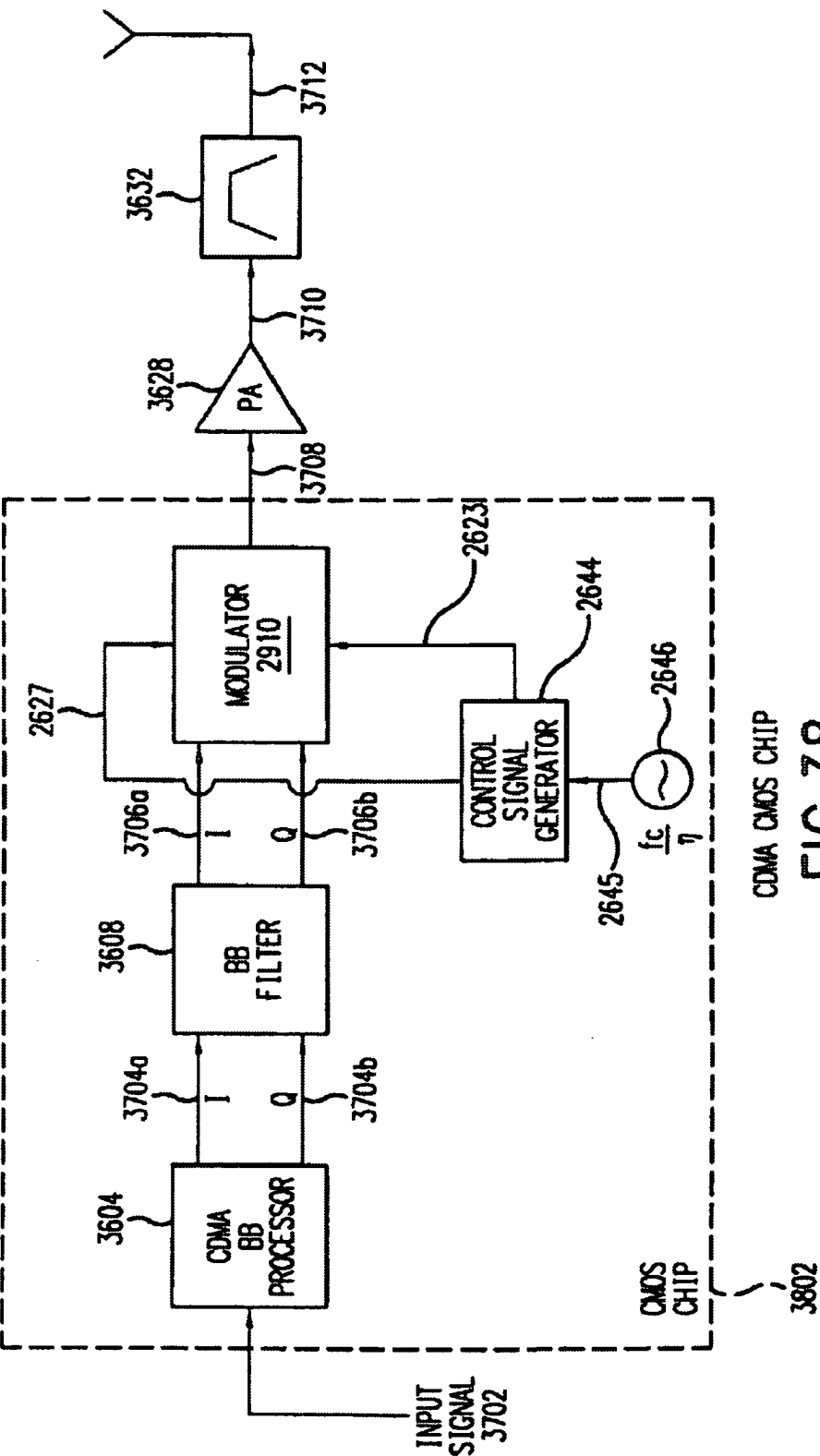


FIG. 37F



CDMA CMOS CHIP
FIG. 38

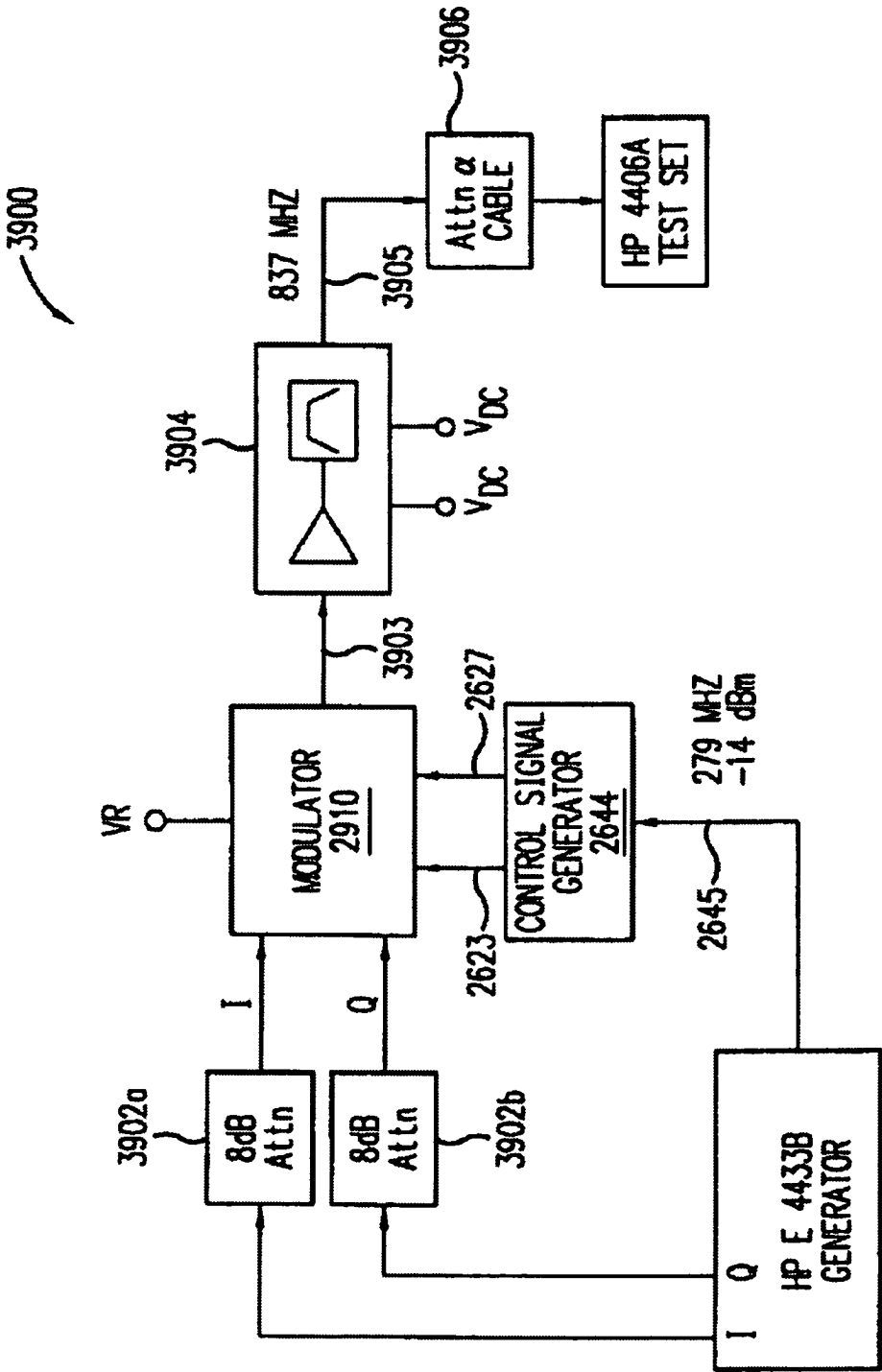


FIG. 39

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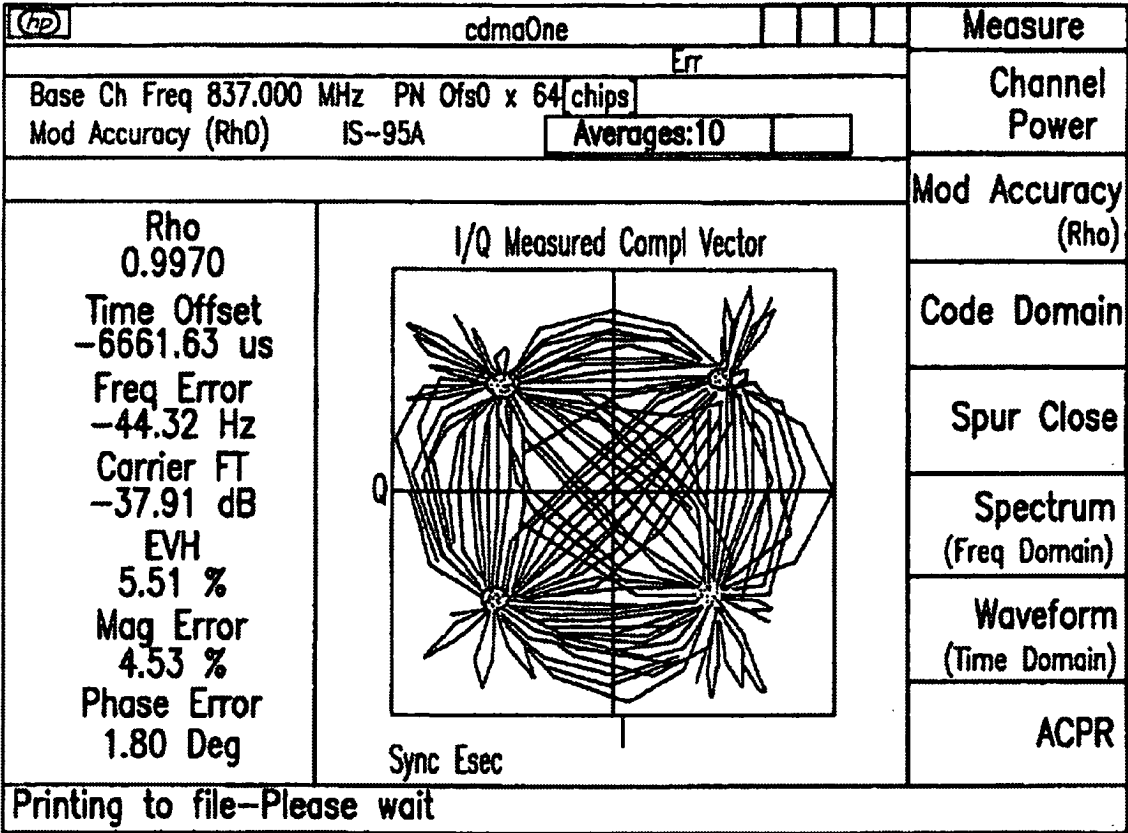
BASE STATION	
RHO	0.9970
EVM	5.51%
PHASE ERROR	1.80°
MAGNITUDE ERROR	4.53%
CARRIER INSERTION	-37.91 dB
PA POWER OUT	28.06 dBm

FIG. 40

FREQUENCY (MHz) (MOBILE STATION)			
	LOW	MIDDLE	HIGH
RHO	0.9892	0.9969	0.9892
EVM	10.39%	5.54%	10.39%
PHASE ERROR	4.47°	2.24°	4.08°
MAGNITUDE ERROR	6.84%	4.21%	8.27%
CARRIER INSERTION	-40.15 dB	-44.58 dB	-35.27 dB
PA POWER OUT	27.36 dBm	28.11 dBm	27.55 dBm

4102

FIG. 41



BASE STATION CONSTELLATION FOR PILOT CHANNEL TEST

FIG.42

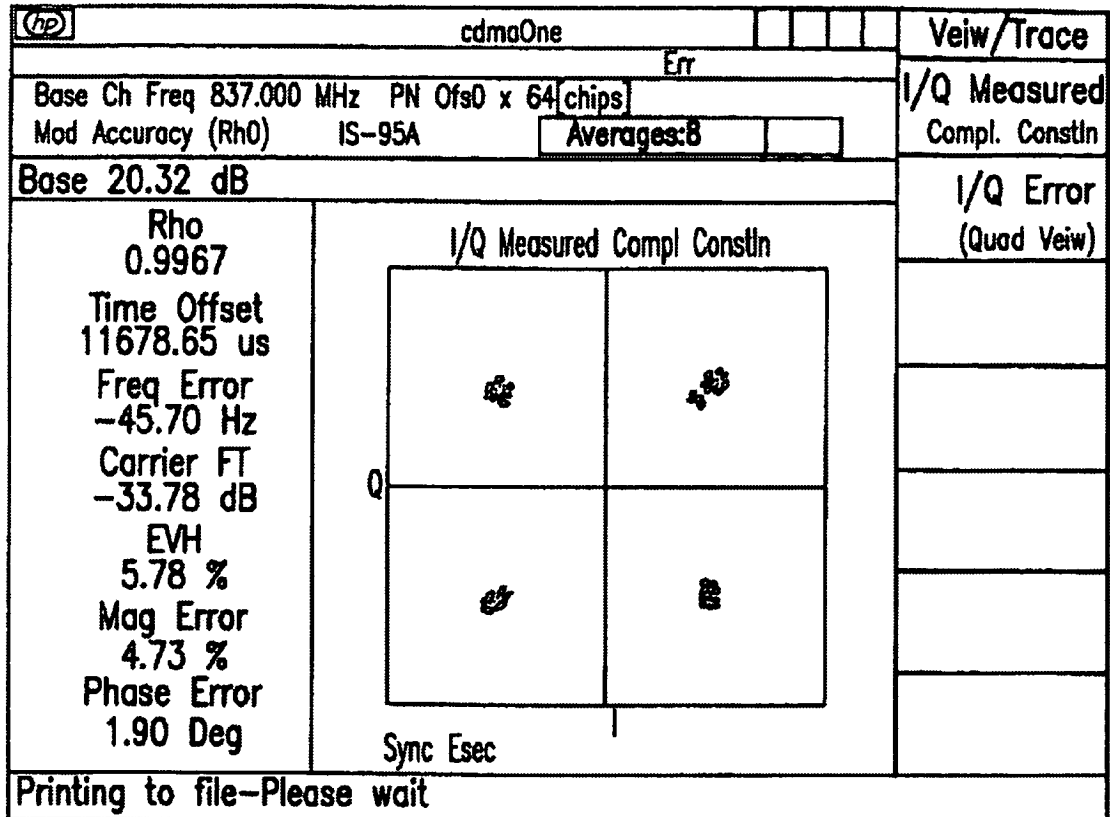
4202

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BASE STATION SAMPLED CONSTELLATION

FIG. 43

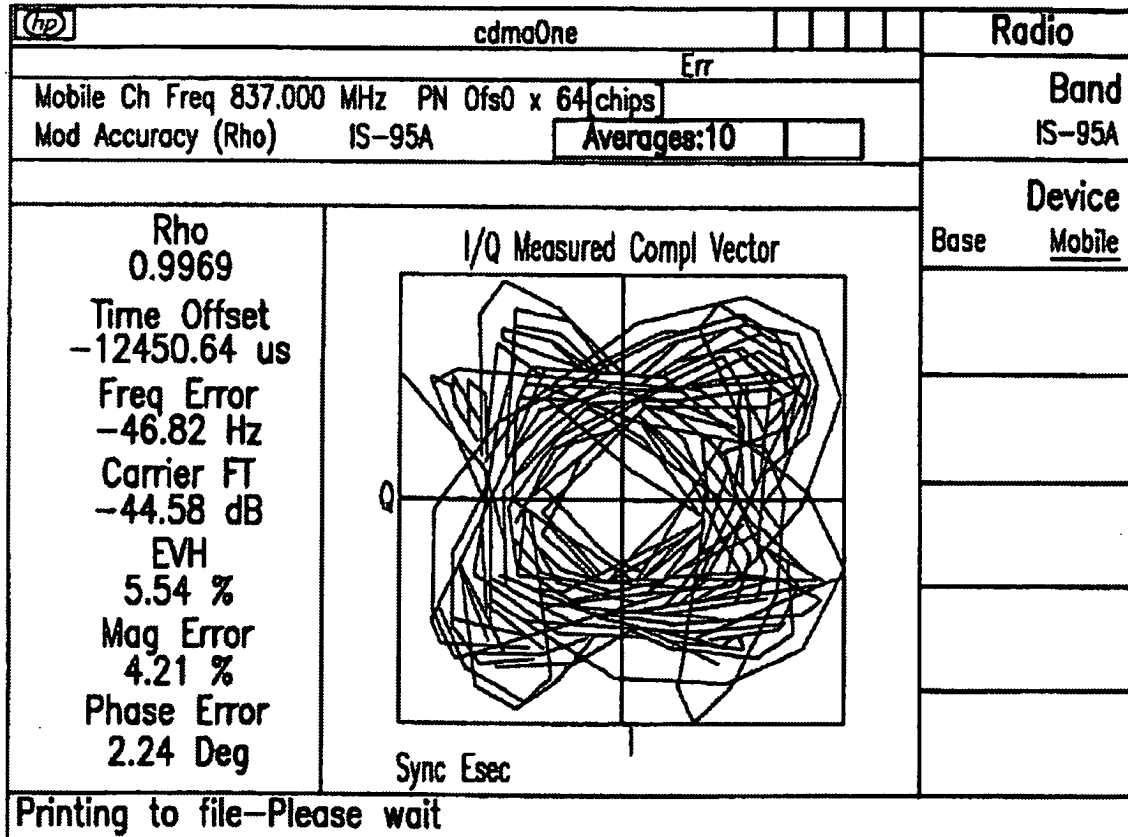
4302

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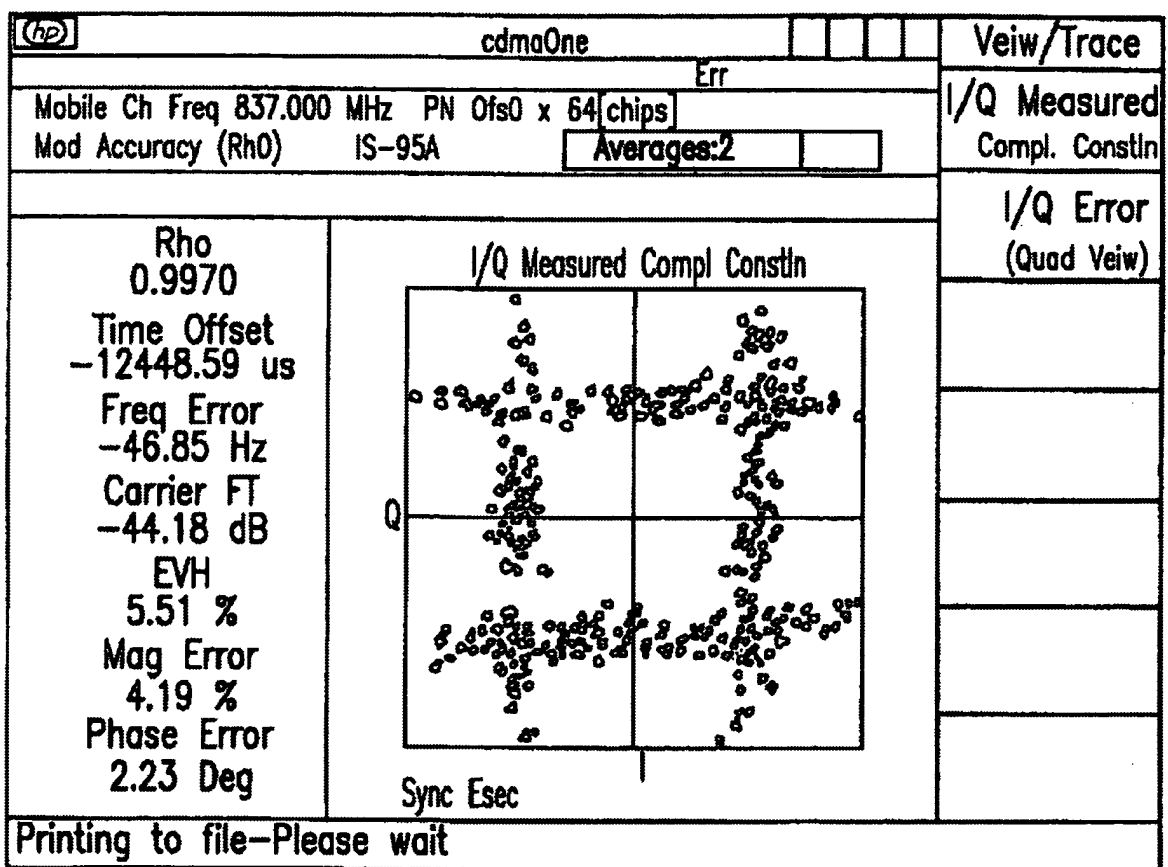
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MOBILE STATION CONSTELLATION FOR ACCESS CHANNEL TEST

FIG.44

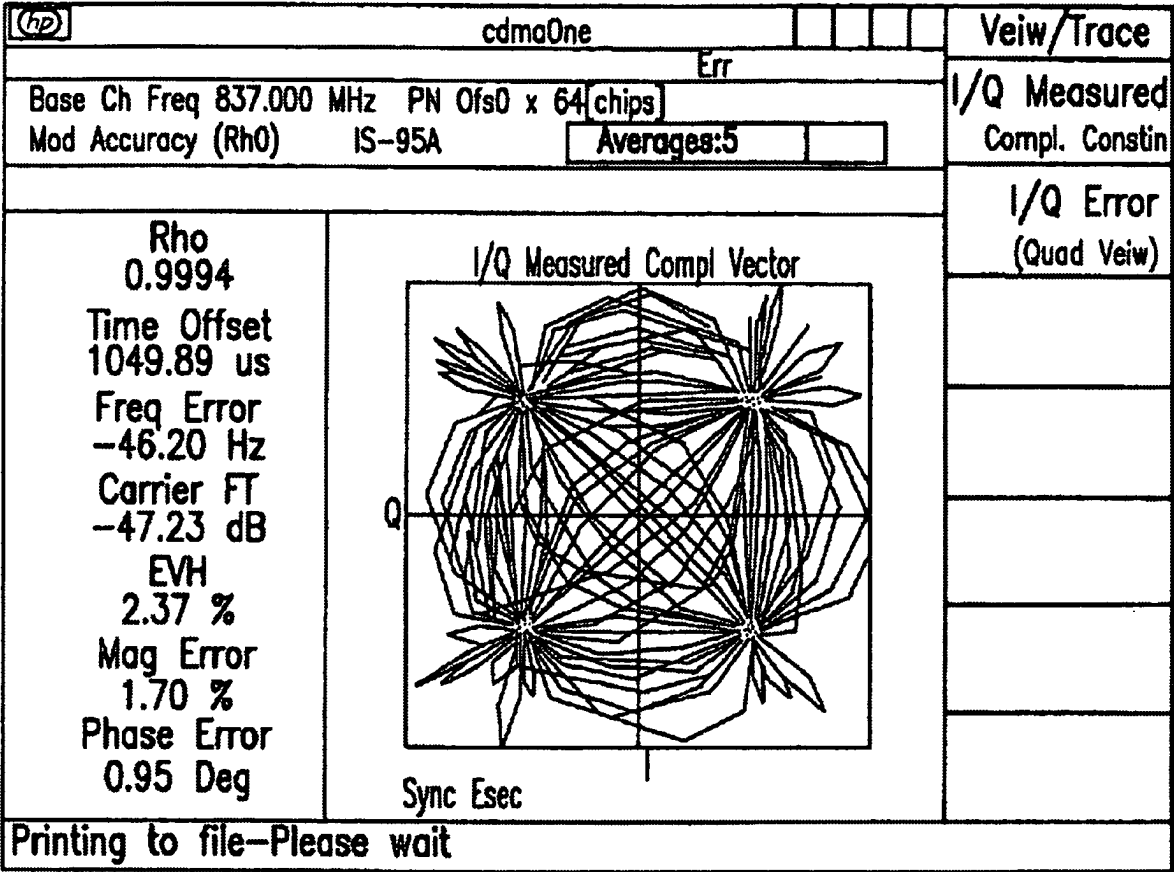
4402



MOBILE STATION SAMPLED CONSTELLATION

FIG.45

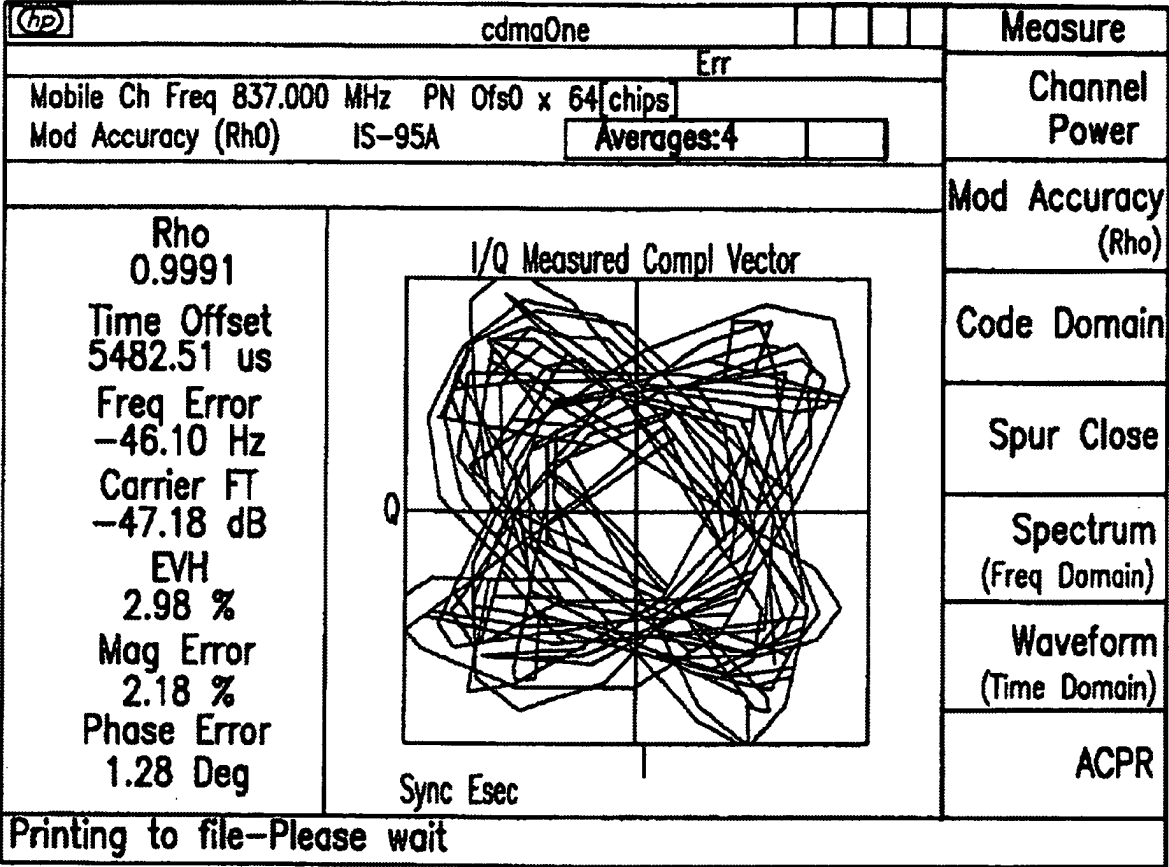
4502



BASE STATION CONSTELLATION USING
ONLY H/P TEST EQUIPMENT

FIG.46

4602



MOBILE CONSTELLATION USING ONLY H/P TEST EQUIPMENT

FIG.47

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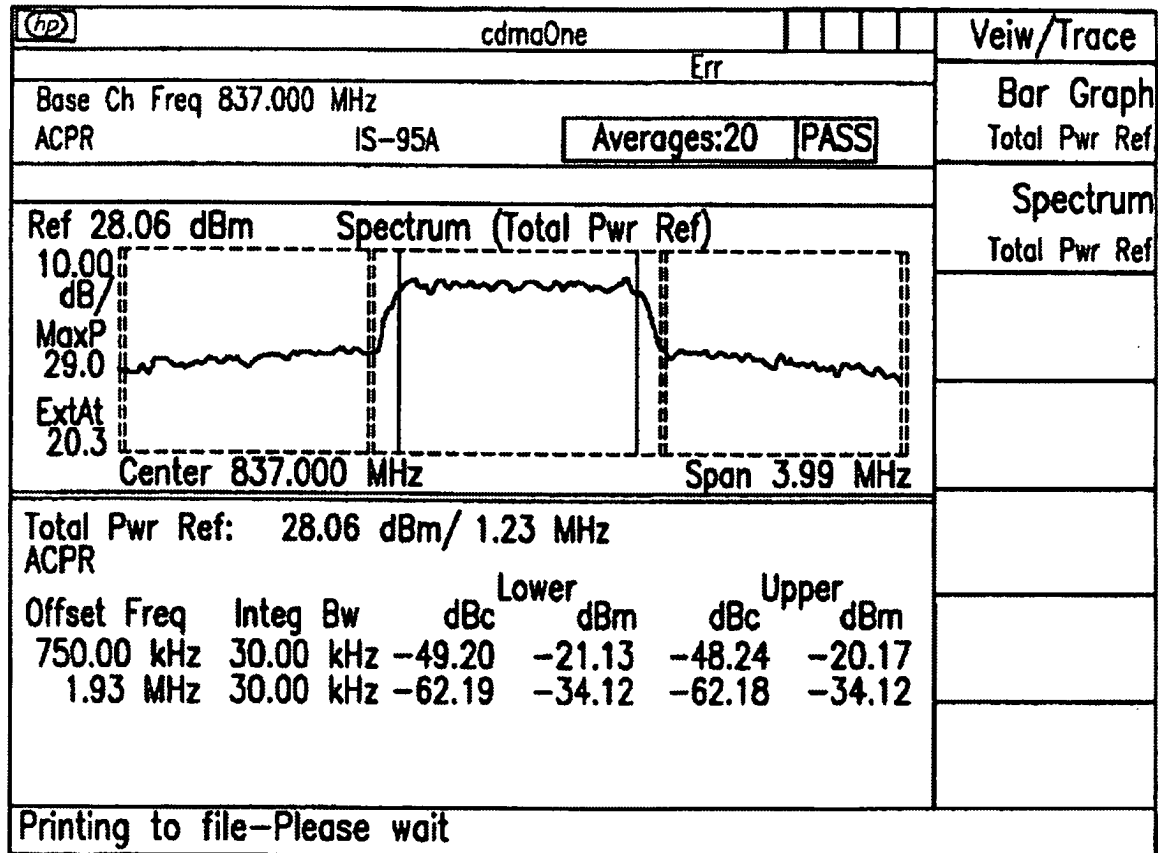


FIG. 48

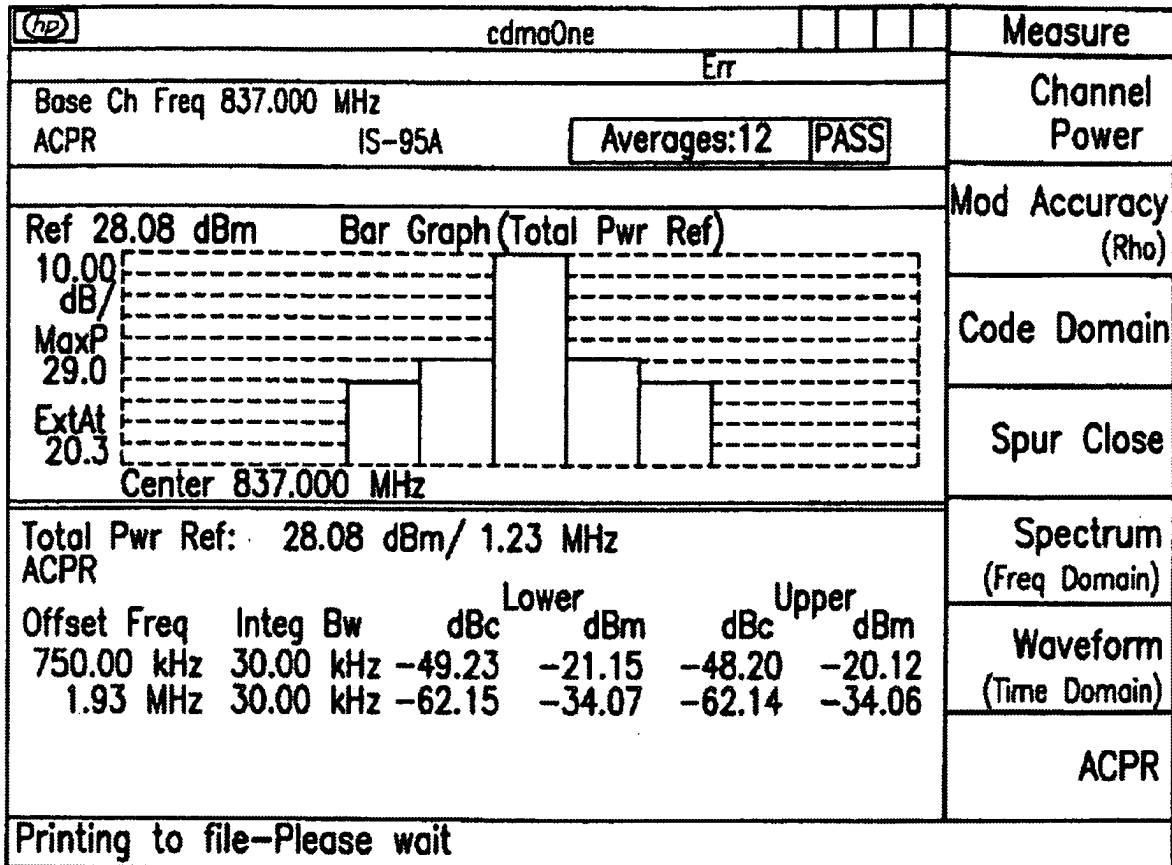
4802

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BASE STATION SPECTRAL RESPONSE WITH MASK

FIG.49

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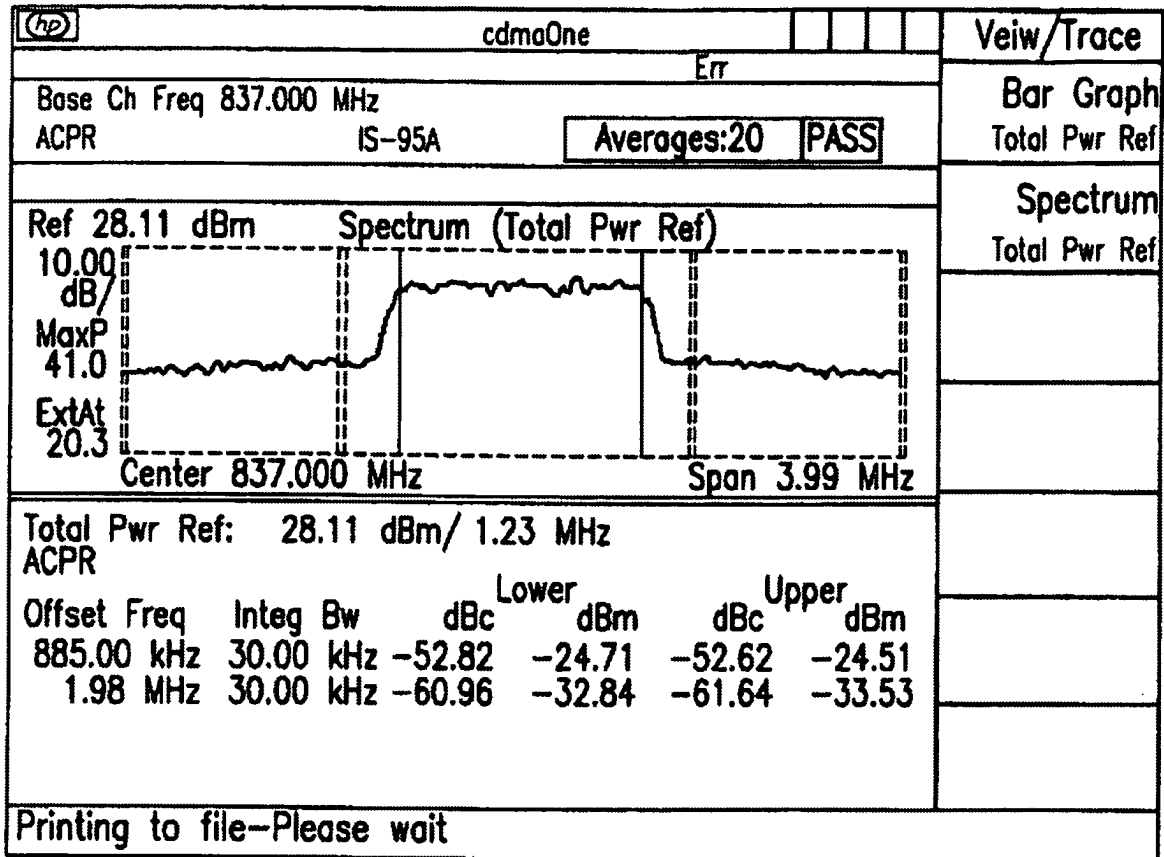


FIG. 50

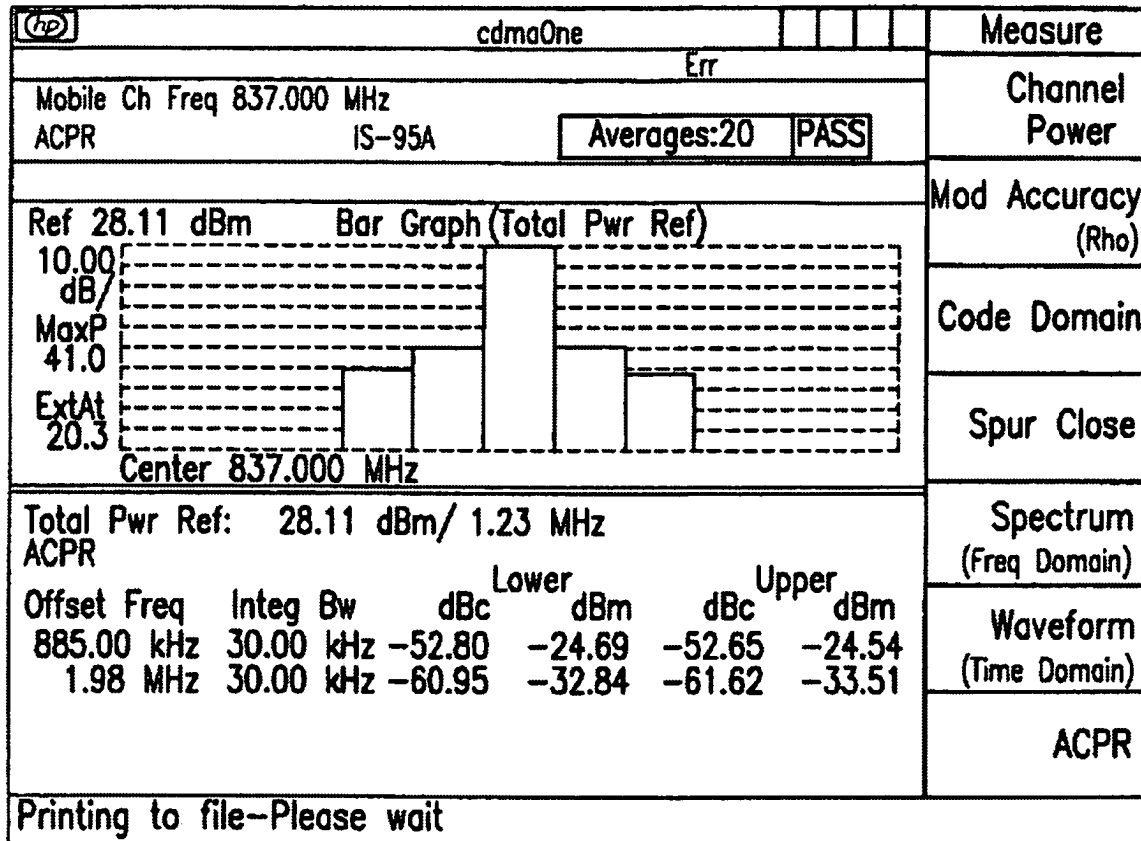
5002

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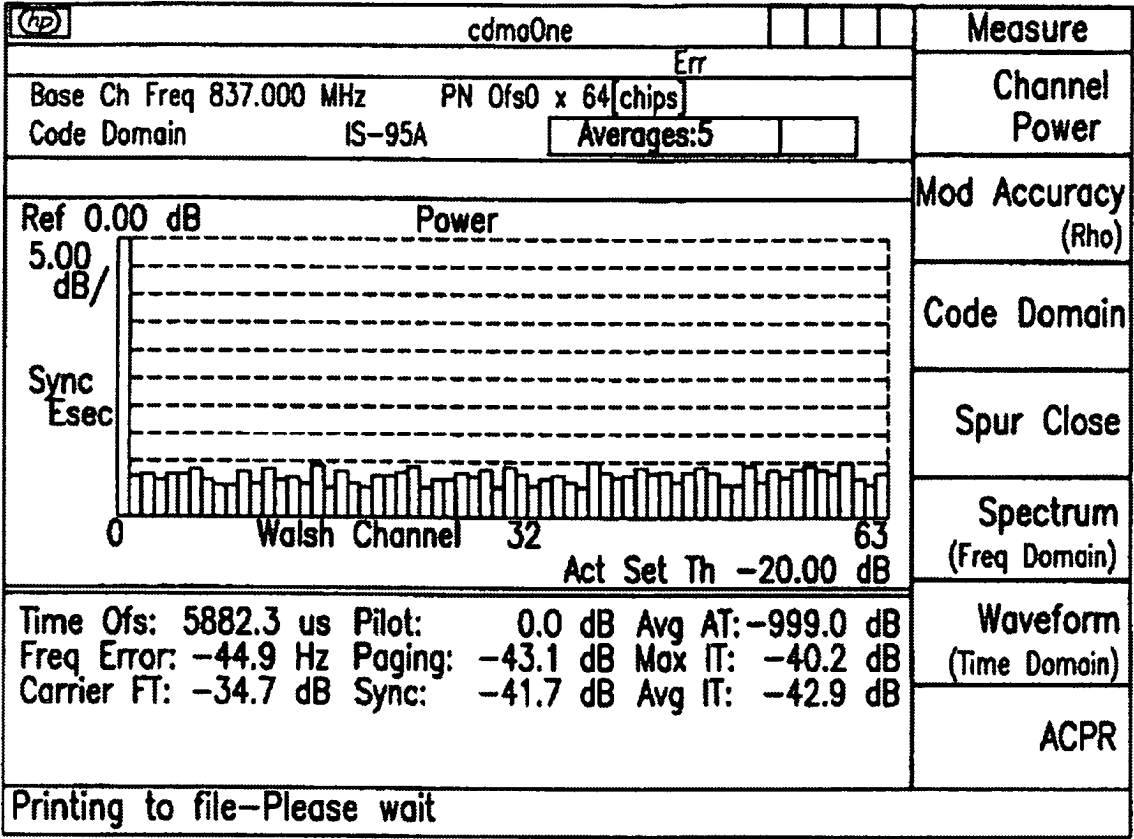
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MOBILE STATION SPECTRAL RESPONSE WITH MASK

FIG.51

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CDMA CROSSTALK

FIG.52A

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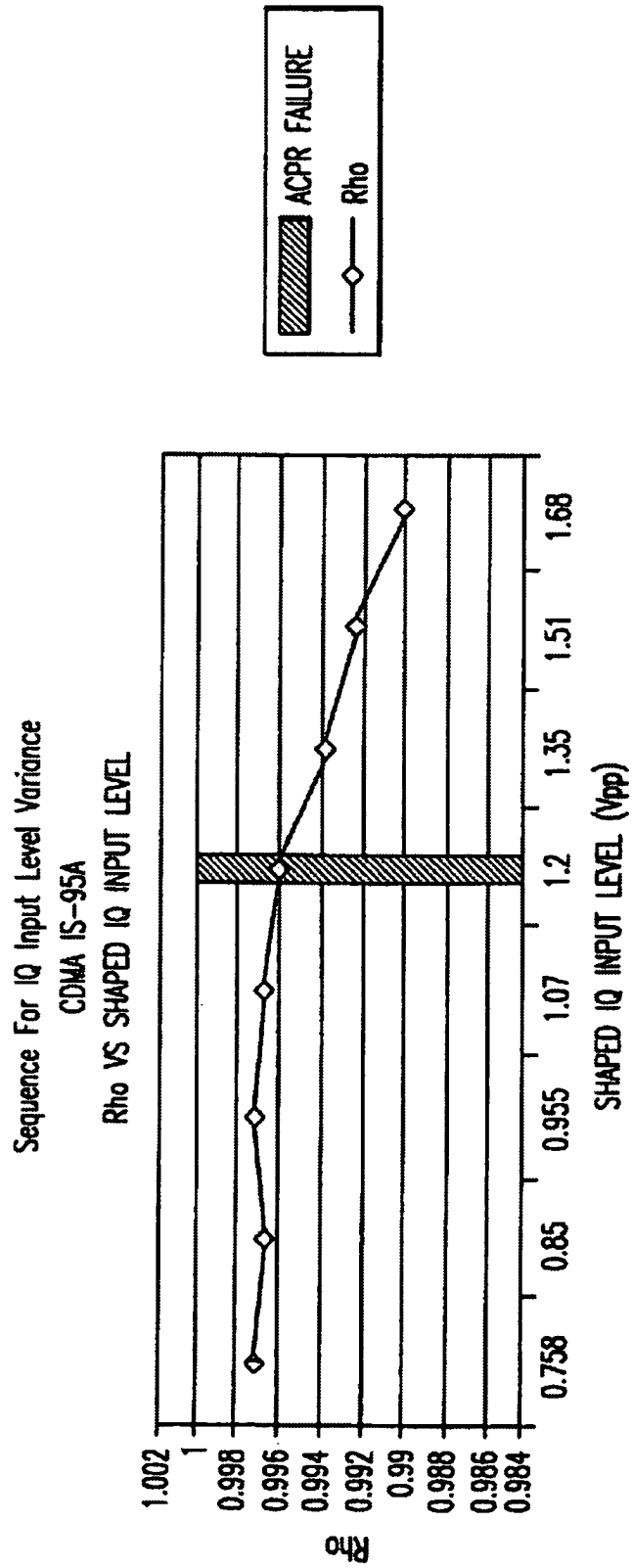


FIG. 52B

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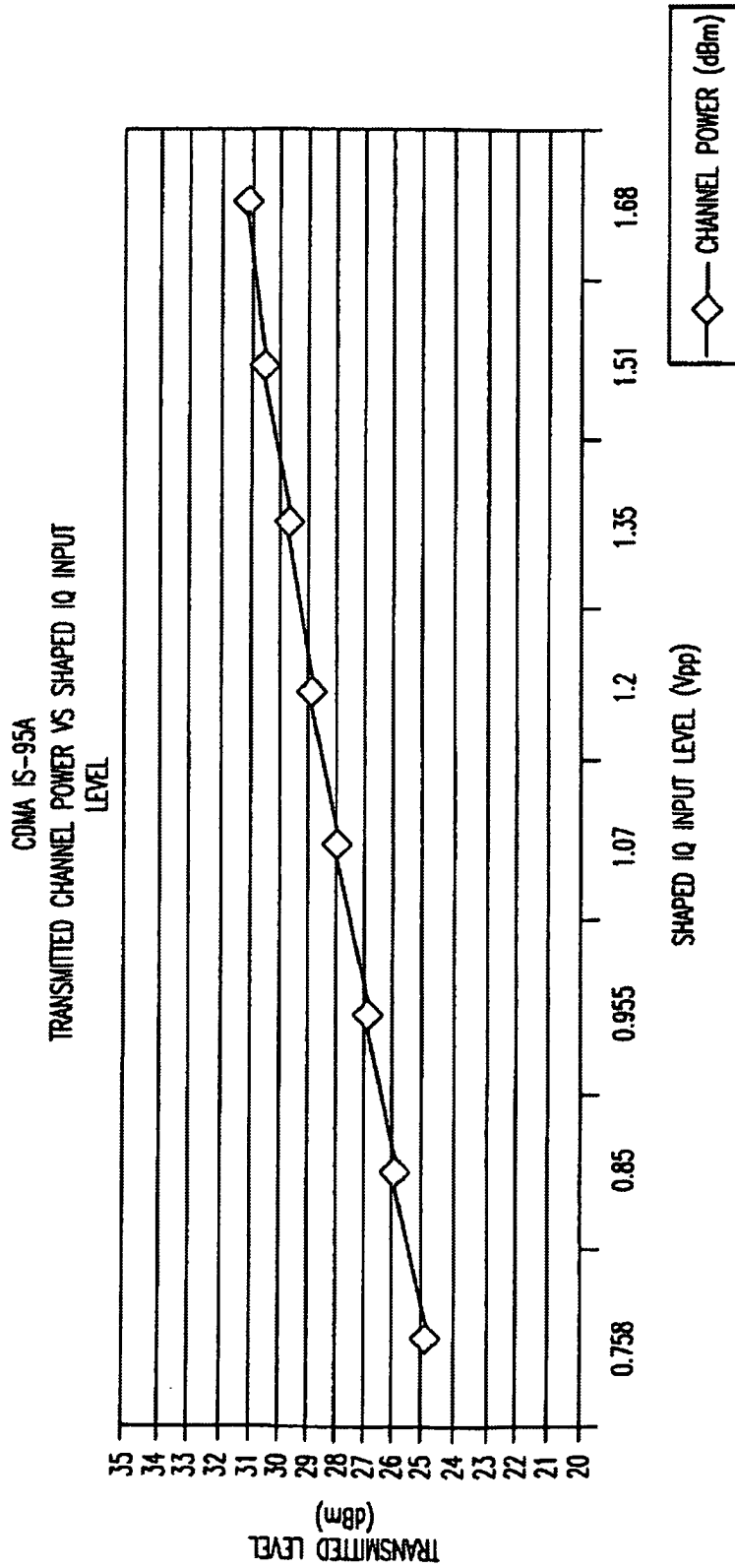


FIG. 52C

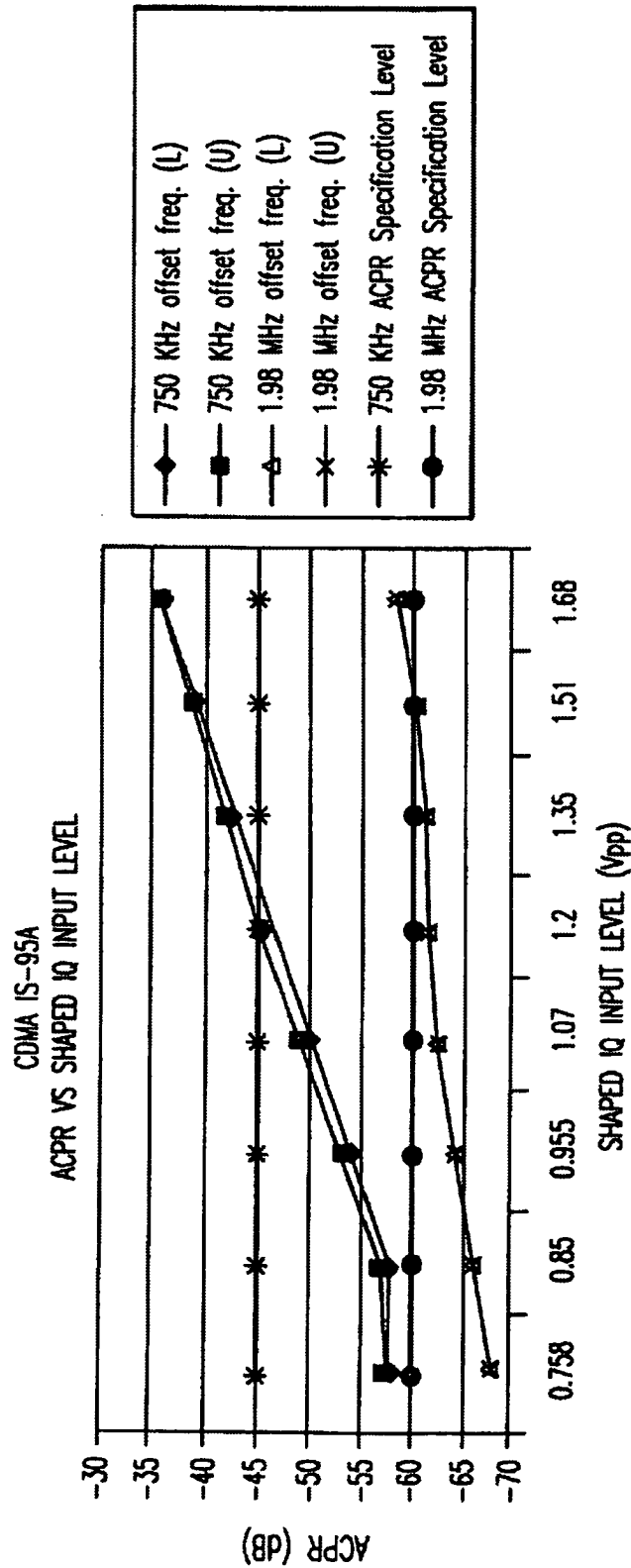


FIG.52D

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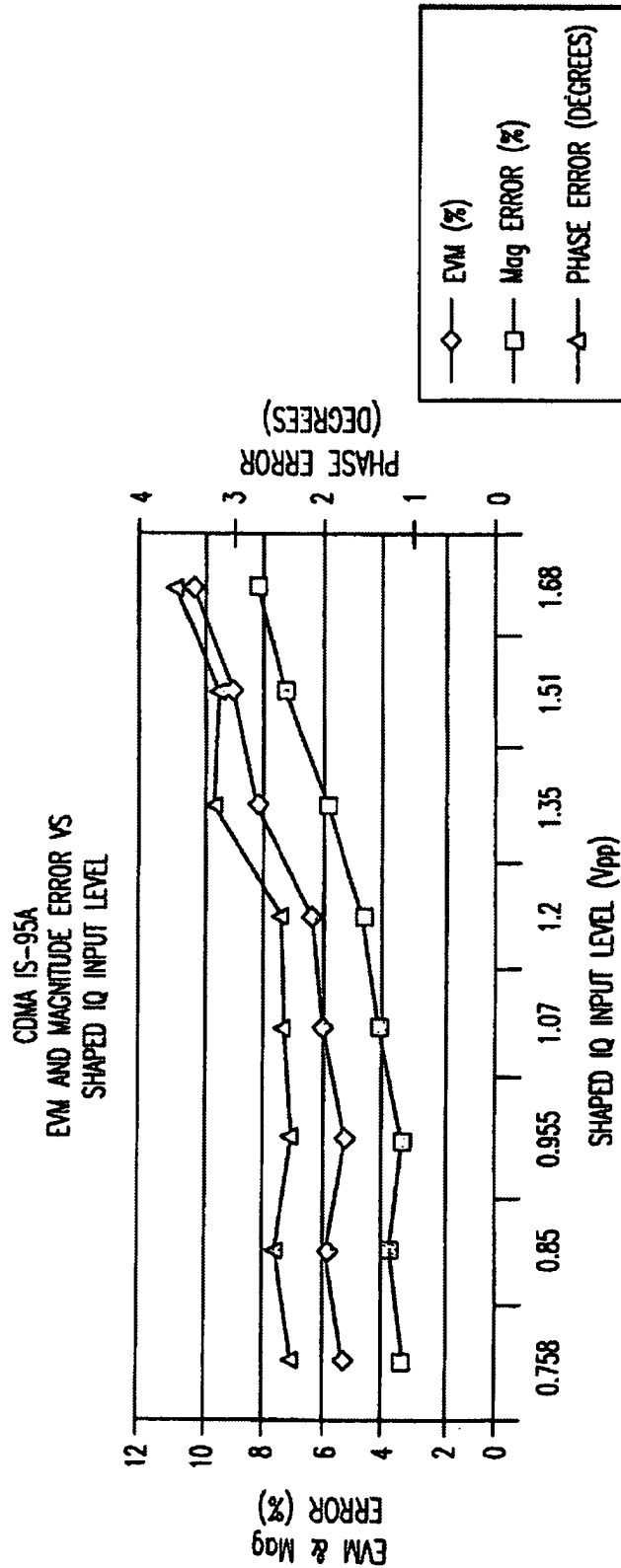


FIG. 52E

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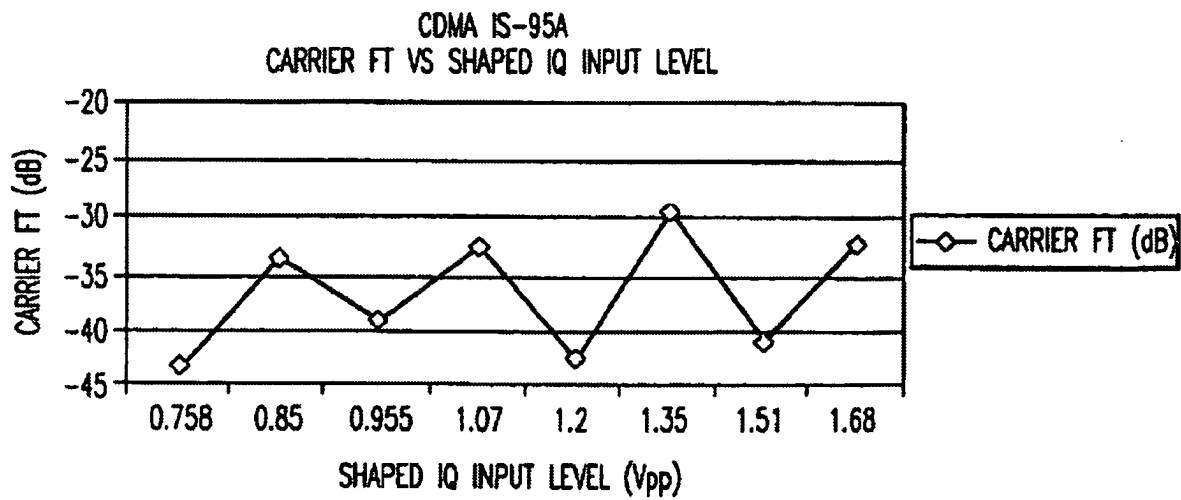


FIG.52F

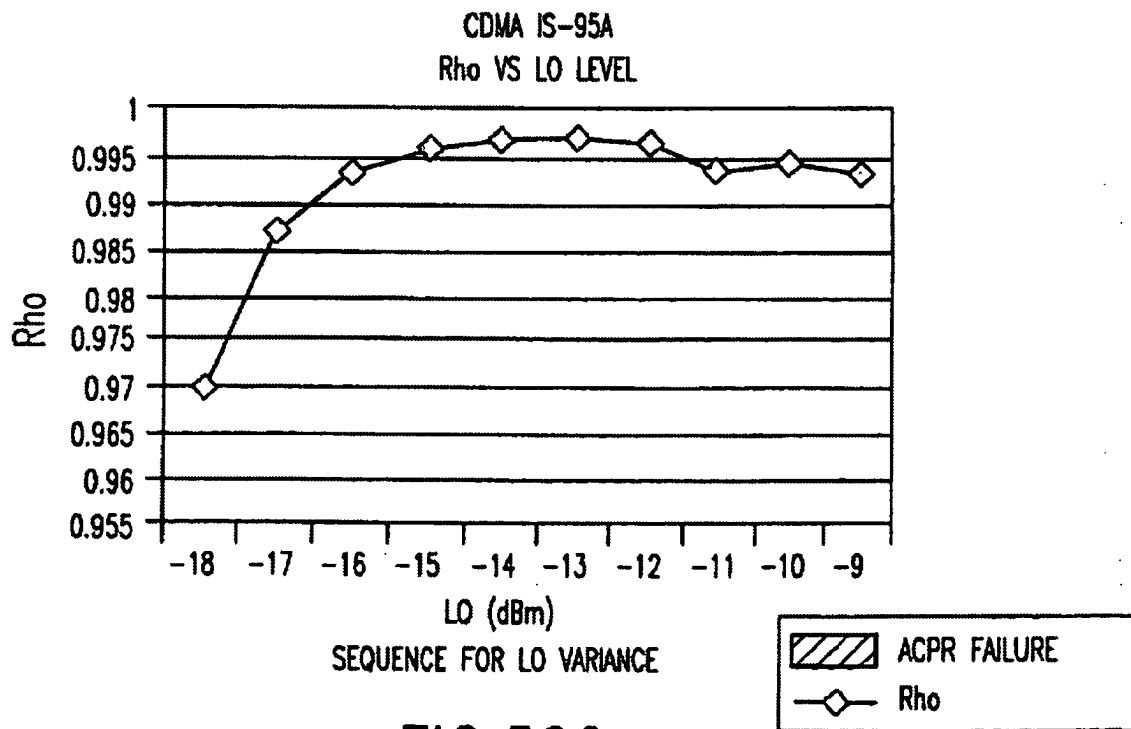


FIG.52G

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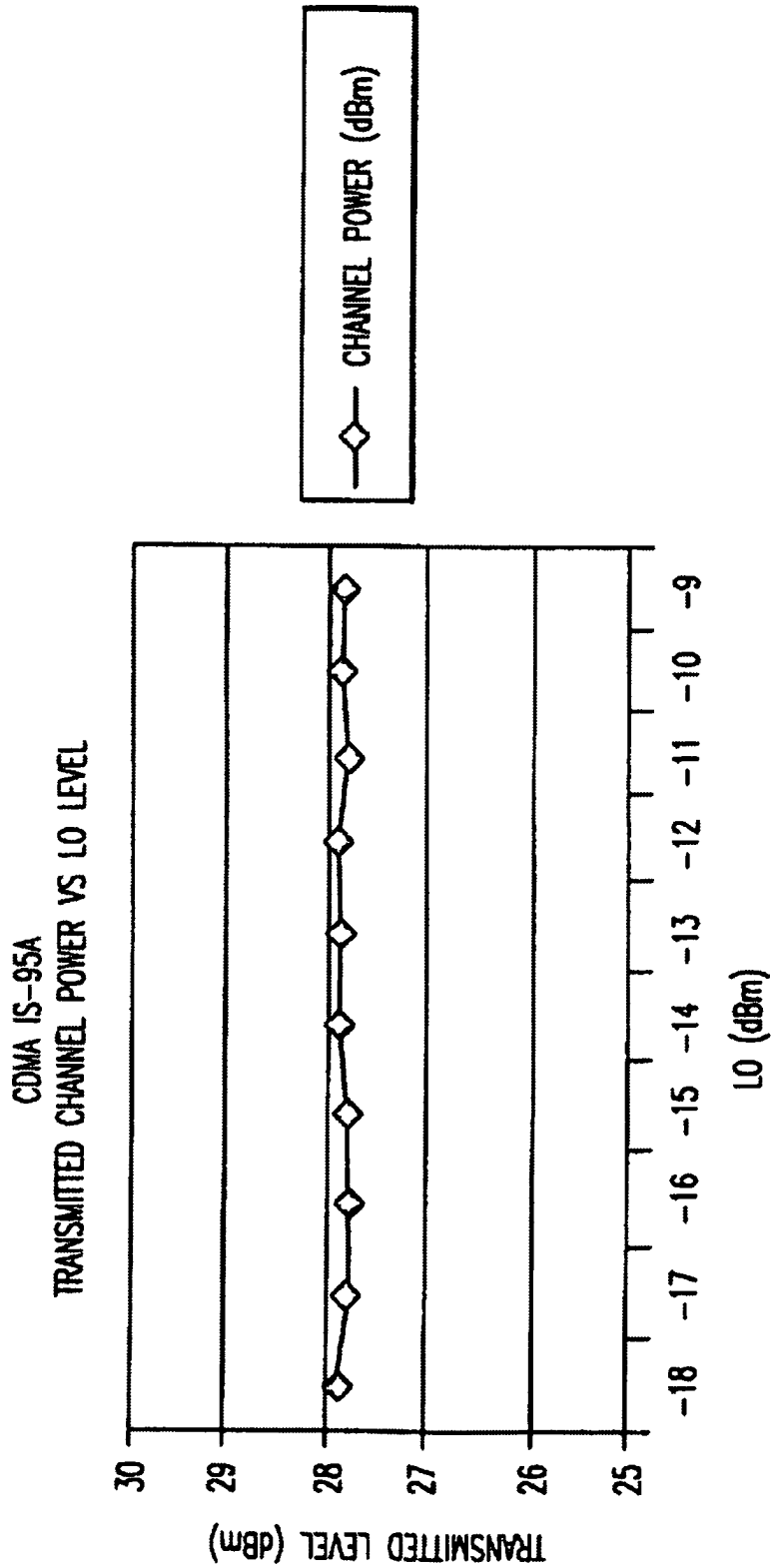


FIG. 52H

CDMA IS-95A
ACPR vs LO LEVEL

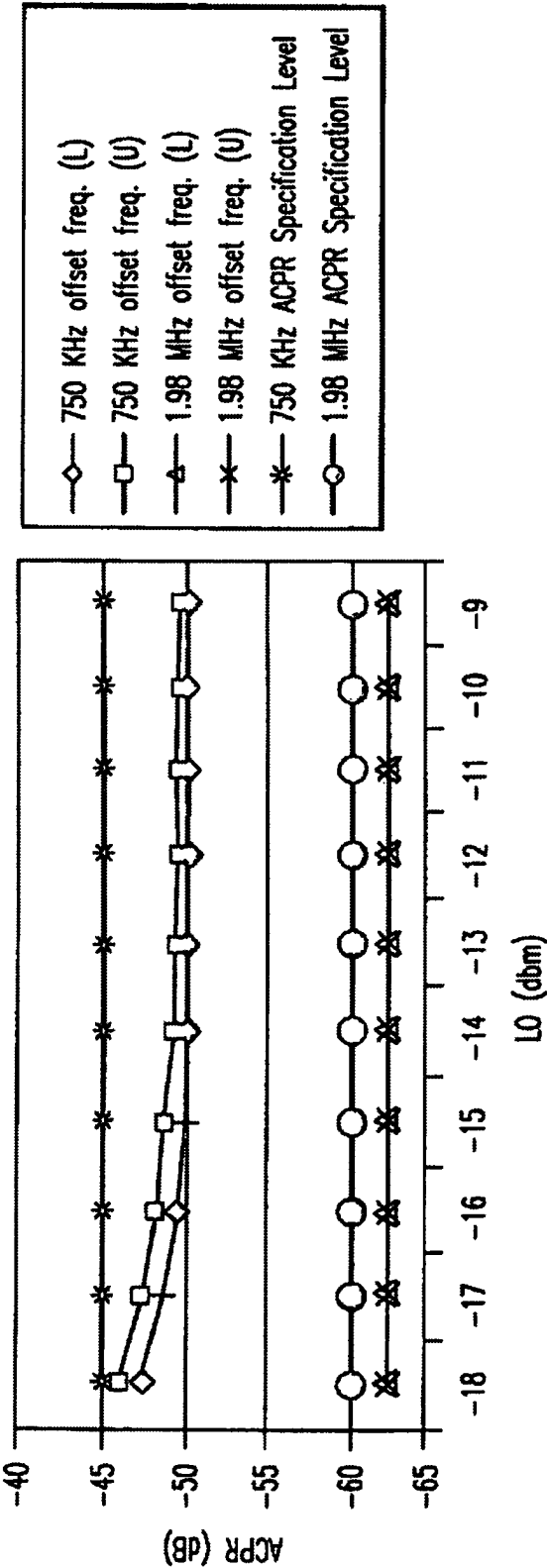


FIG. 521

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CDMA IS-95A
EVM and Magnitude Error vs
LO Level

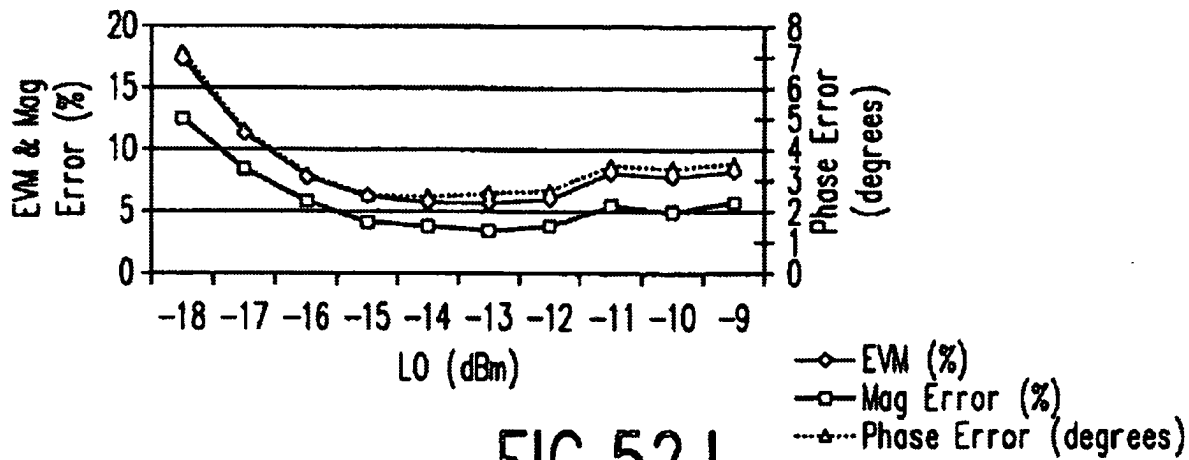


FIG.52J

CDMA IS-95A
Carrier FT vs LO Level

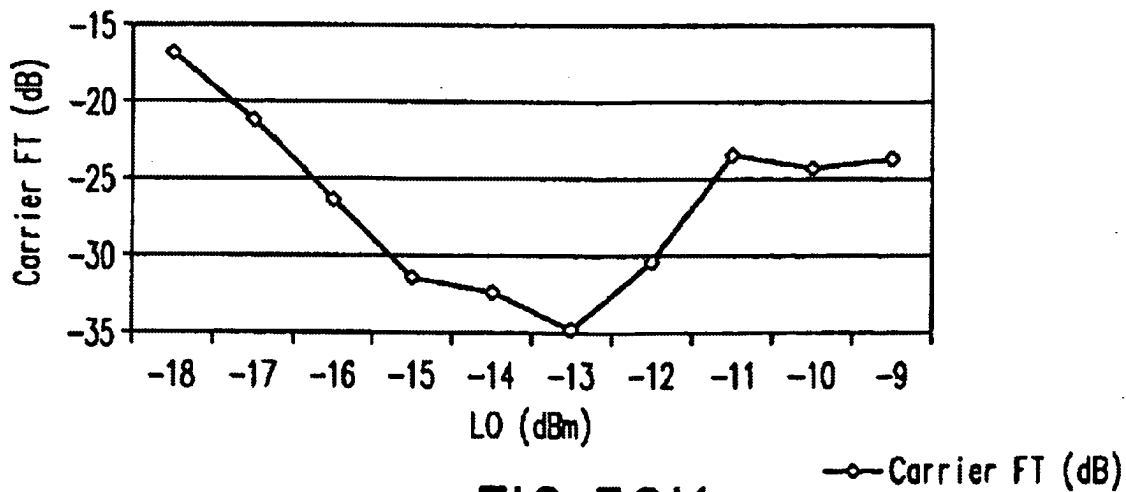


FIG.52K

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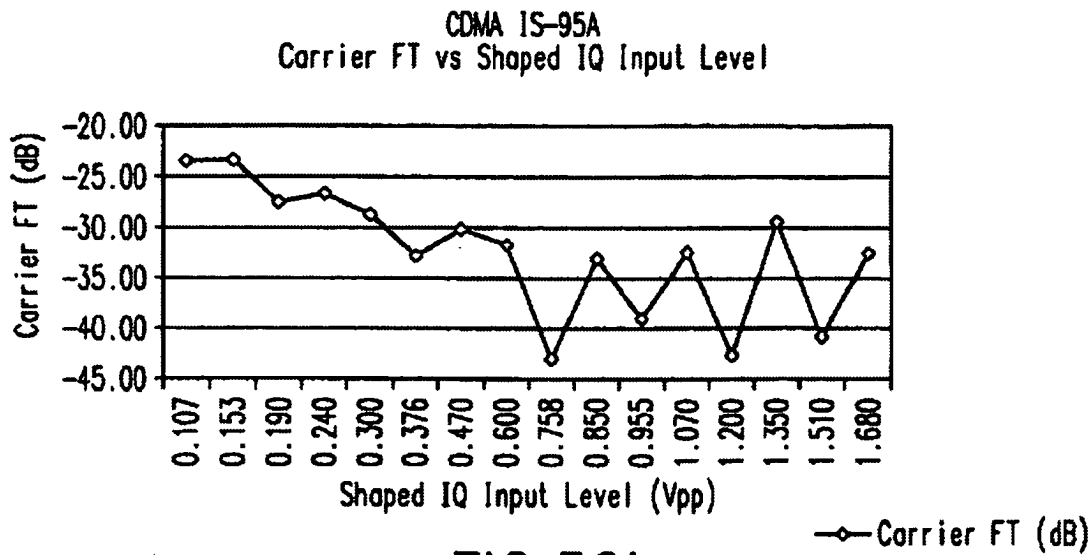


FIG.52L

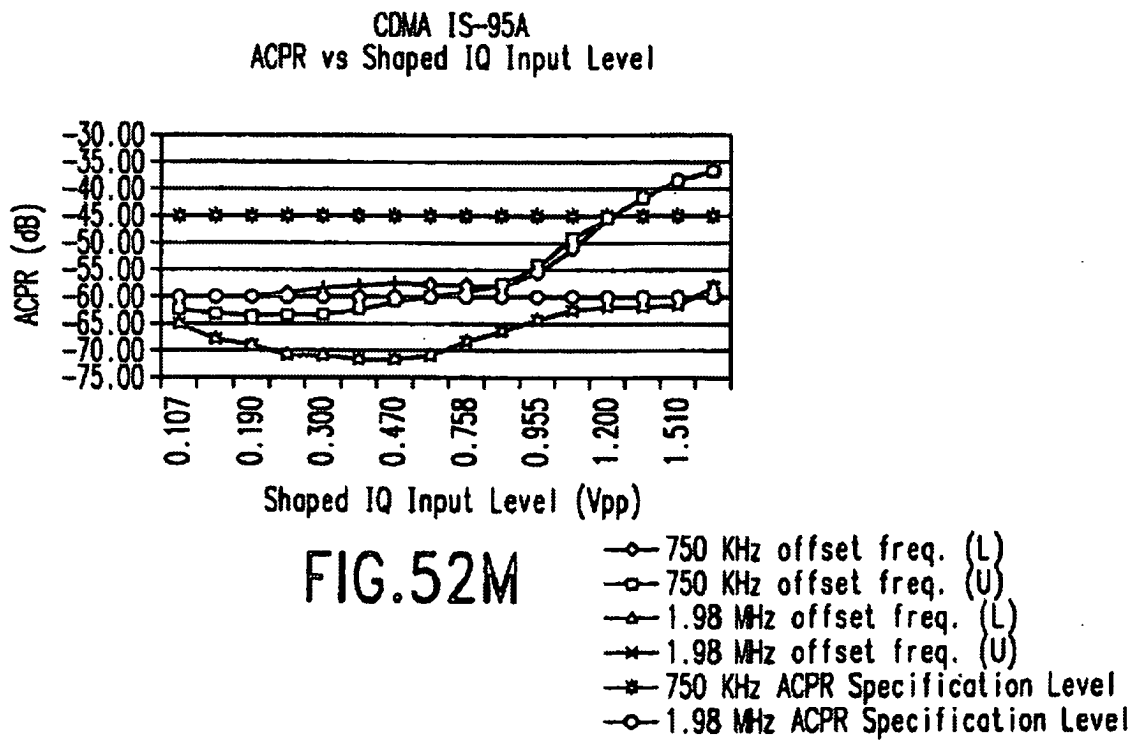
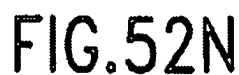


FIG.52M



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Sequence For IQ Input Level Variance
CDMA IS-95A Mobile Transmitter@3.3V
Rho vs Shaped IQ Input Level

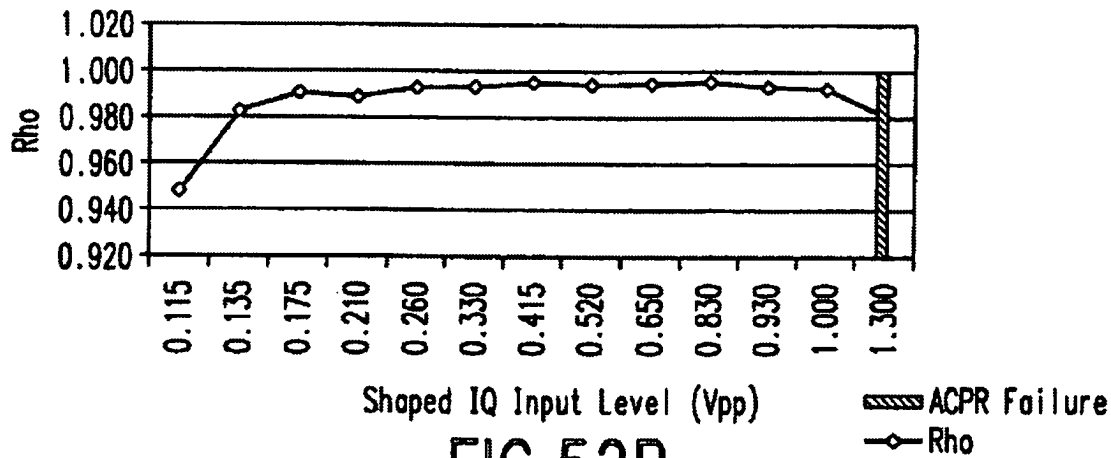


FIG.52P

CDMA IS-95A Mobile Transmitter@3.3V
Transmitted Channel Power vs Shaped IQ Input Level

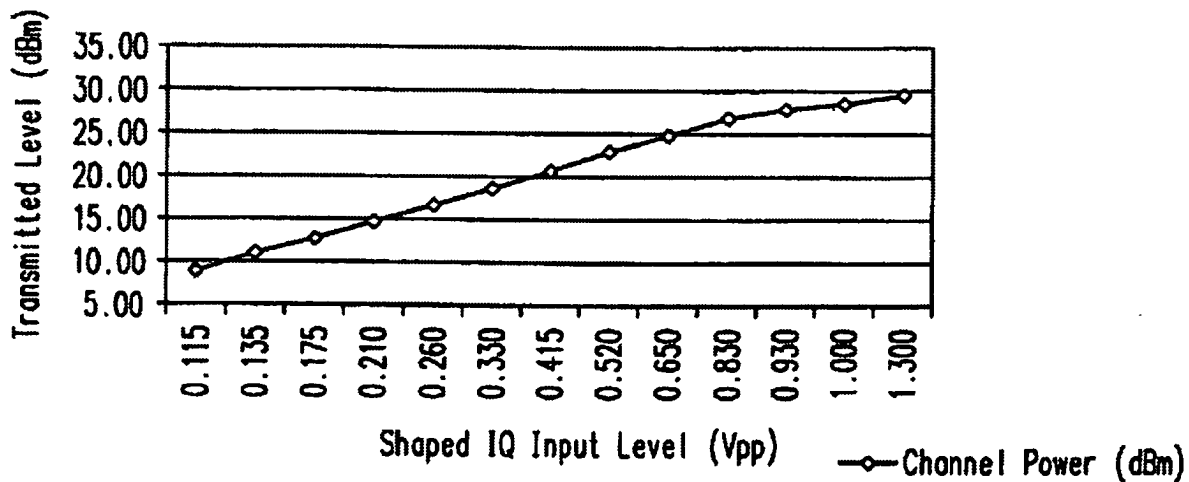


FIG.52Q

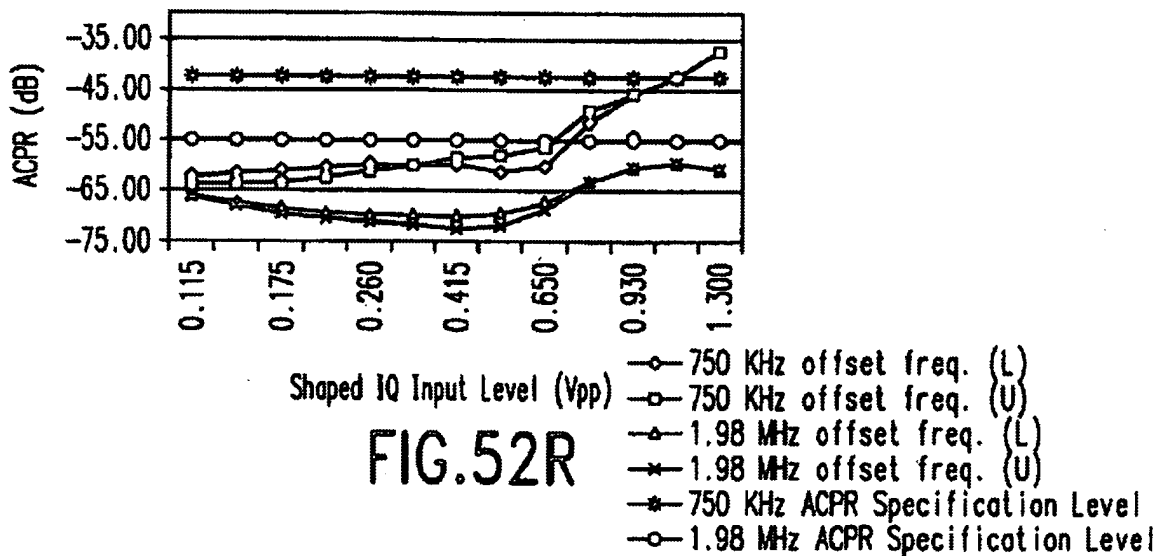
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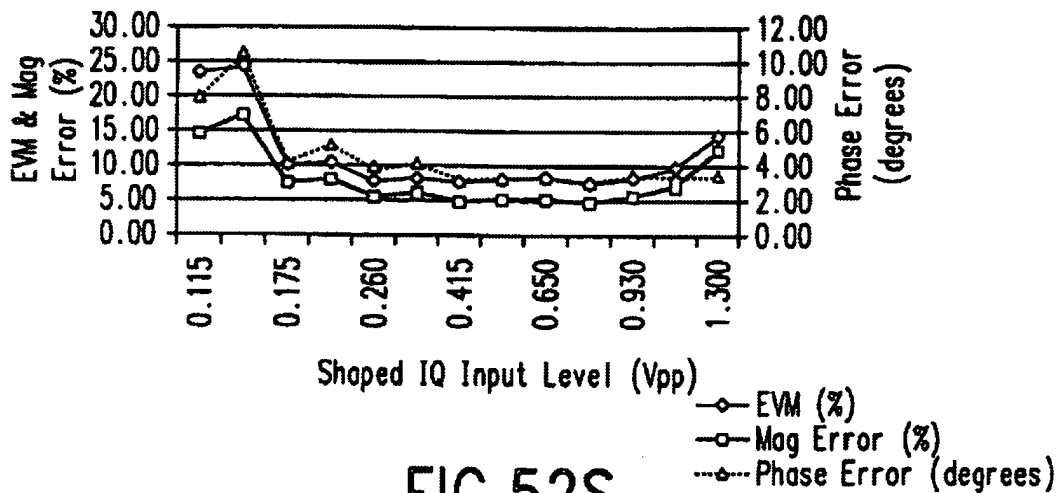
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CDMA IS-95A Mobile Transmitter@+3.3V
ACPR vs Shaped IQ Input Level



CDMA IS-95A Mobile Transmitter@+3.3V
EVM, Magnitude Error and Phase Error
vs Shaped IQ Input Level



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CDMA IS-95A Mobile Transmitter@+3.3V
Carrier FT vs Shaped IQ Input Level

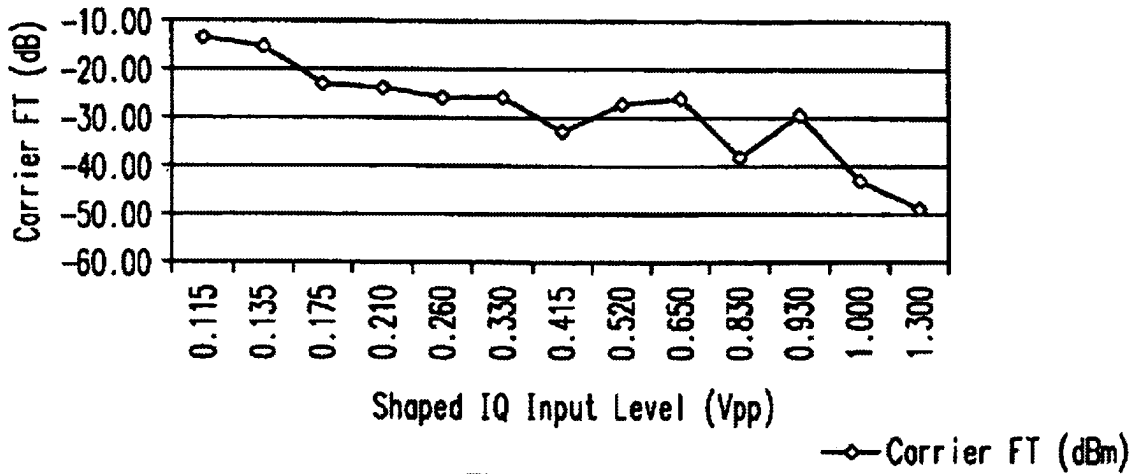


FIG.52T

Sequence For LO Variance
CDMA IS-95A Mobile Transmitter@+3.3V
Rho vs LO Level

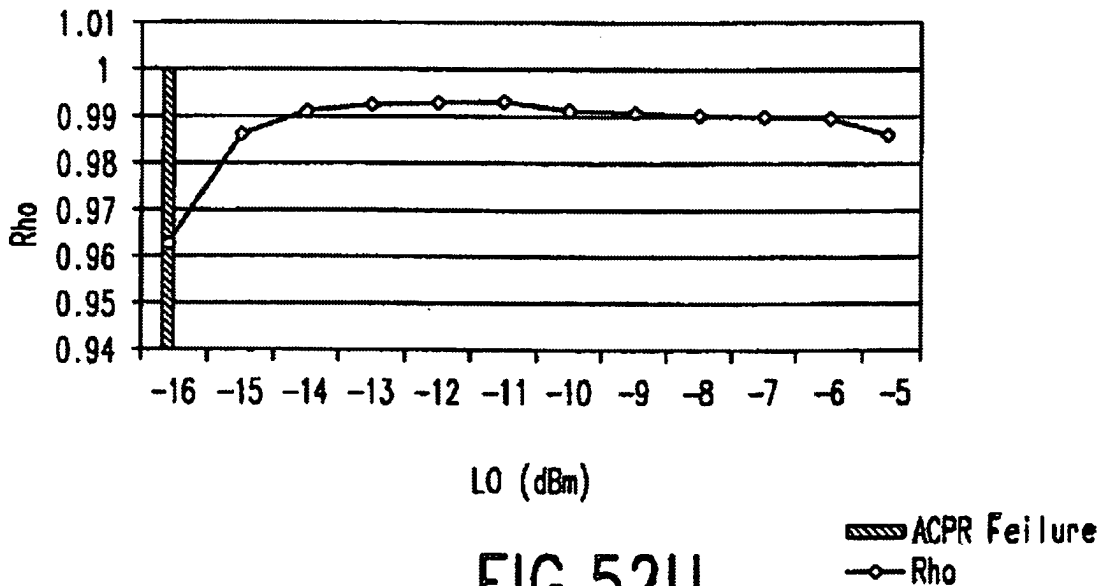


FIG.52U

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CDMA IS-95A Mobile Transmitter @ +3.3V
Transmitted Channel Power vs LO Level

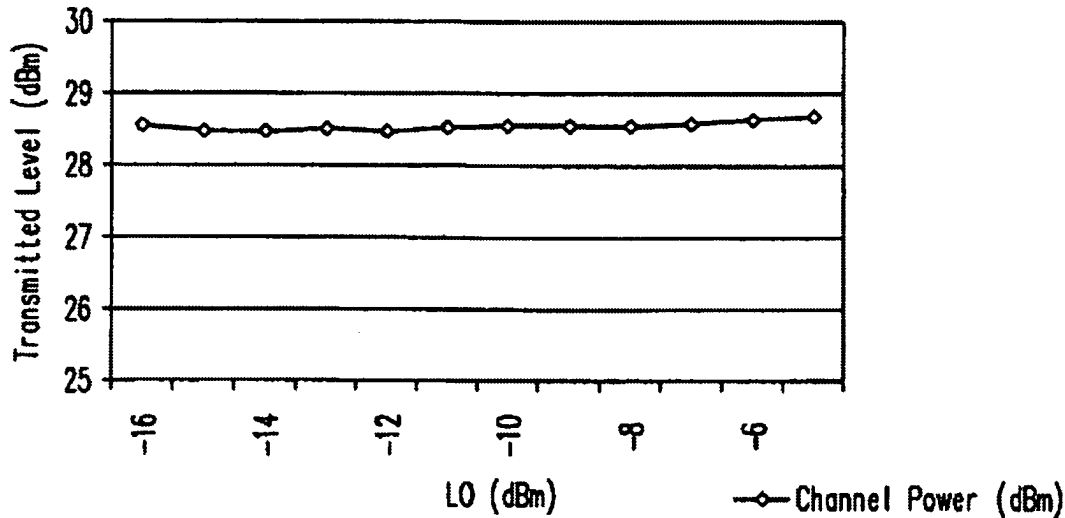


FIG. 52V

CDMA IS-95A Mobile Transmitter @ +3.3V
ACPR vs LO Level

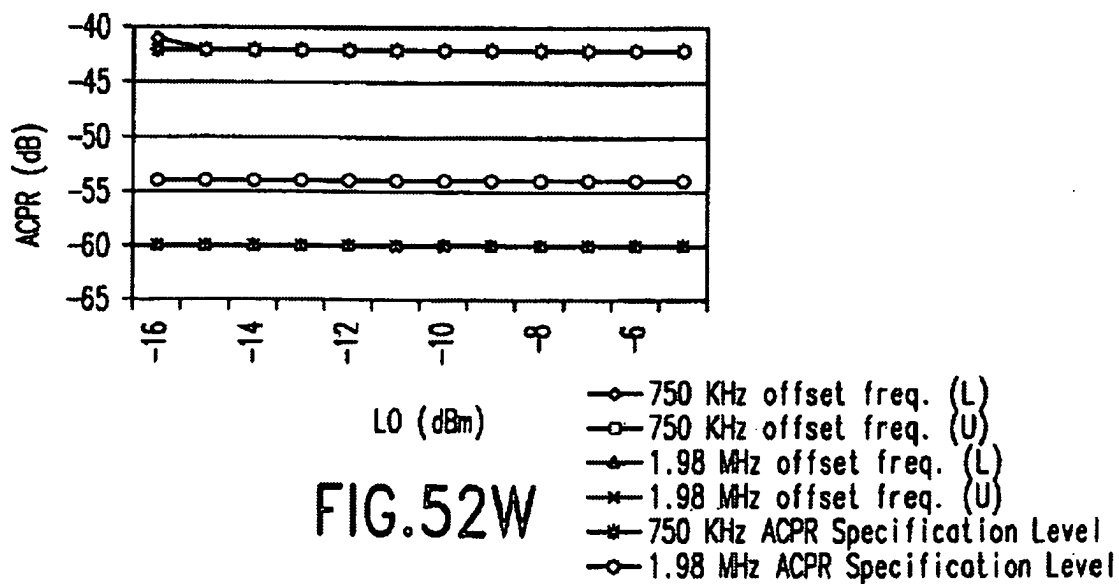


FIG. 52W

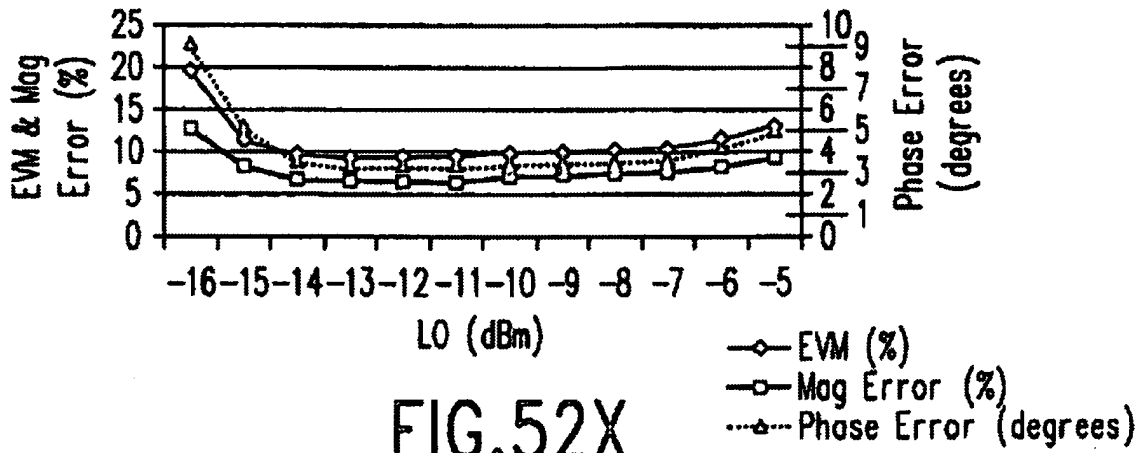
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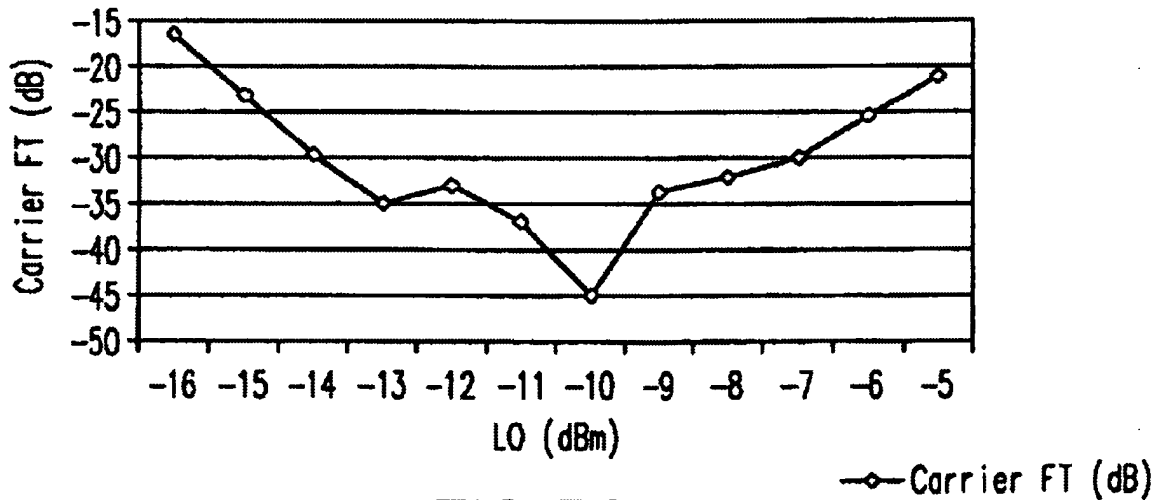
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CDMA IS-95A Mobile Transmitter@+3.3V
EVM and Magnitude Error vs
LO Level



CDMA IS-95A Mobile Transmitter@+3.3V
Carrier FT vs LO Level



QUANTITY	DESCRIPTION	VOLTAGE	TOTAL CURRENT	POWER
2	CORES	3.3	4mA	13.2mW
2	BASEBAND INTERFACE CIRCUITS WITH/BW LIMIT	3.3	6mA	21.8mW
1	CLOCK CIRCUIT	3.3	5mA	20.0mW
			SUB TOTAL	54.0mW

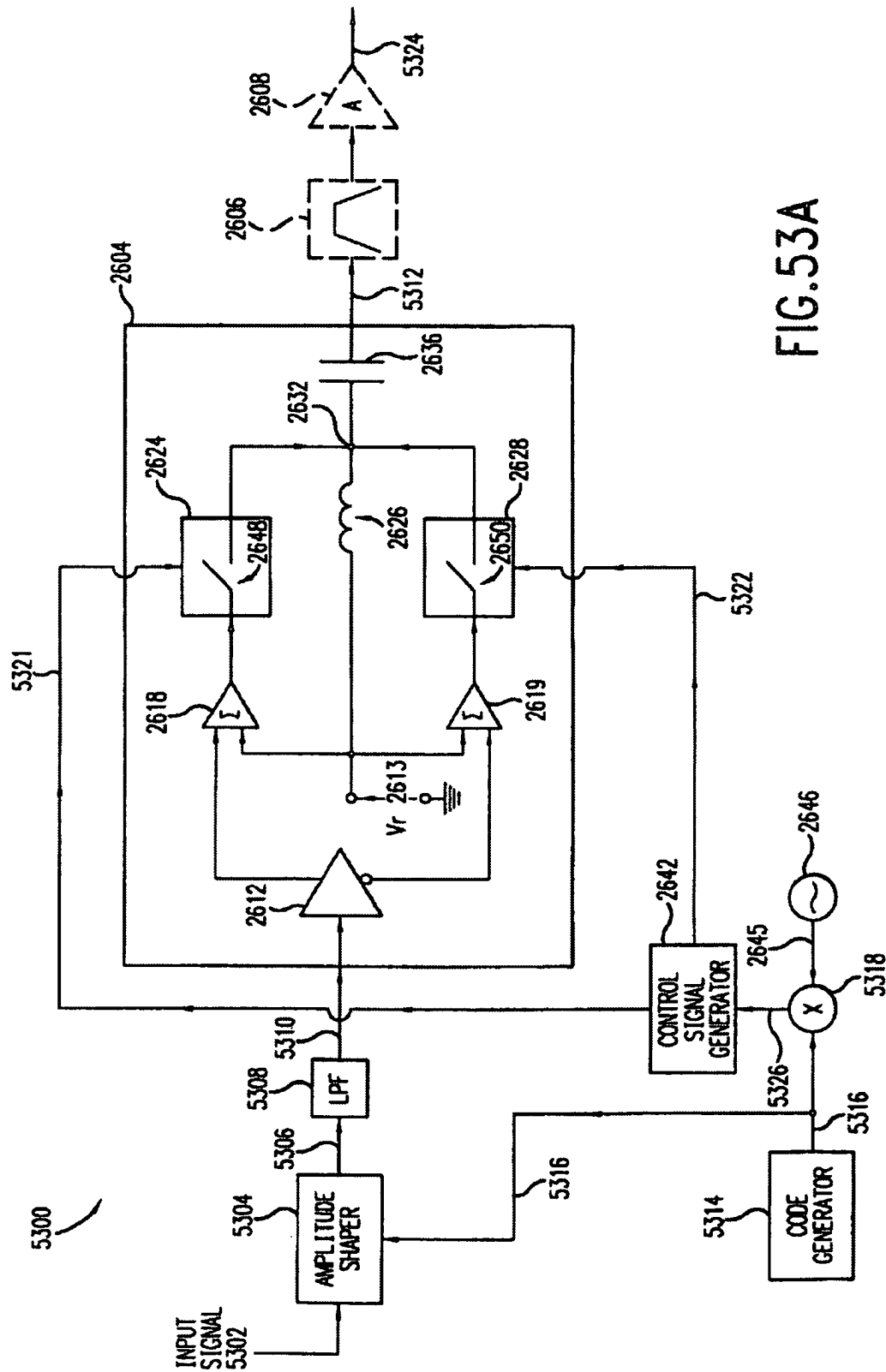
FIG. 52Z

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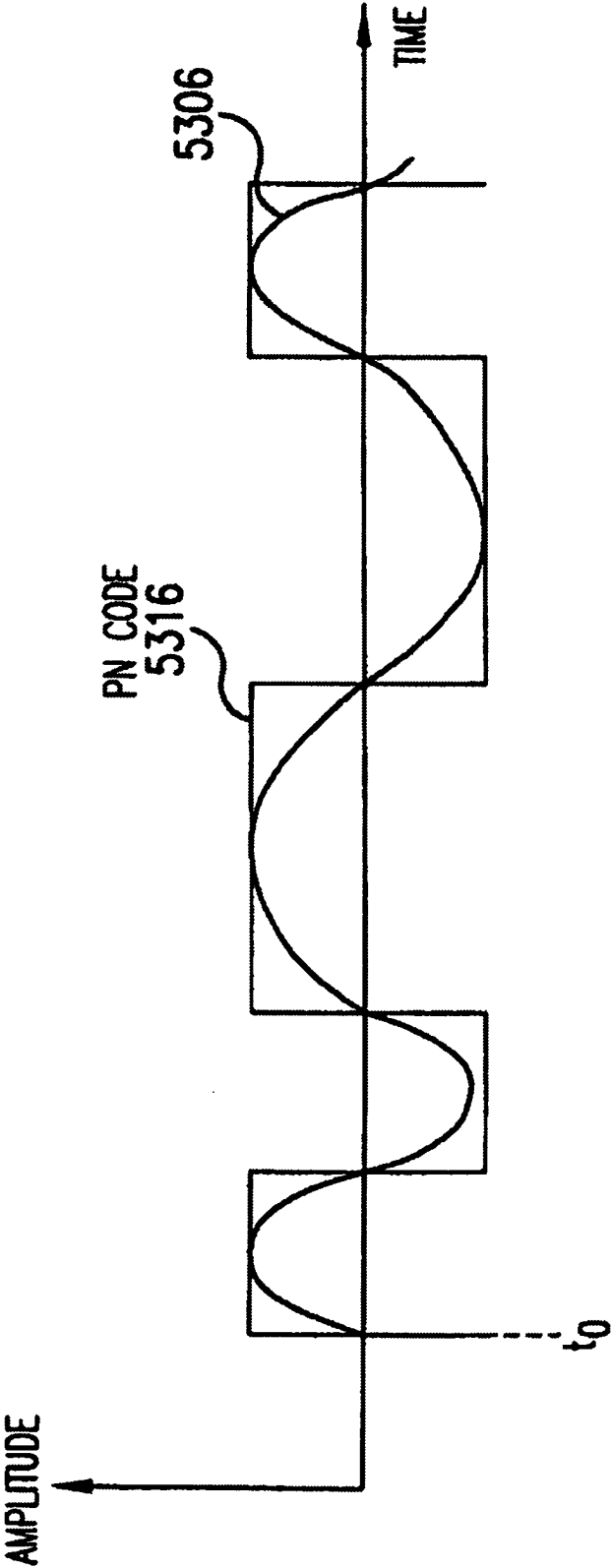


FIG. 53B

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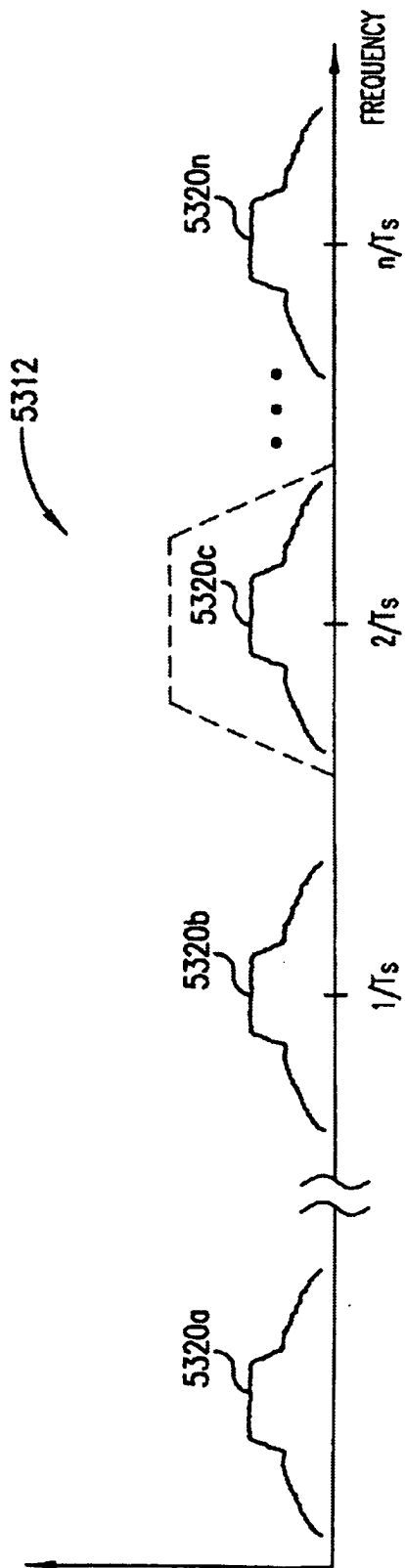


FIG. 53C

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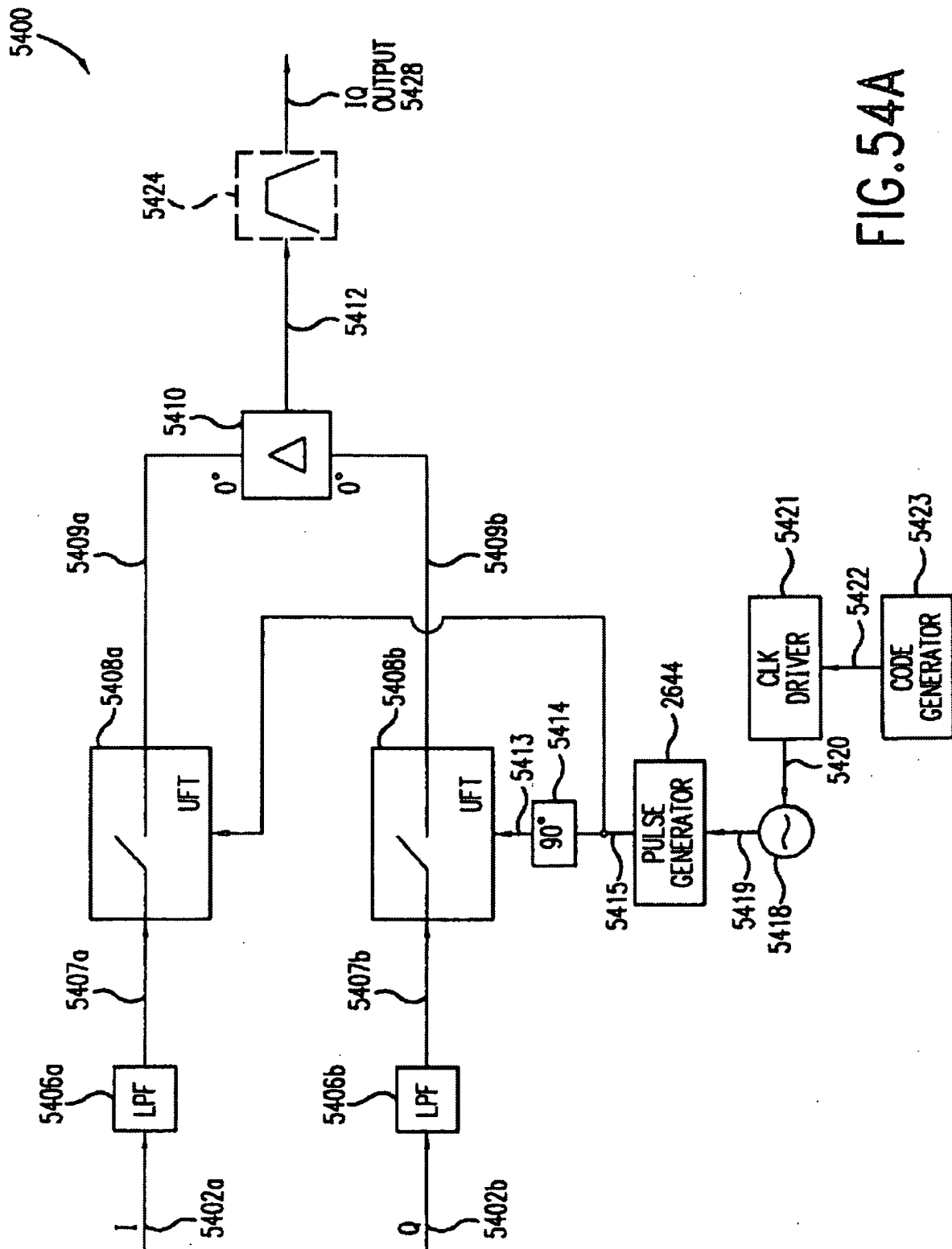


FIG. 54A

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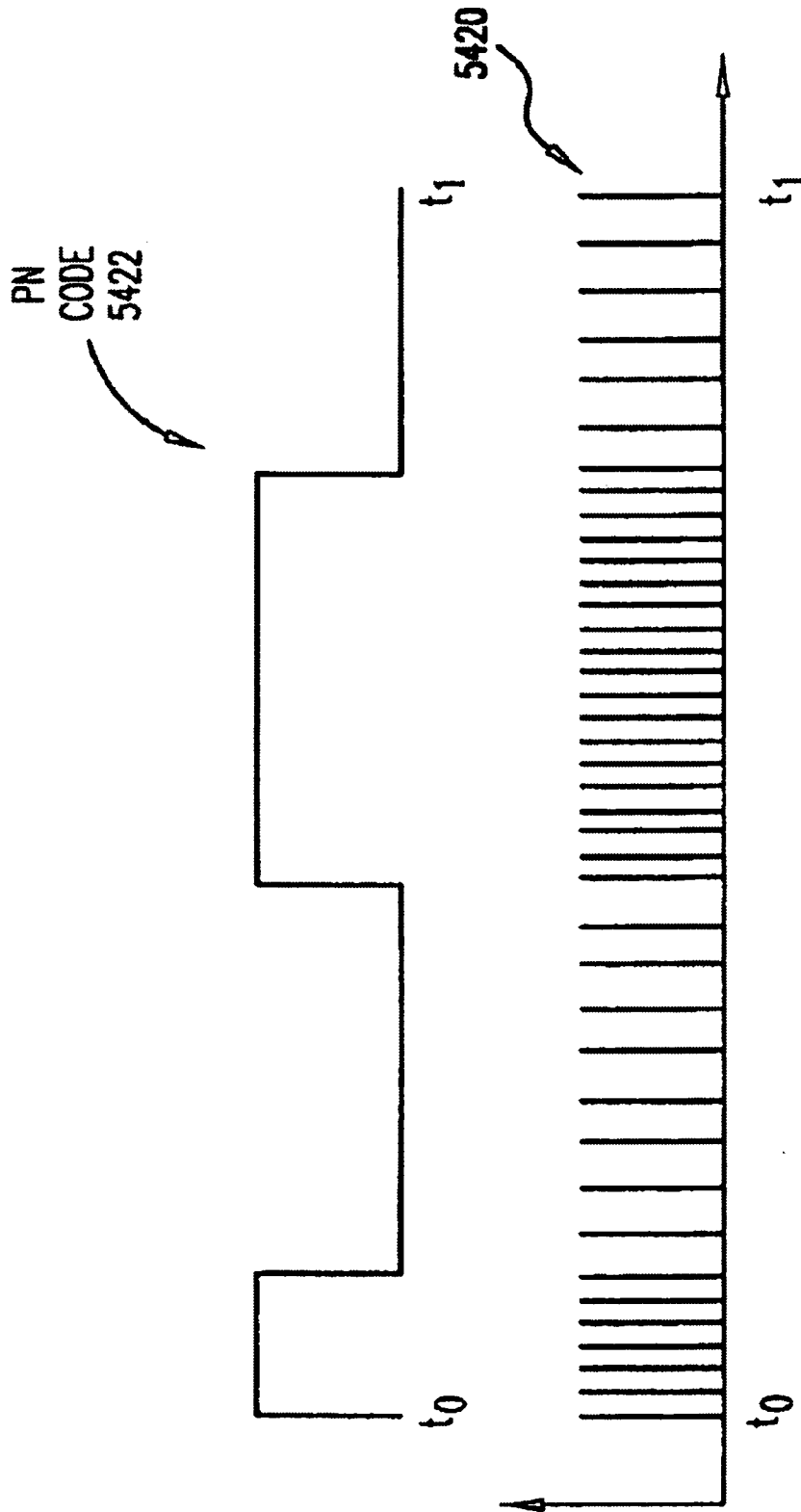


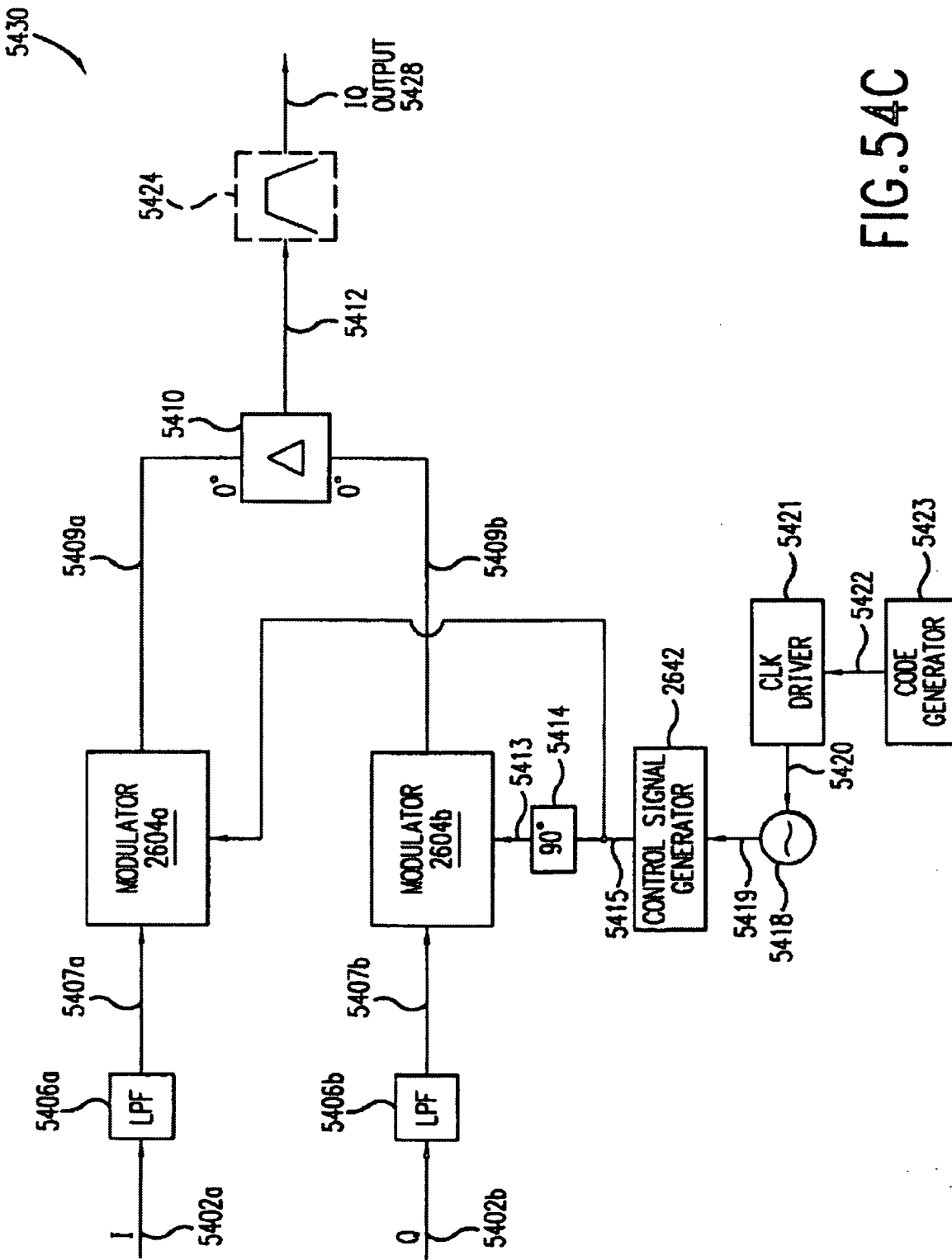
FIG. 54B

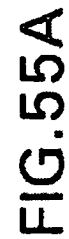
U.S. Patent

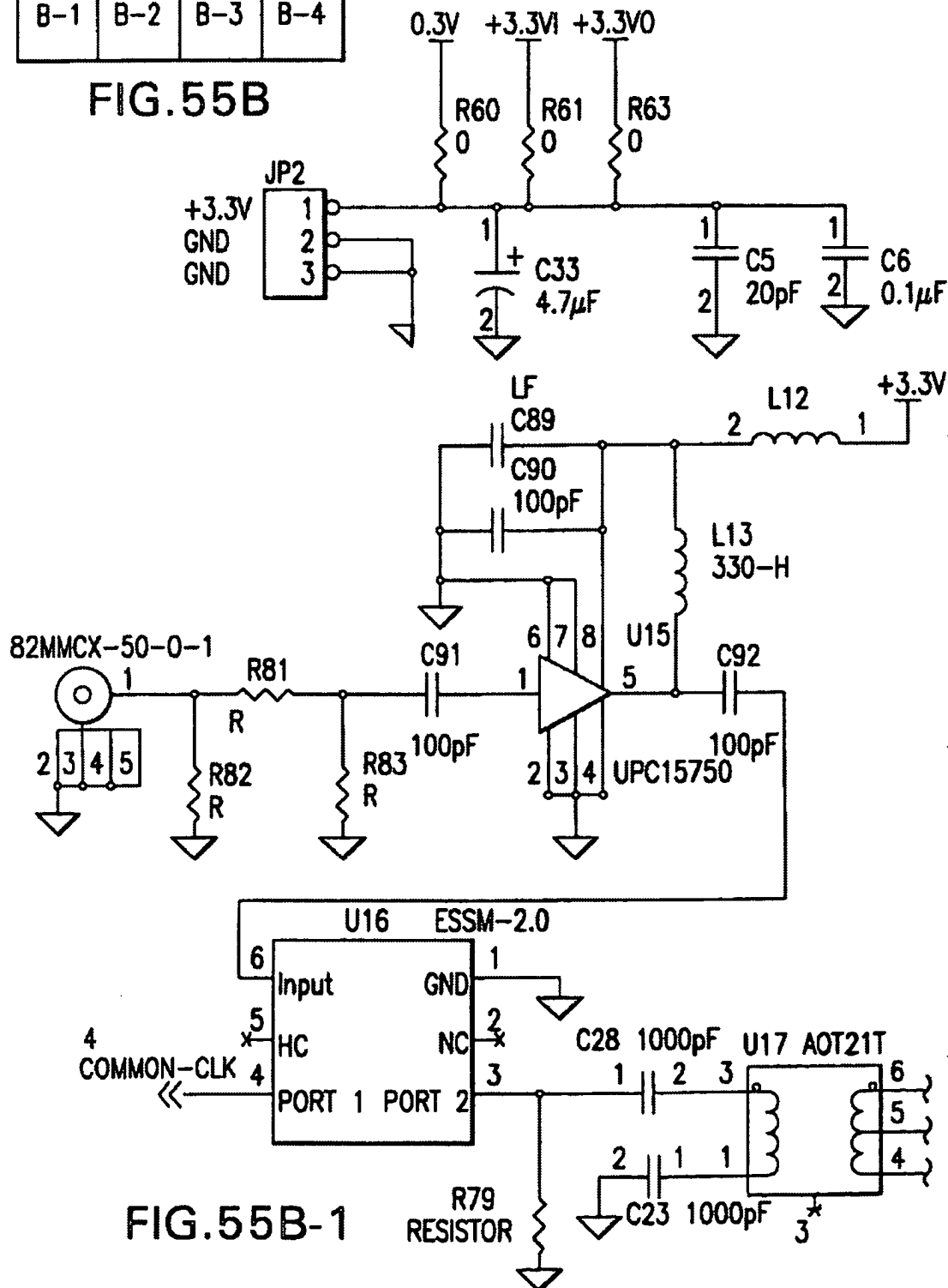
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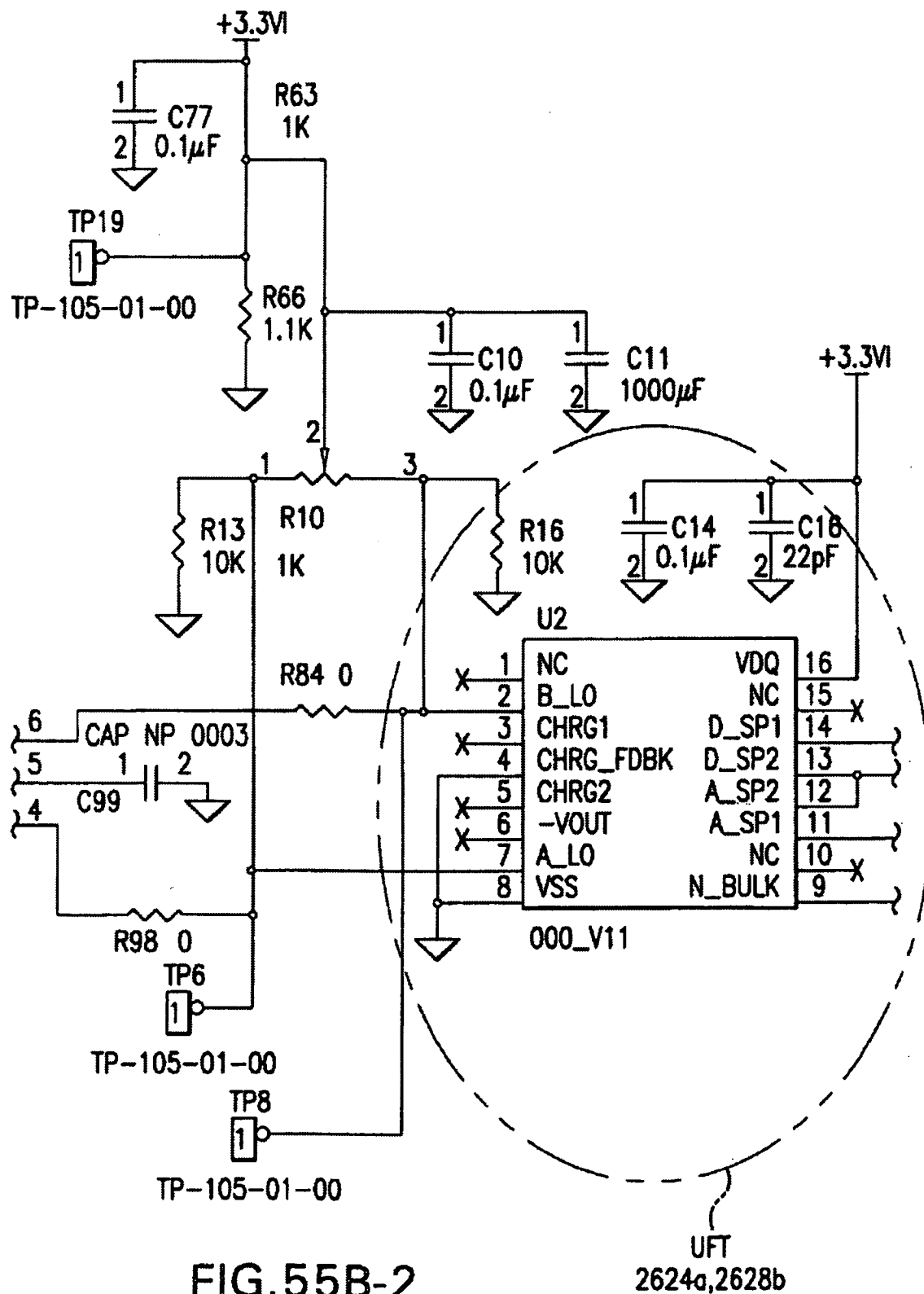


FIG.55B-2

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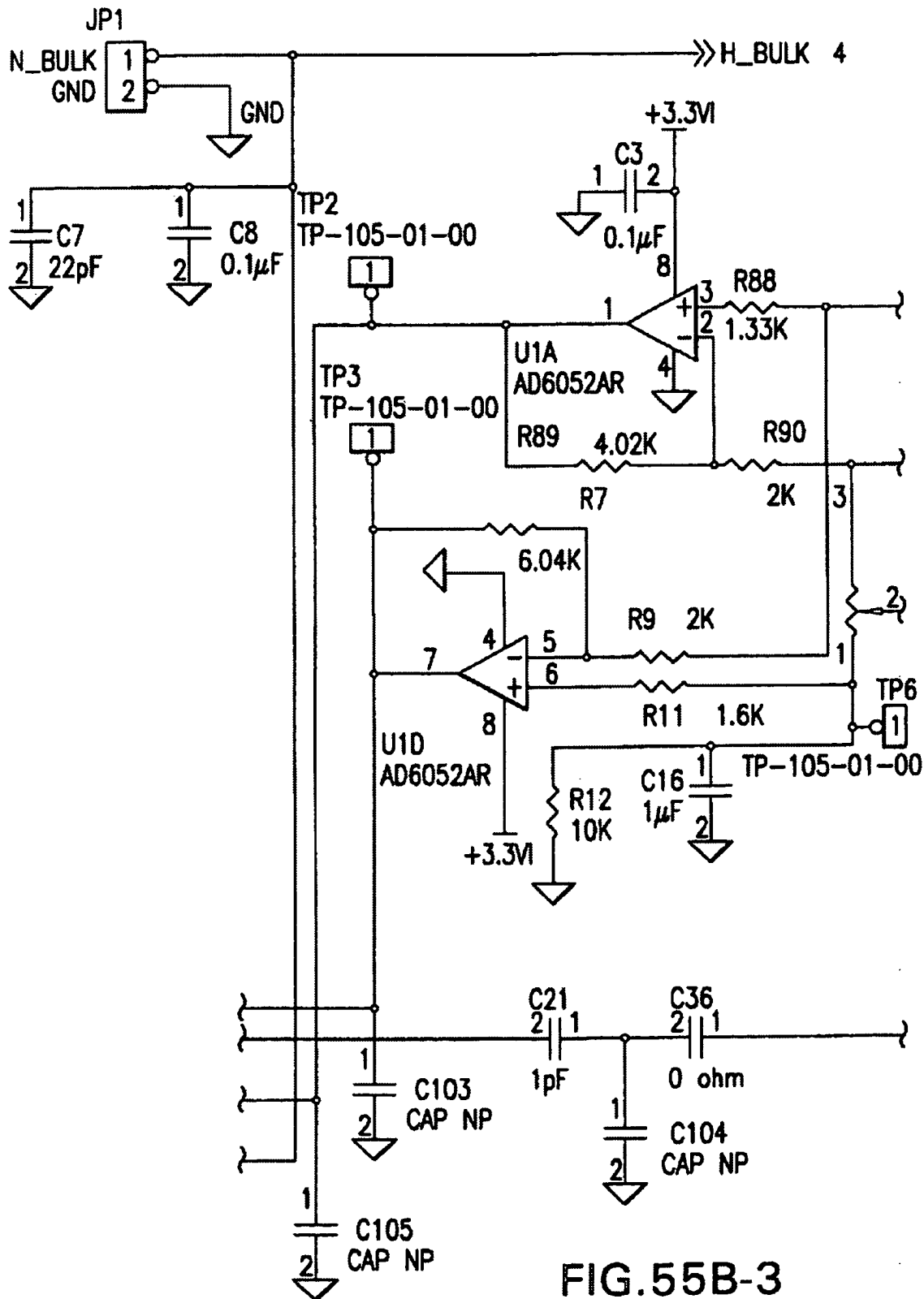


FIG. 55B-3

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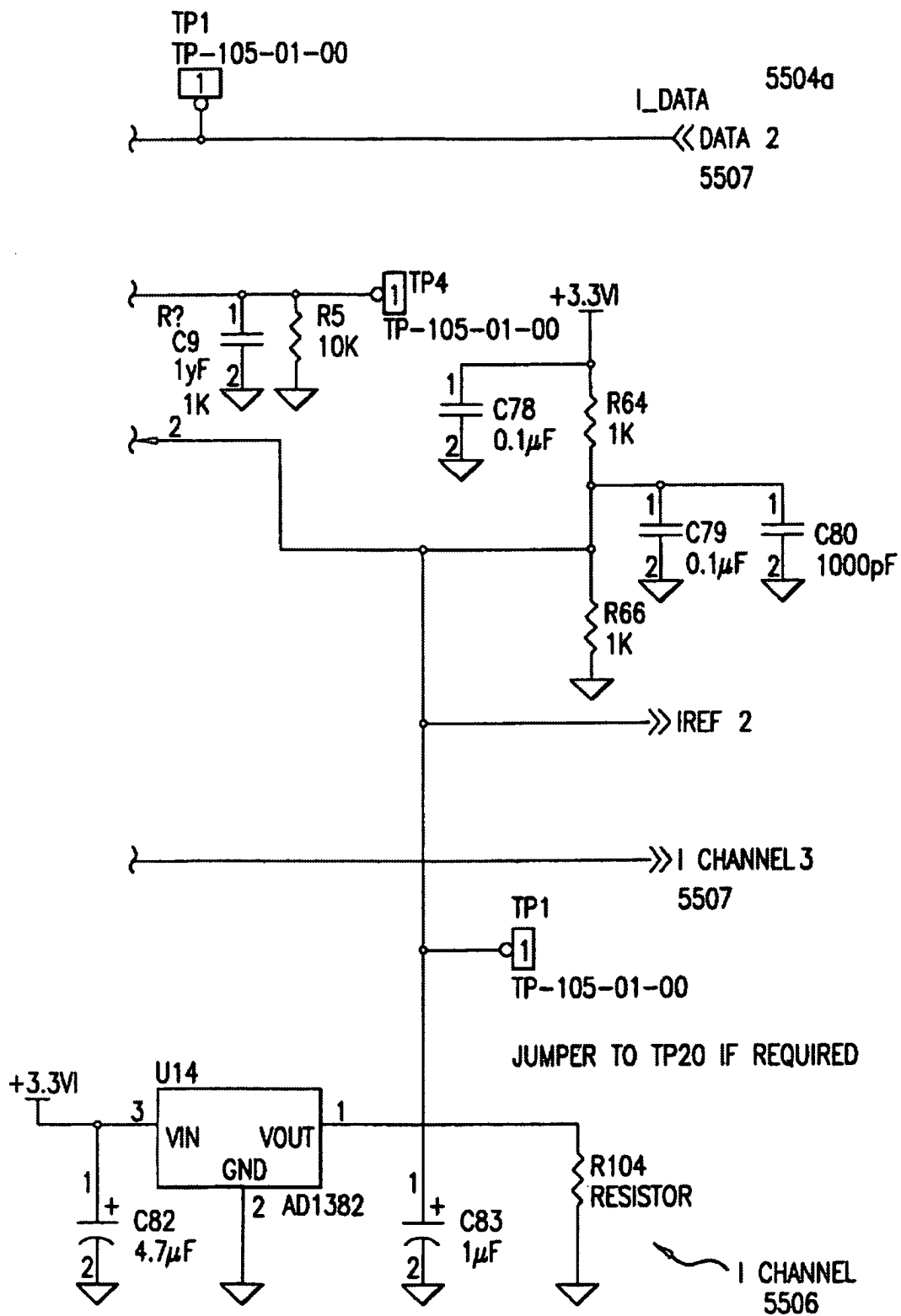


FIG. 55B-4

FIG.55C-1	FIG.55C-2
FIG.55C-3	

FIG.55C

CLK
Q_CLK
COMMON-CLK

FIG.55C-1 TP-105-01-00



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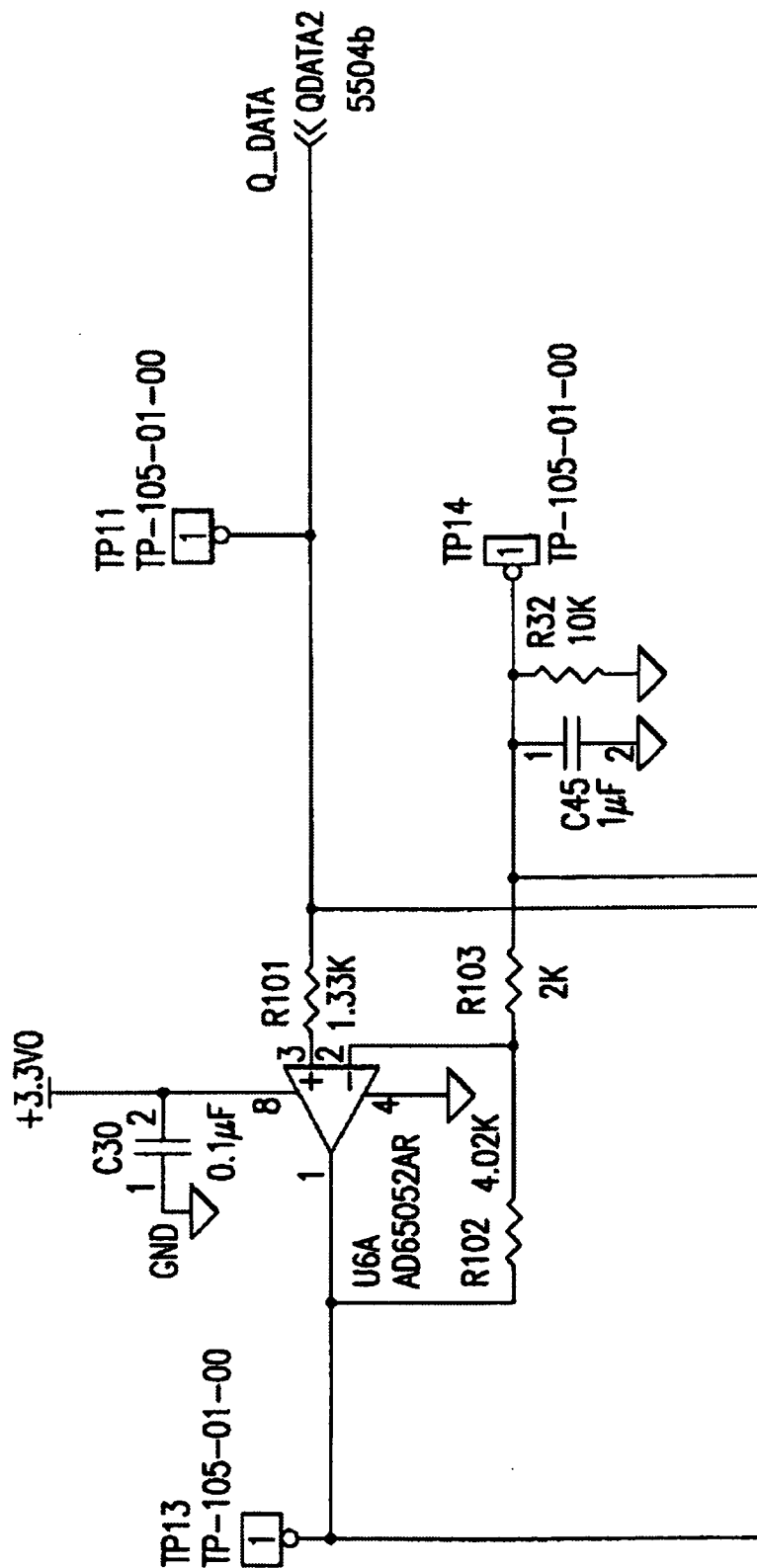


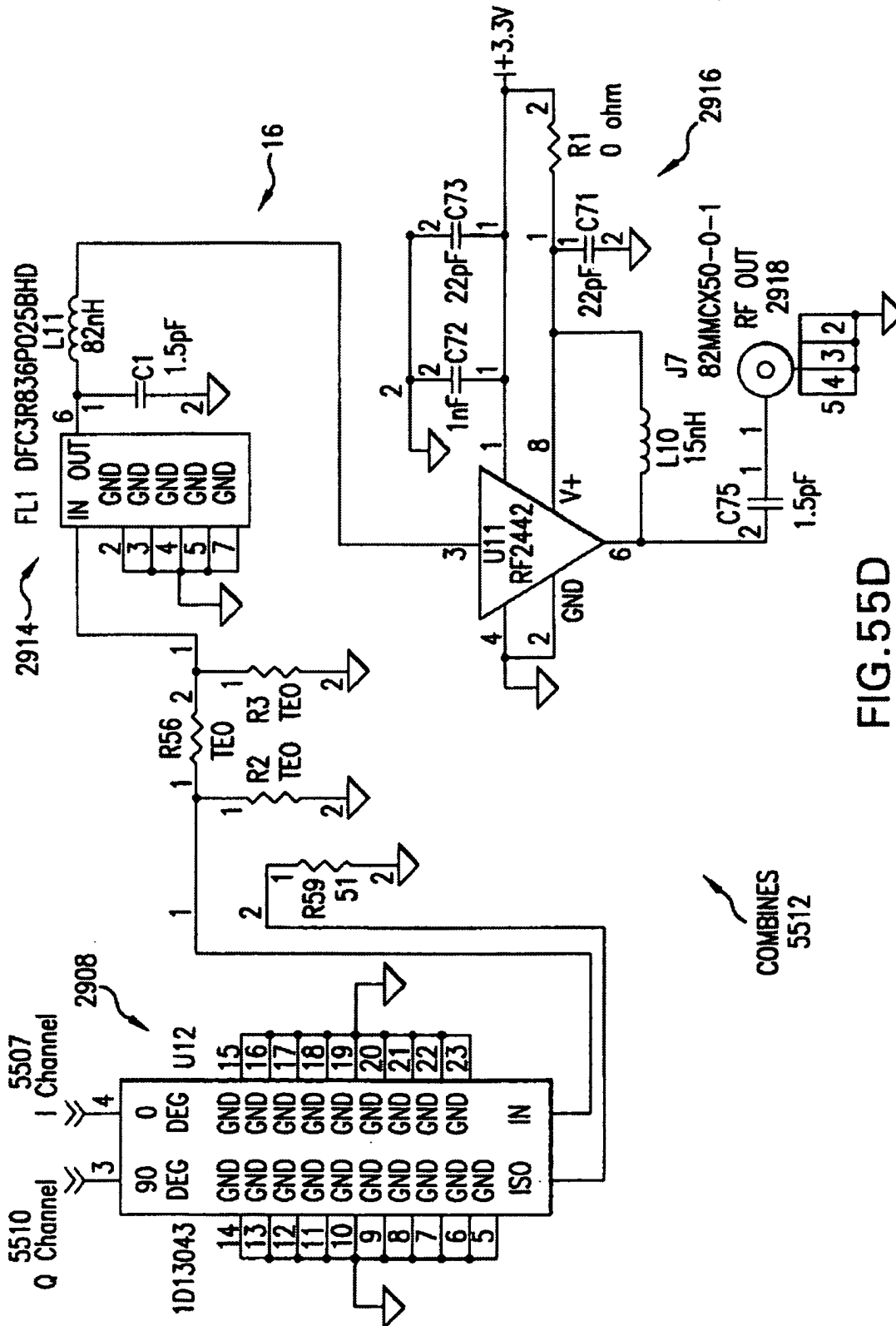
FIG. 55C-3

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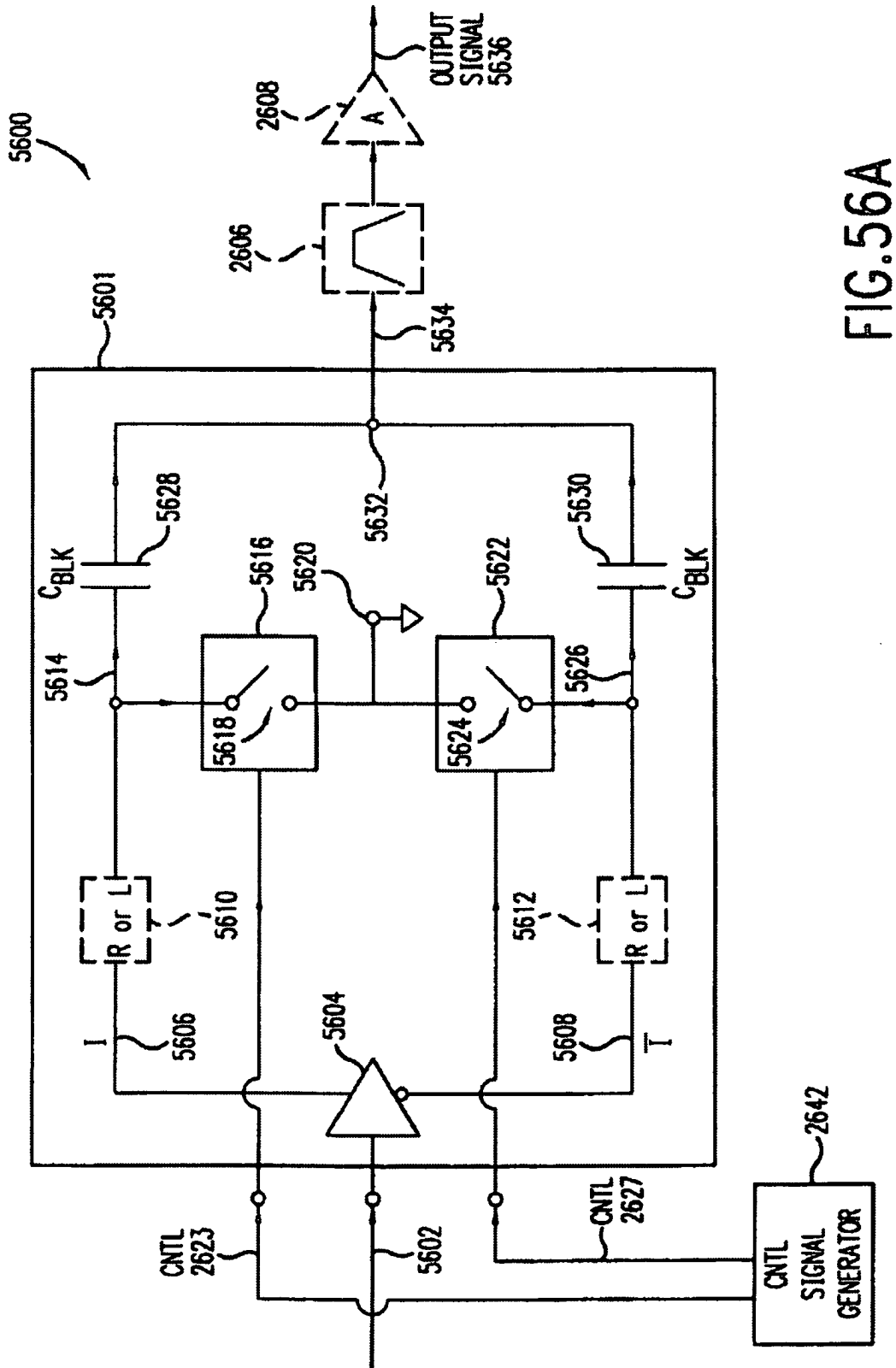


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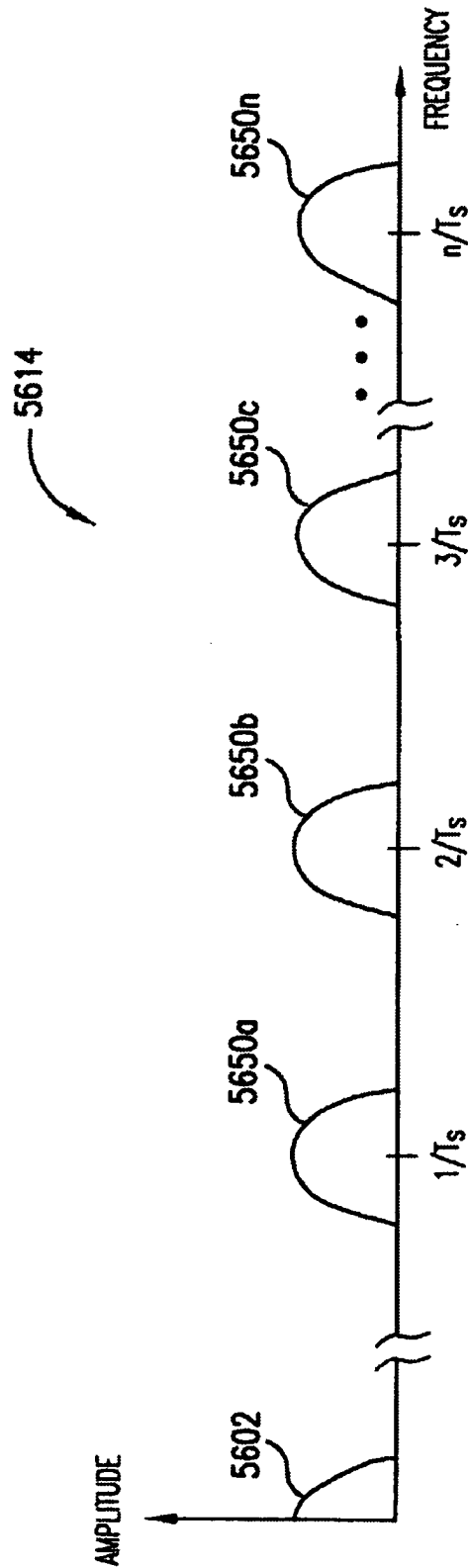


FIG. 56B

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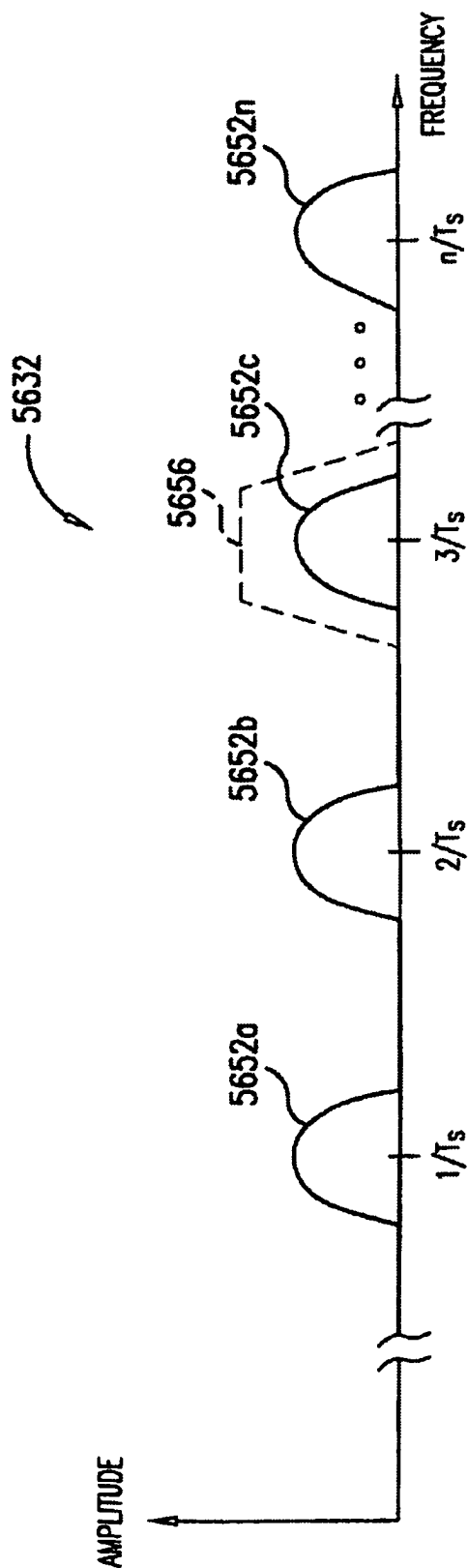


FIG. 56C

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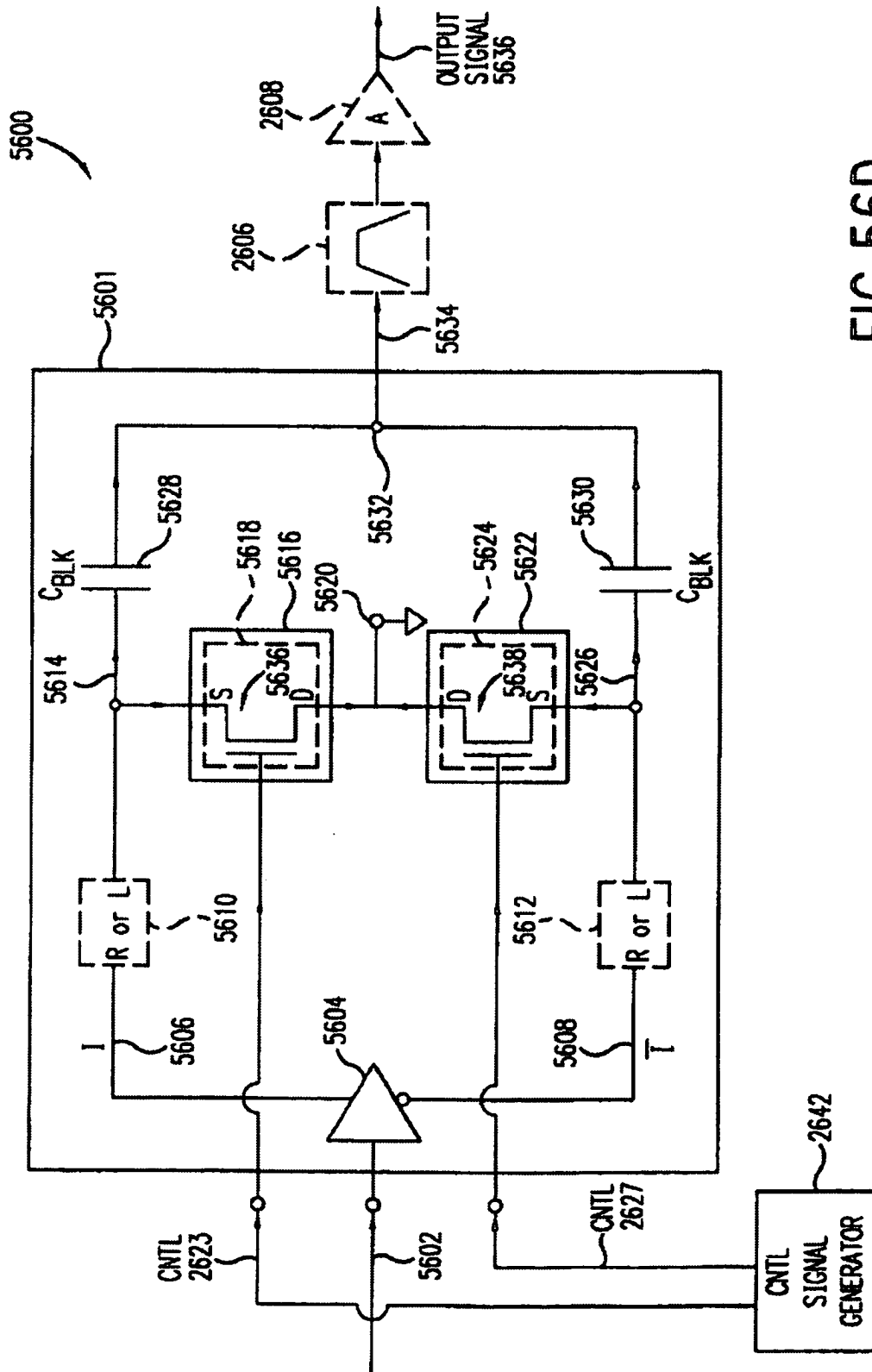


FIG. 56D

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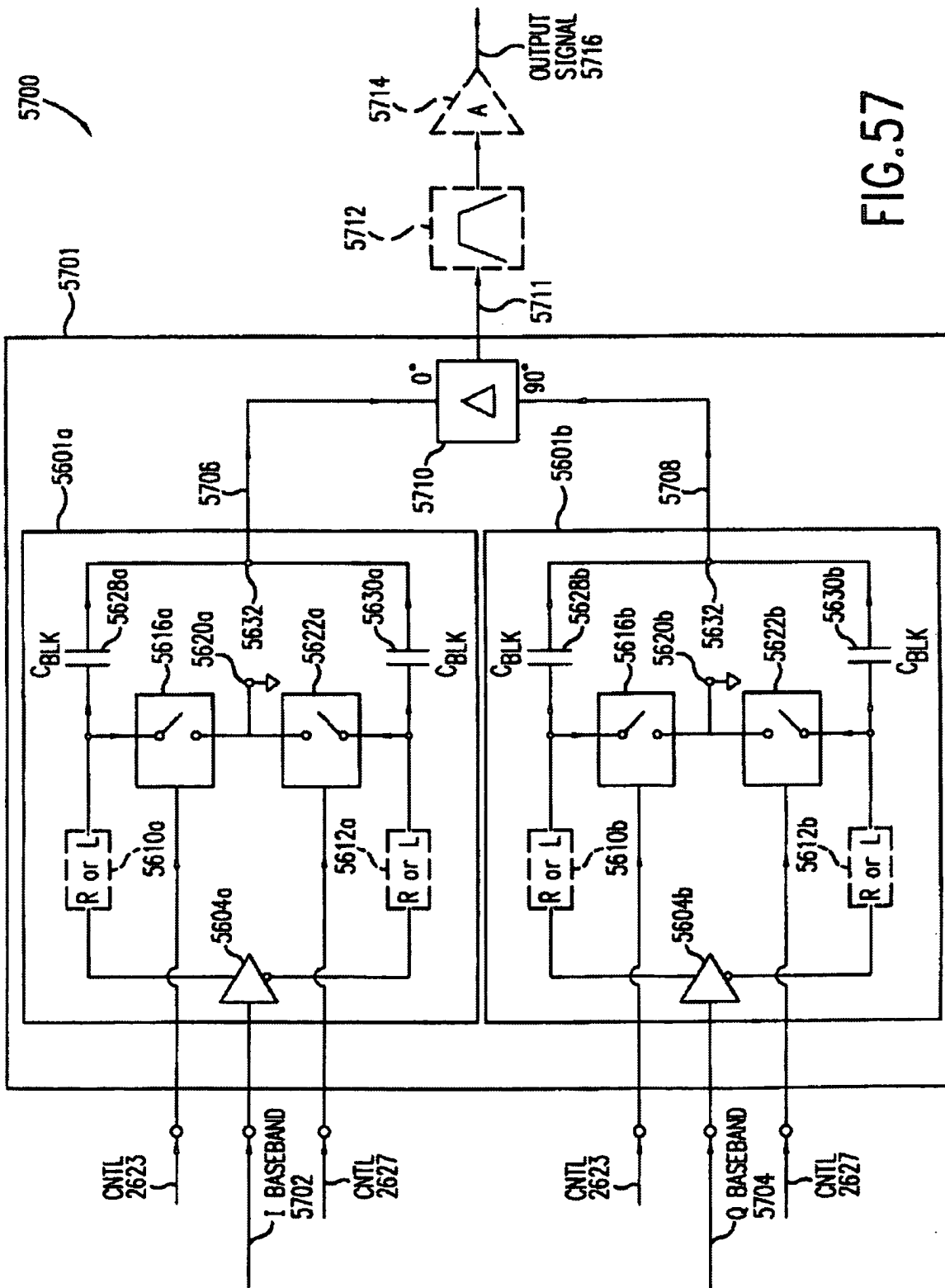


FIG. 57

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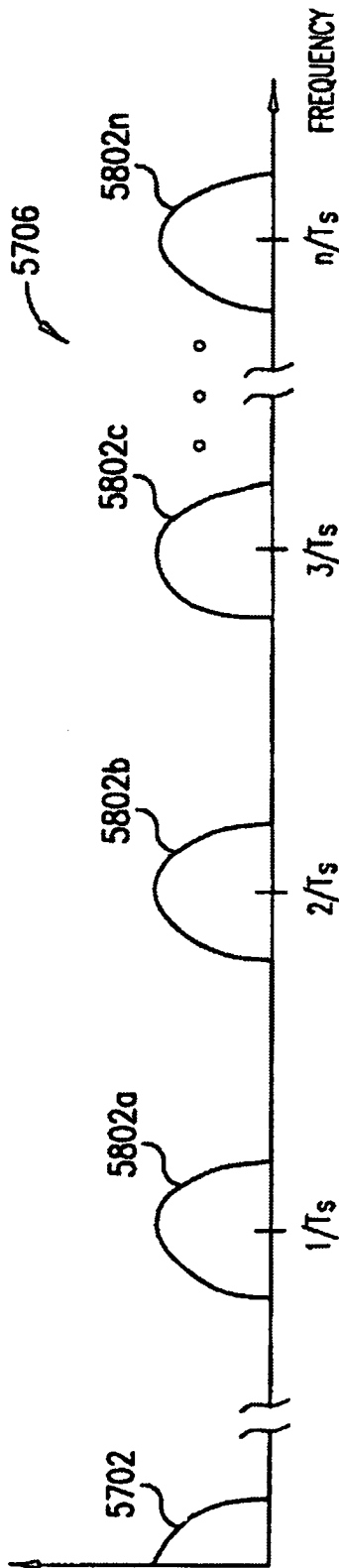


FIG. 58A

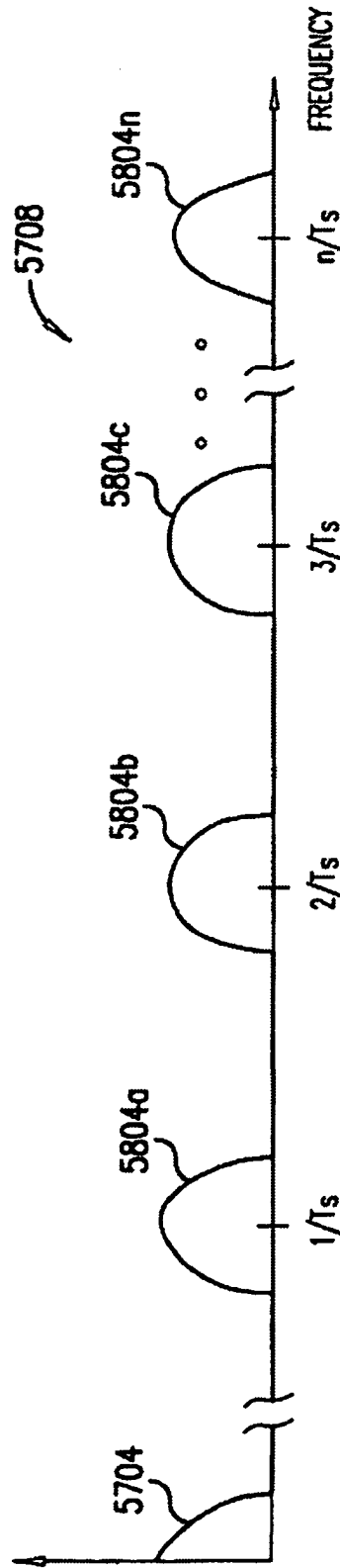


FIG. 58B

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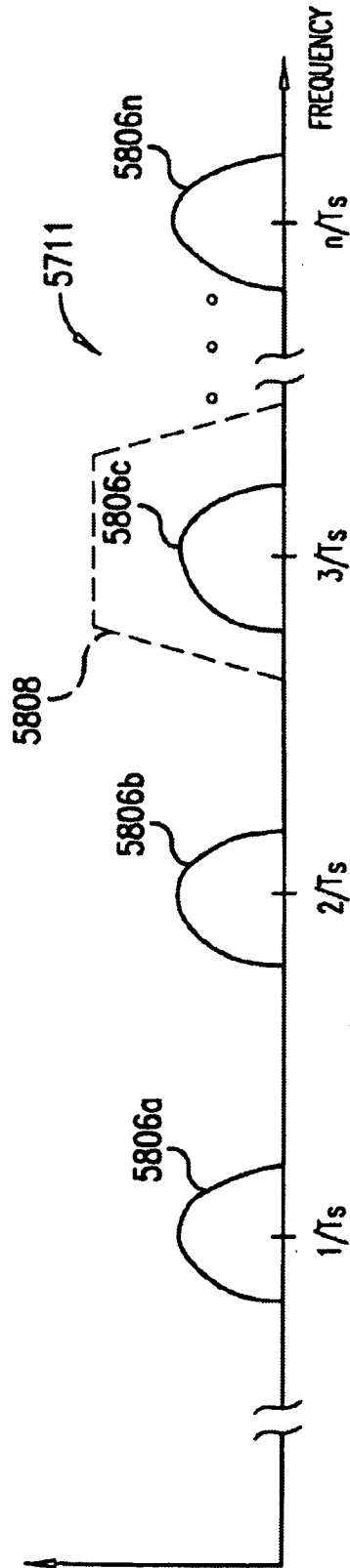


FIG. 58C

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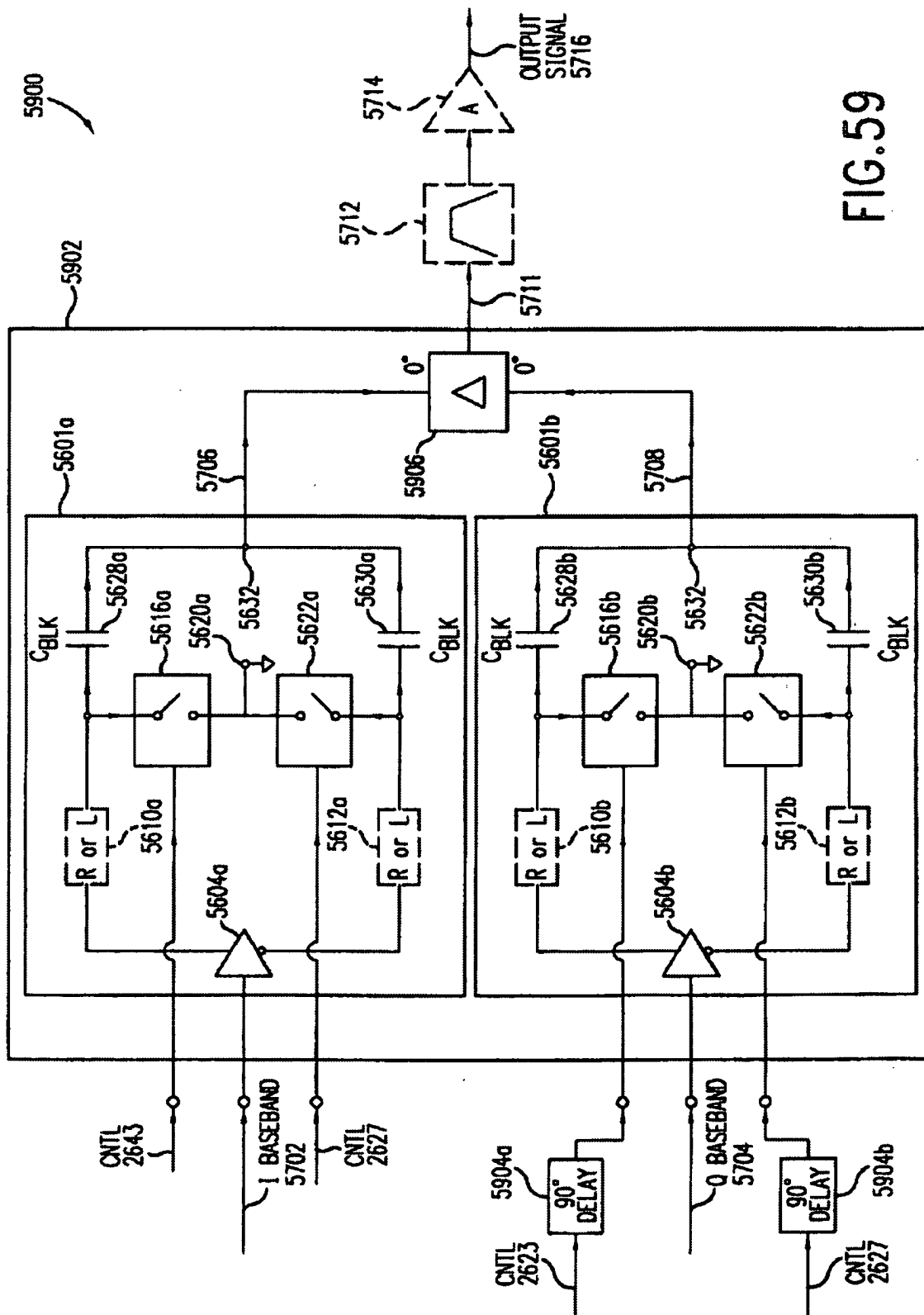


FIG. 59

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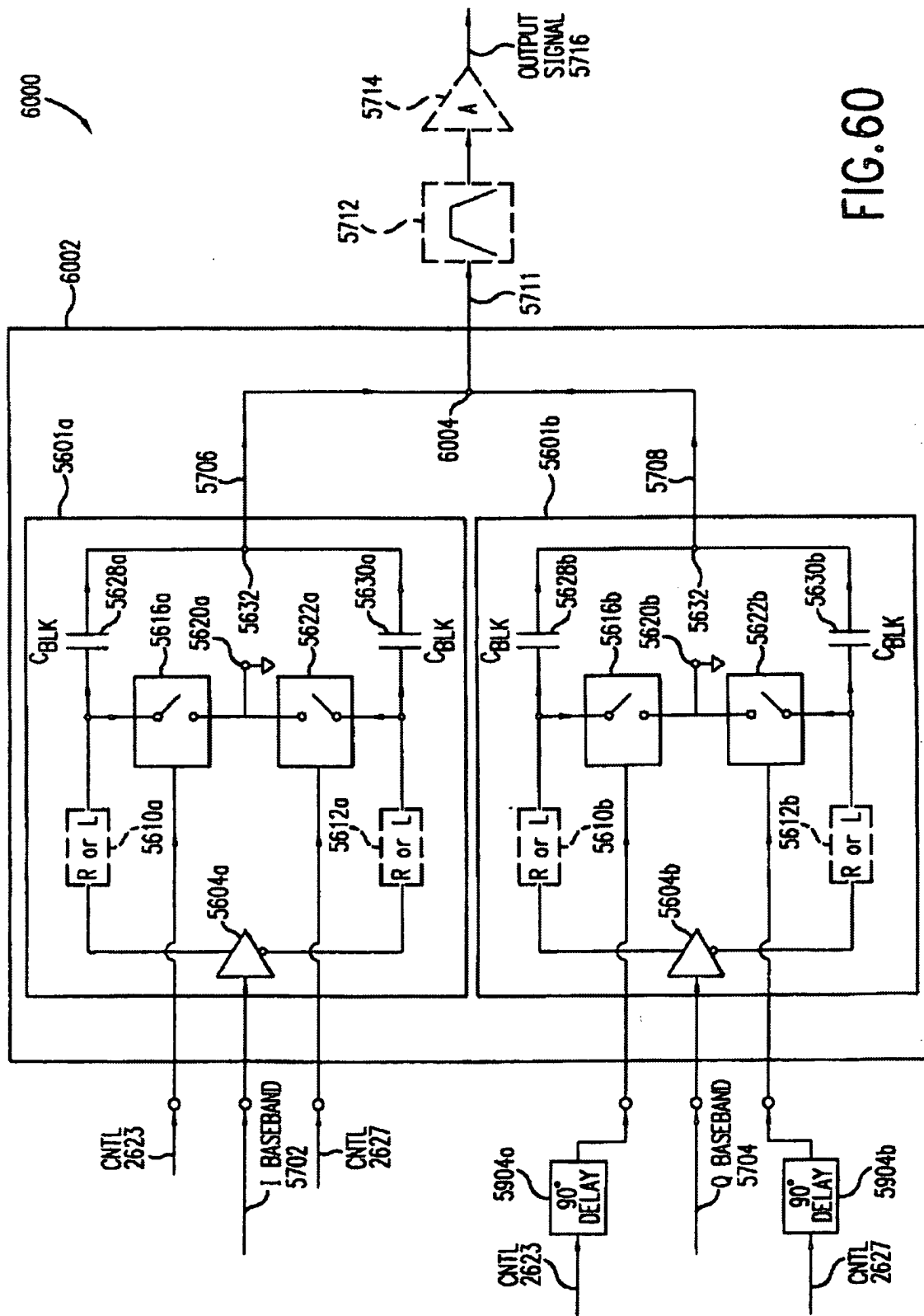


FIG. 60

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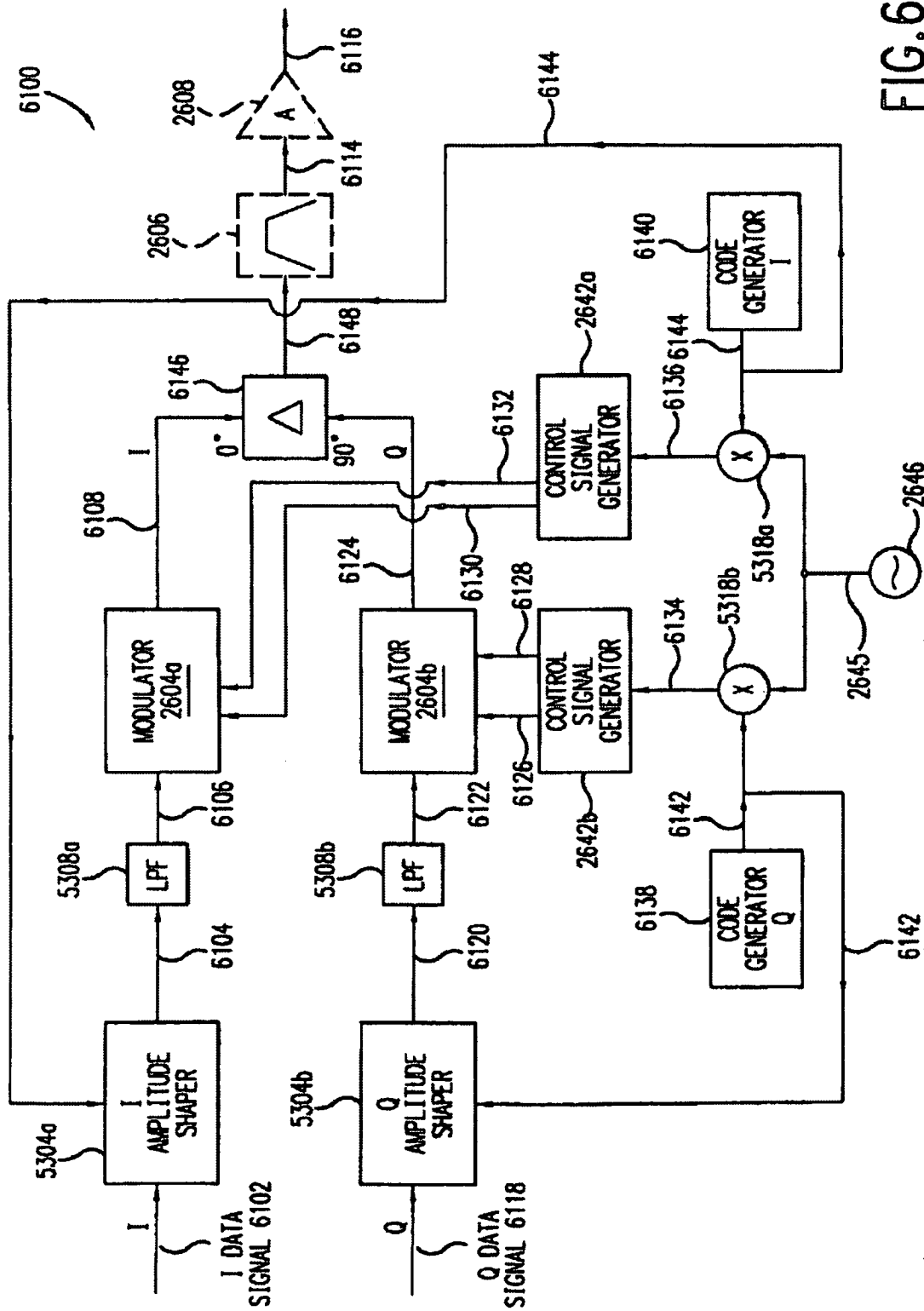


FIG. 61

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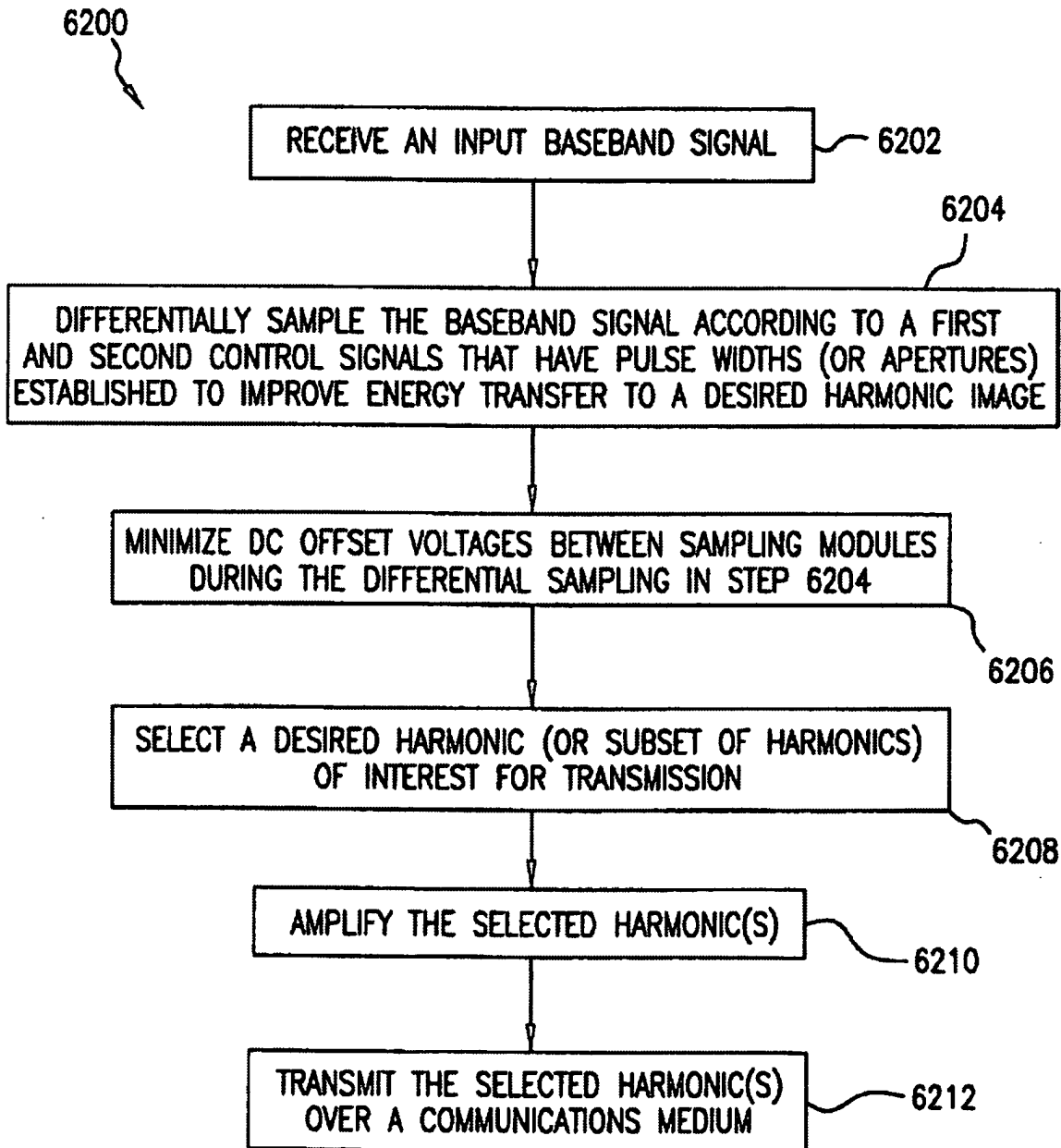


FIG. 62

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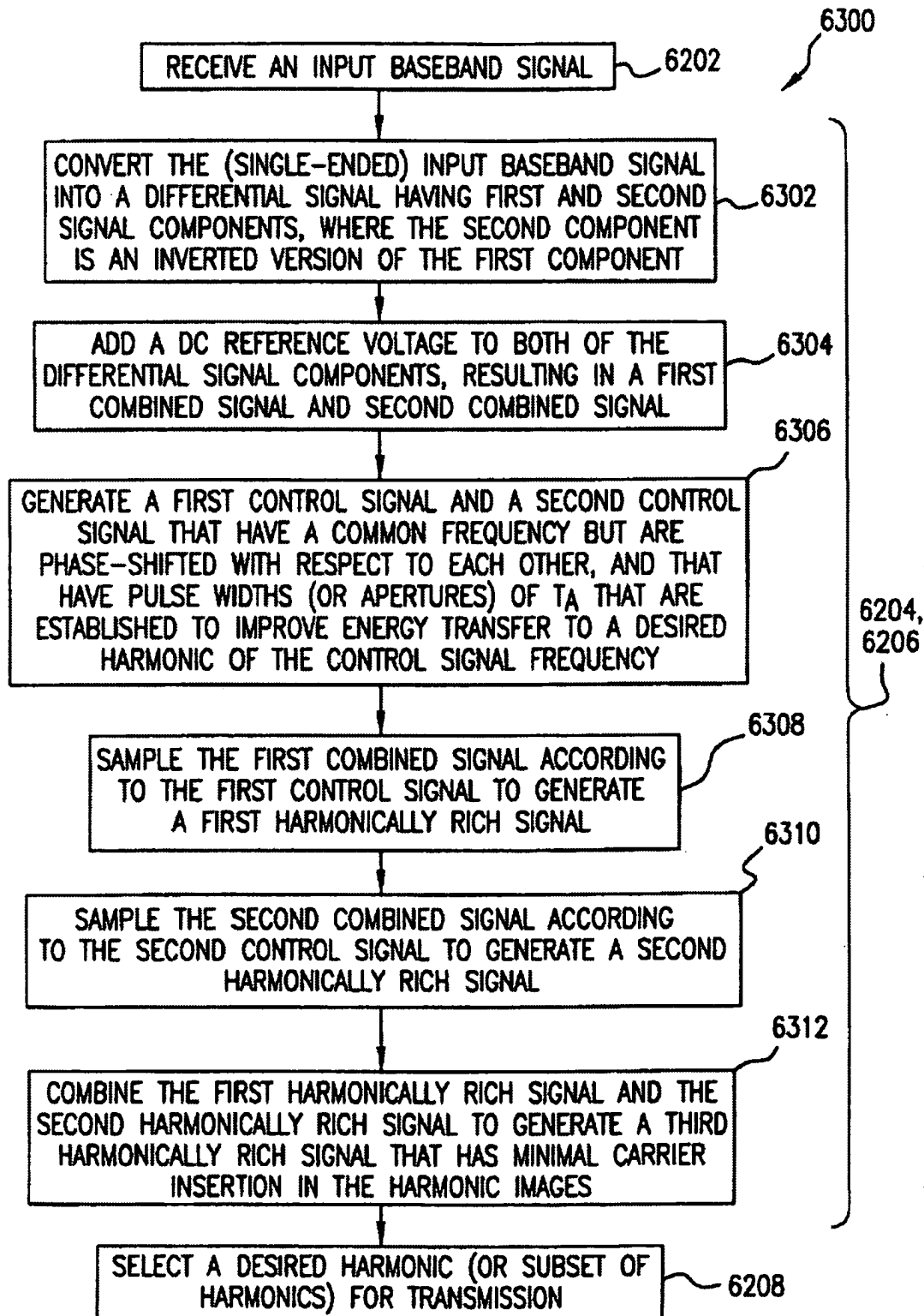


FIG.63

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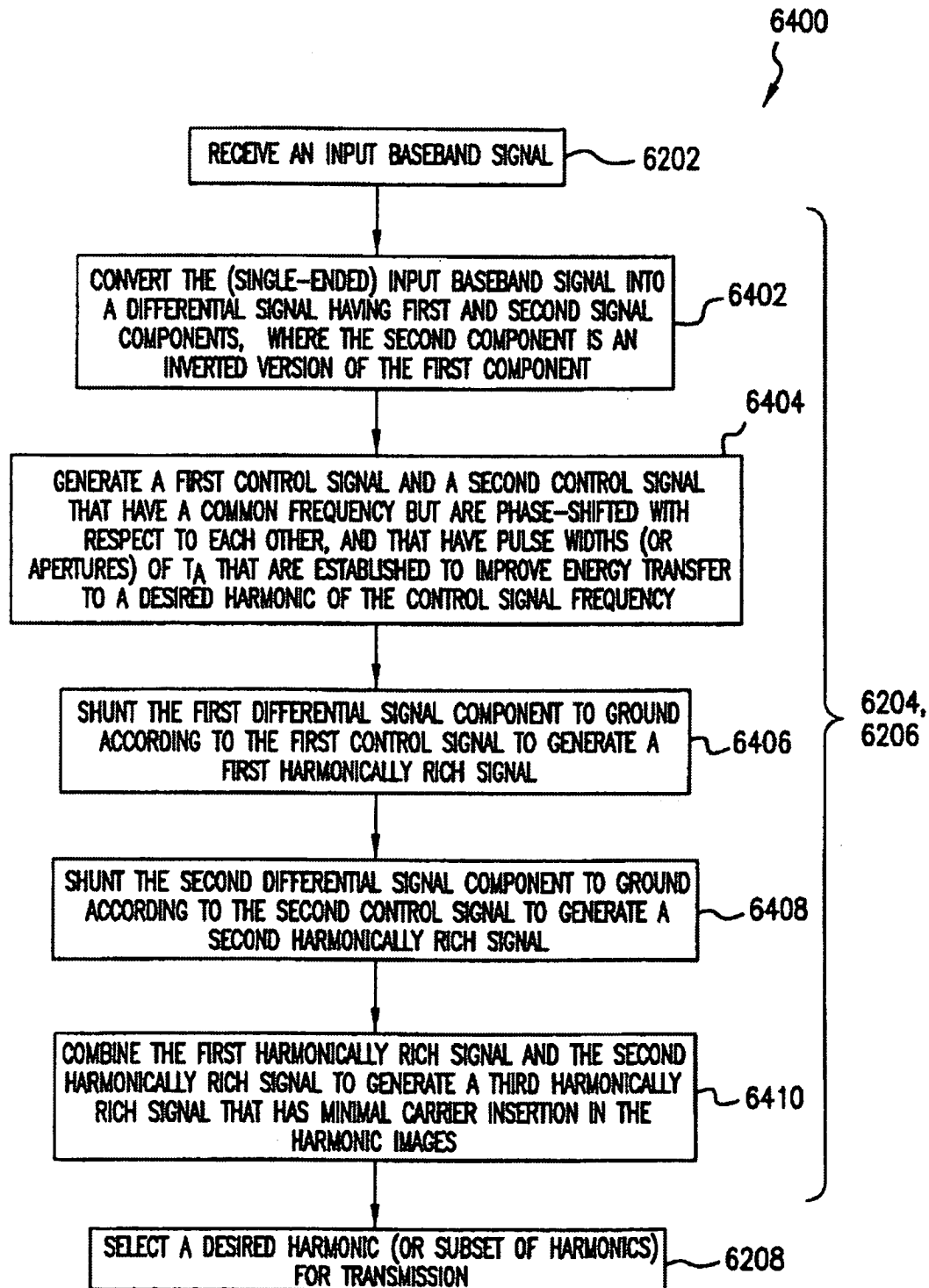


FIG.64

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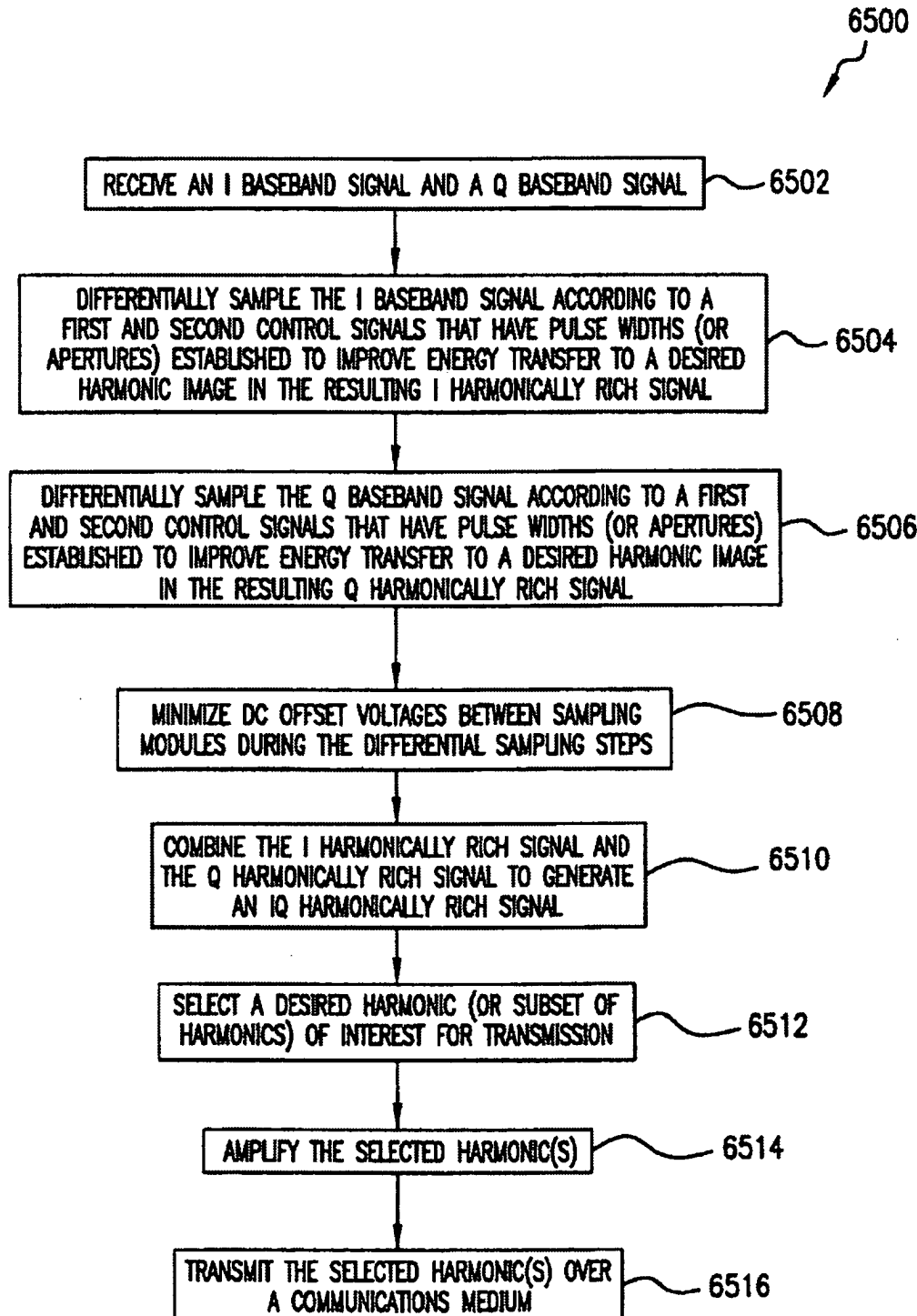


FIG.65

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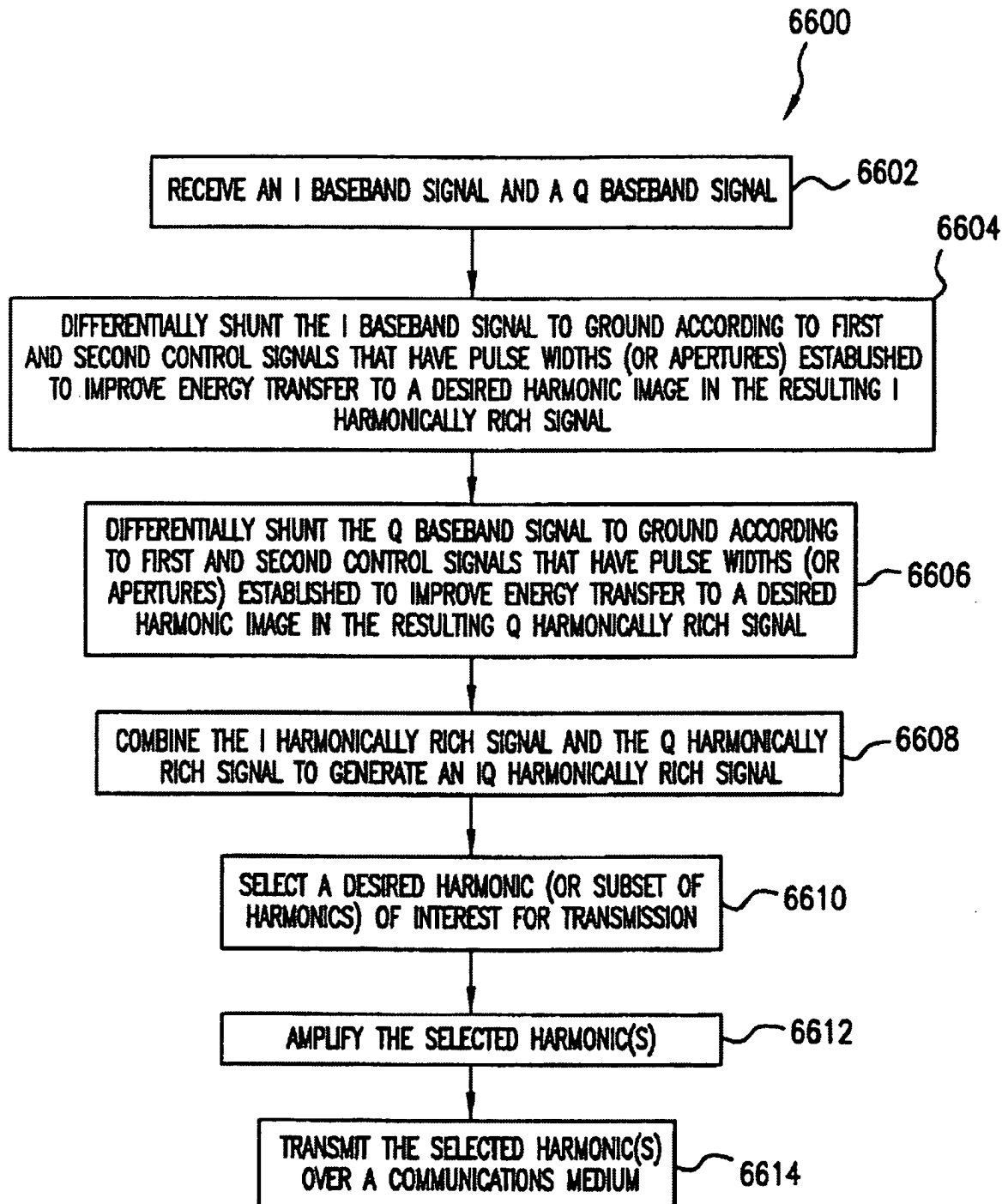


FIG.66

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6700

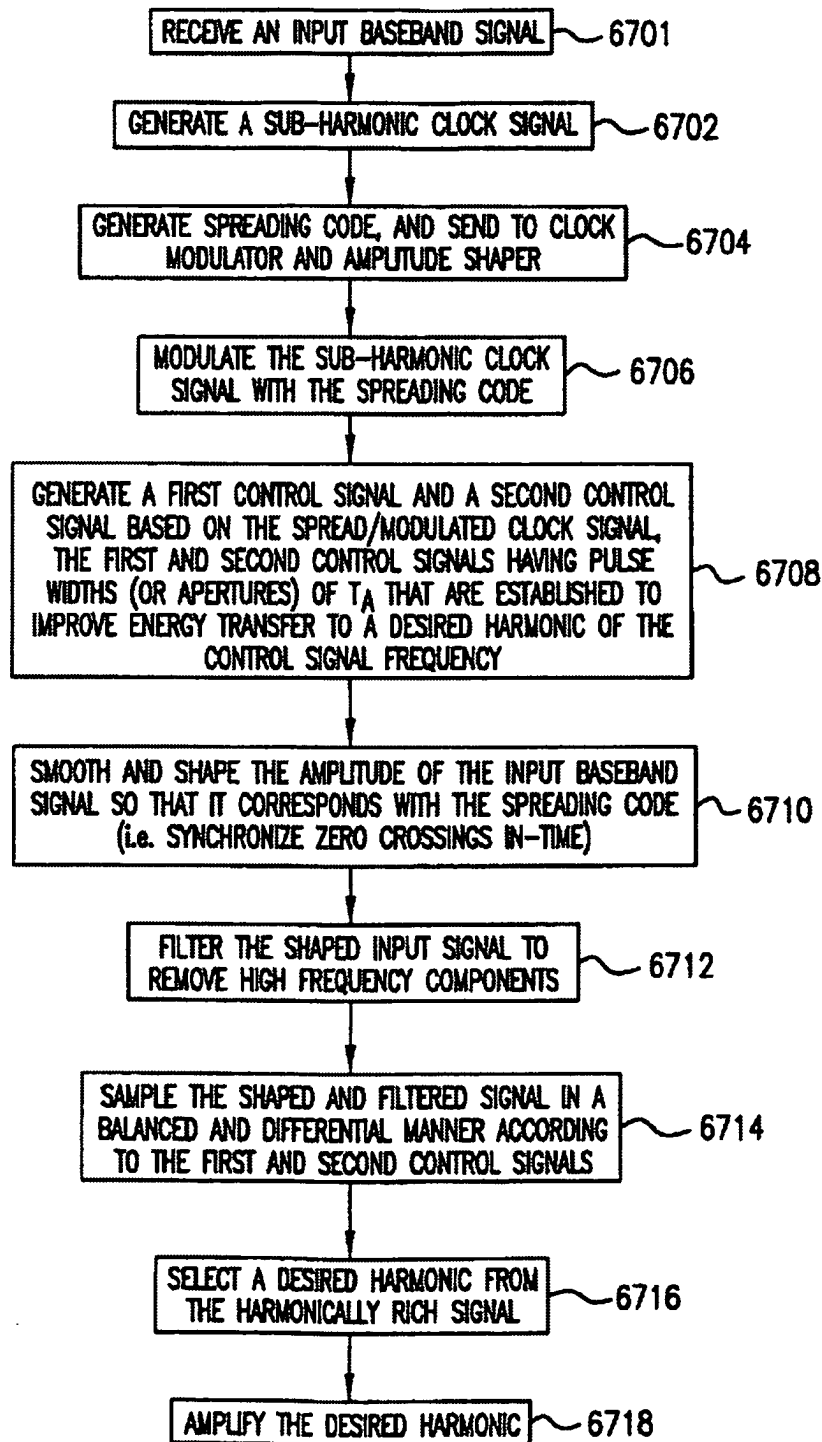


FIG.67

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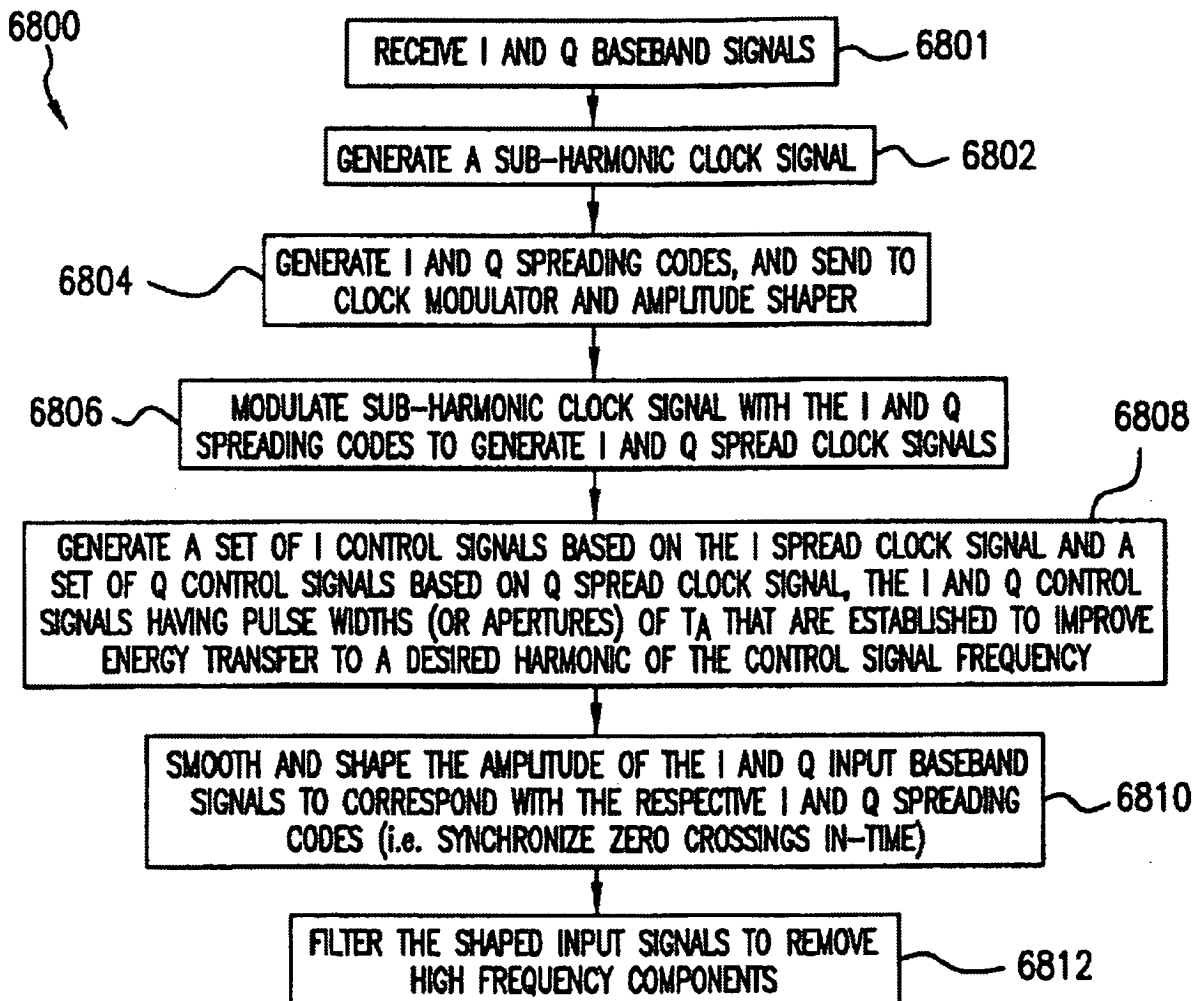


FIG.68A

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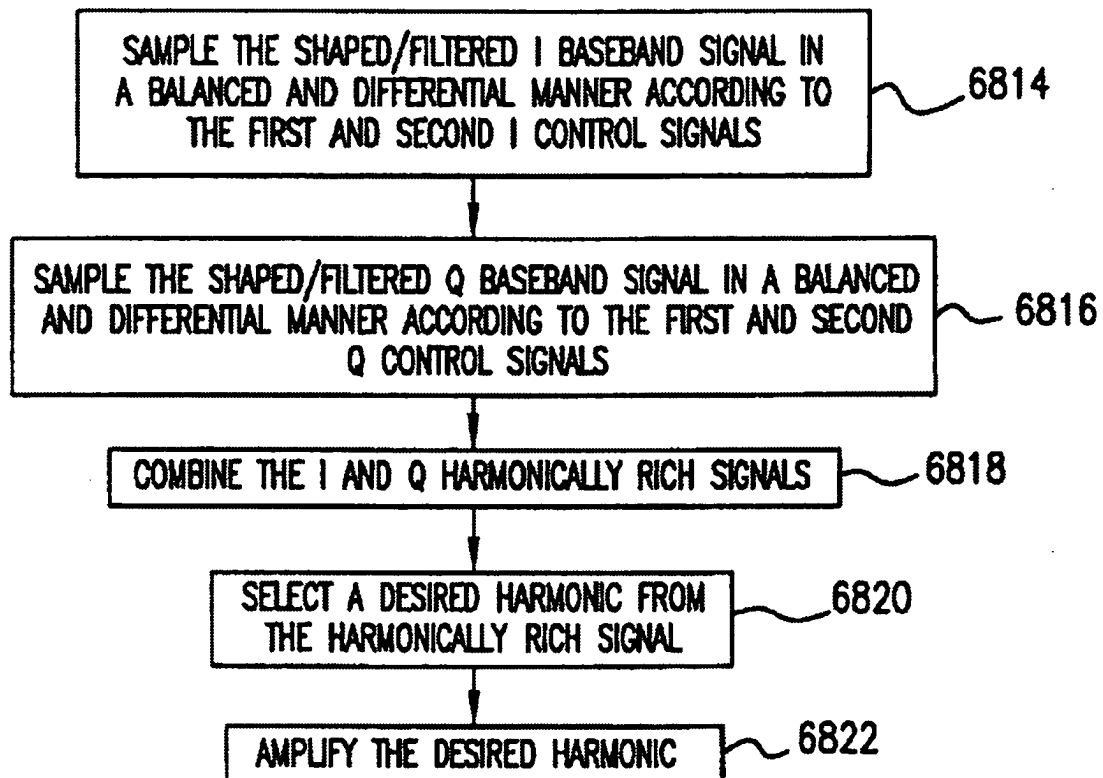
6800
(CONTINUED)

FIG. 68B

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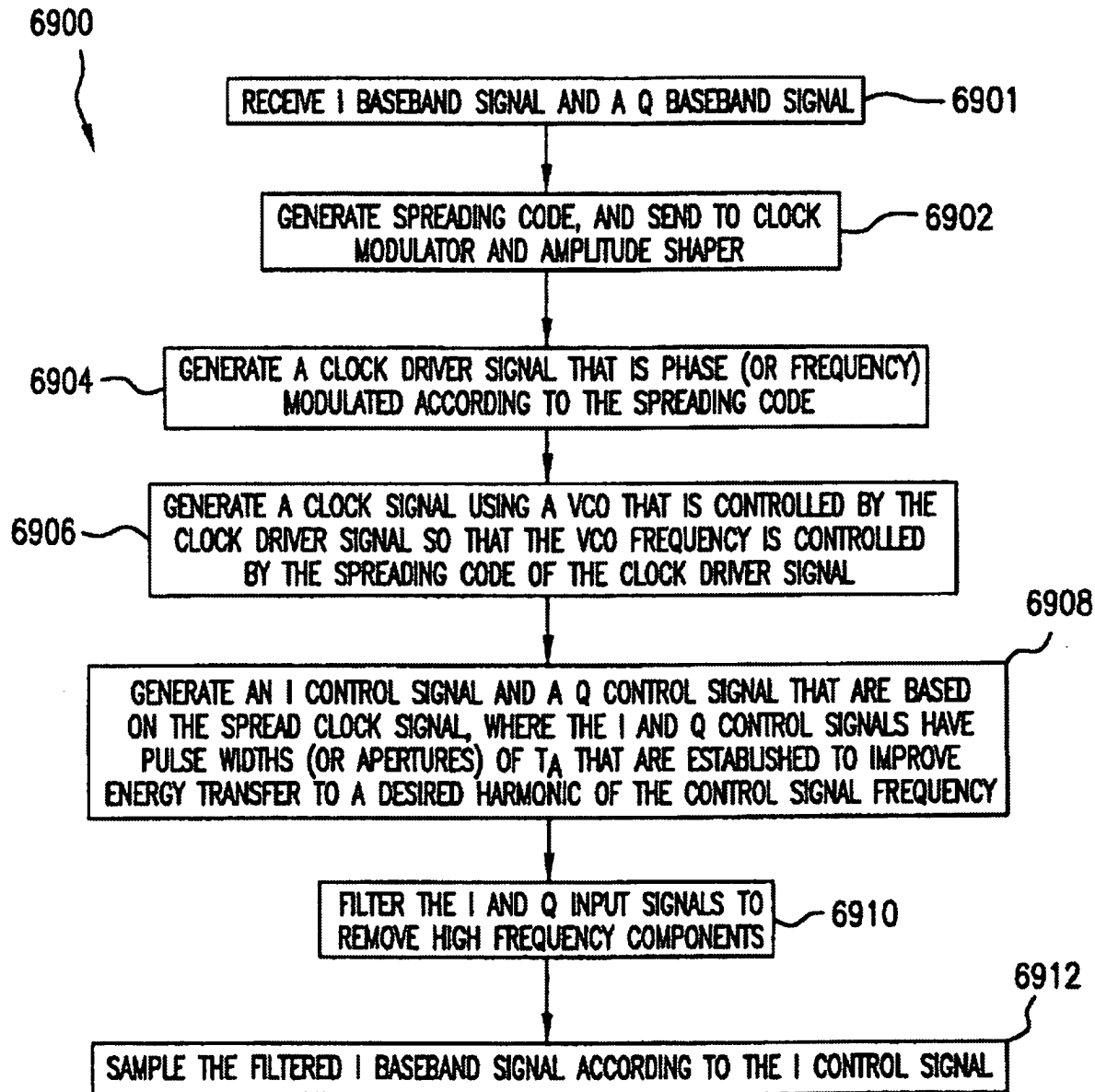


FIG. 69A

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6900
(CONTINUED)

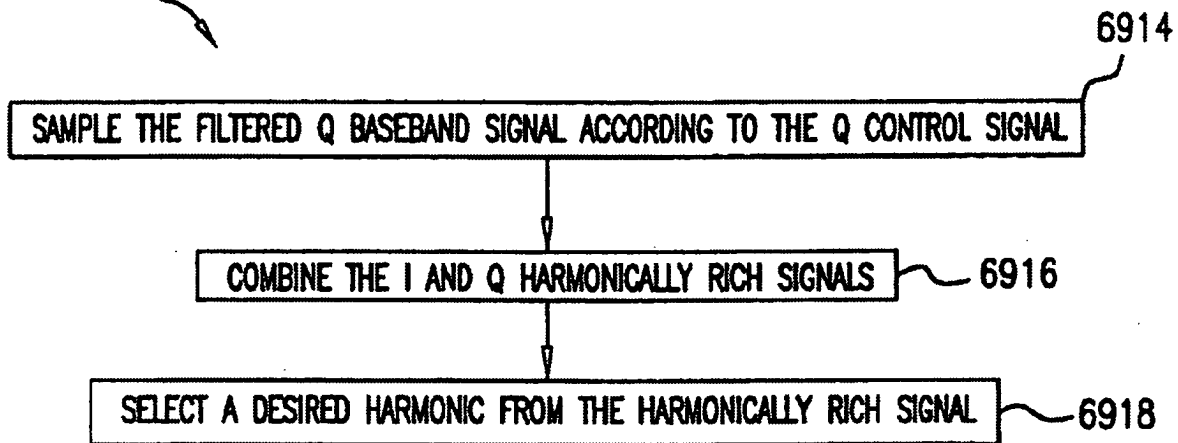
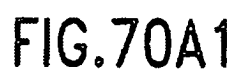


FIG.69B



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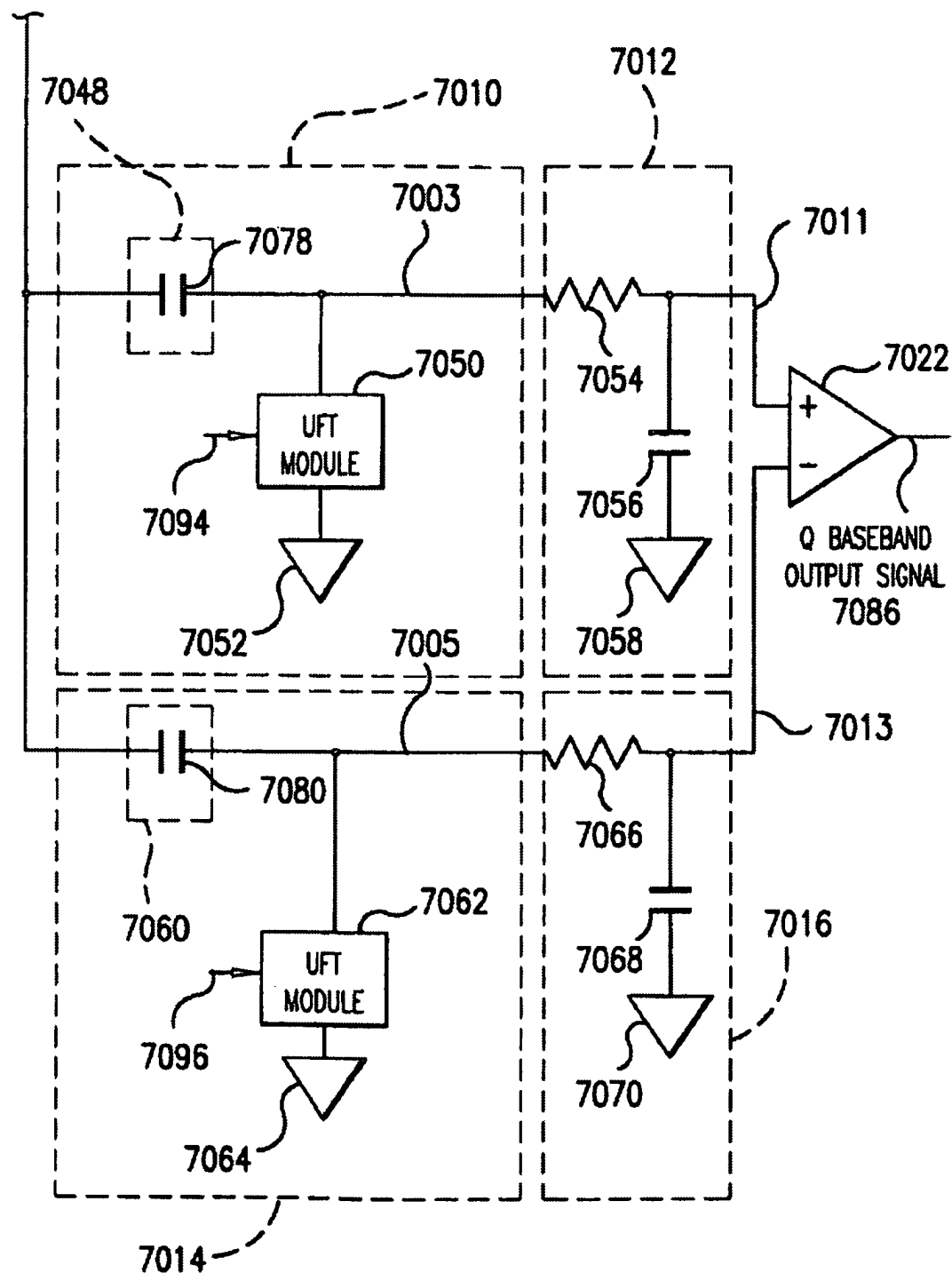
FROM
FIG. 70A17000

FIG. 70A2

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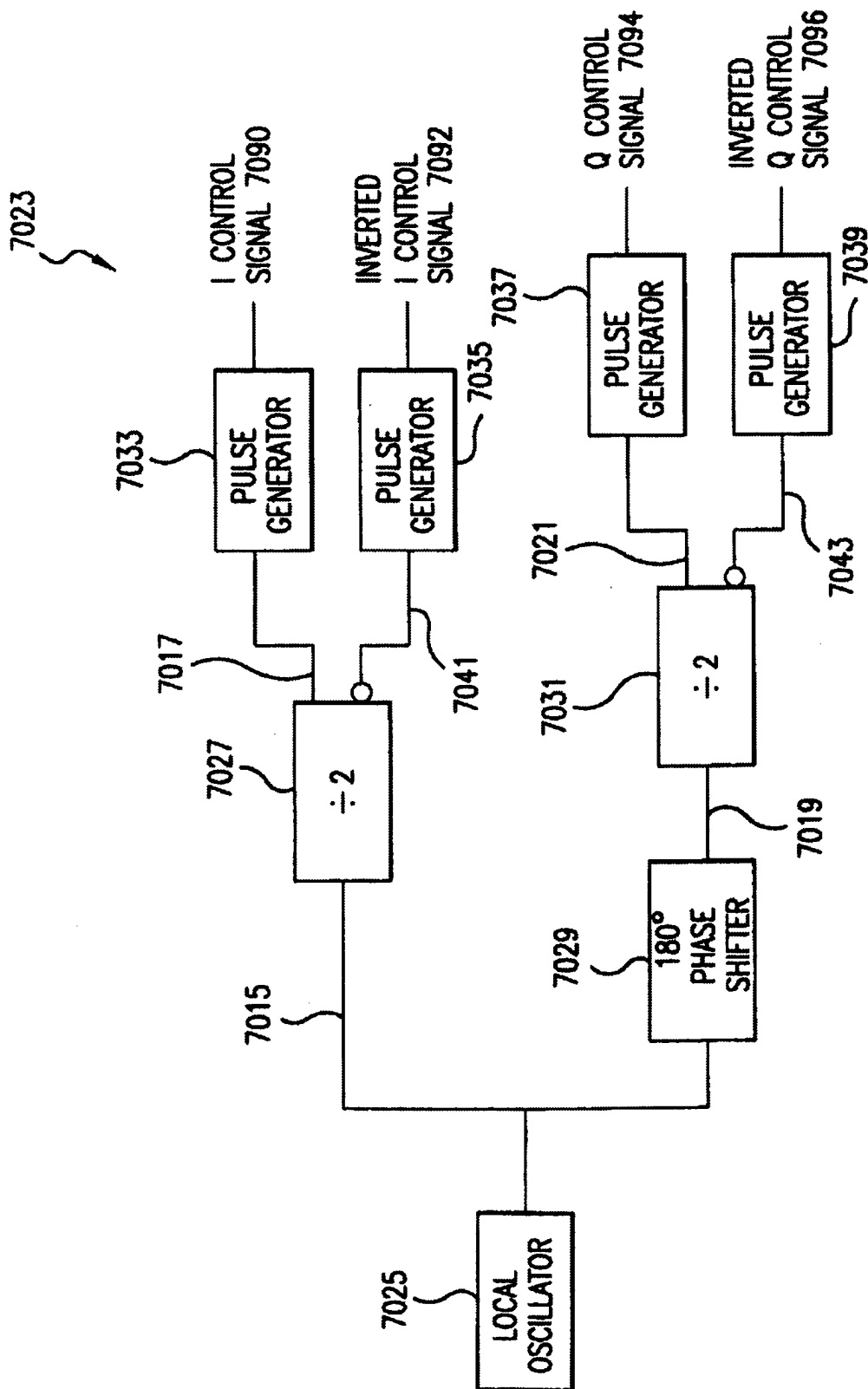
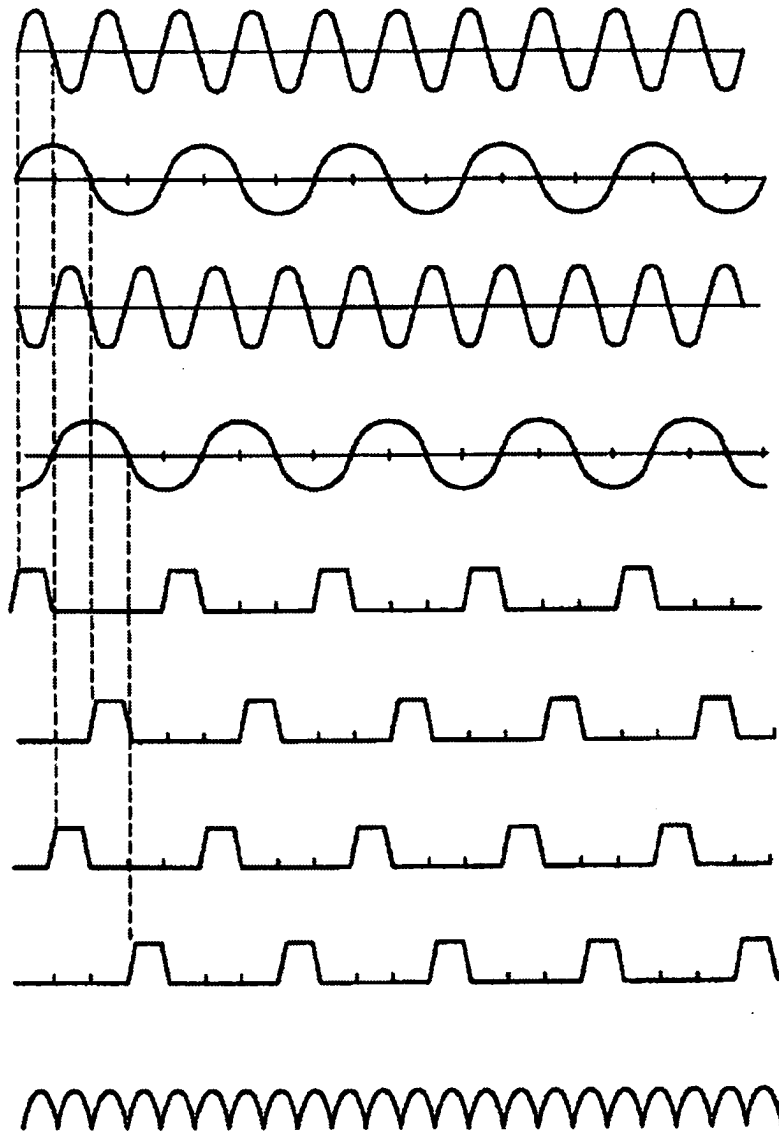


FIG. 700B

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6,853,690 B1LOCAL OSCILLATOR
SIGNAL 7015HALF FREQUENCY LO
SIGNAL 7017PHASE SHIFTED LO
SIGNAL 7019HALF FREQUENCY
PHASE SHIFTED LO
SIGNAL 7021I CONTROL SIGNAL
7090INVERTED I CONTROL
SIGNAL 7092Q CONTROL SIGNAL
7094INVERTED Q CONTROL
SIGNAL 7096COMBINED CONTROL
SIGNAL 7045**FIG.70C**

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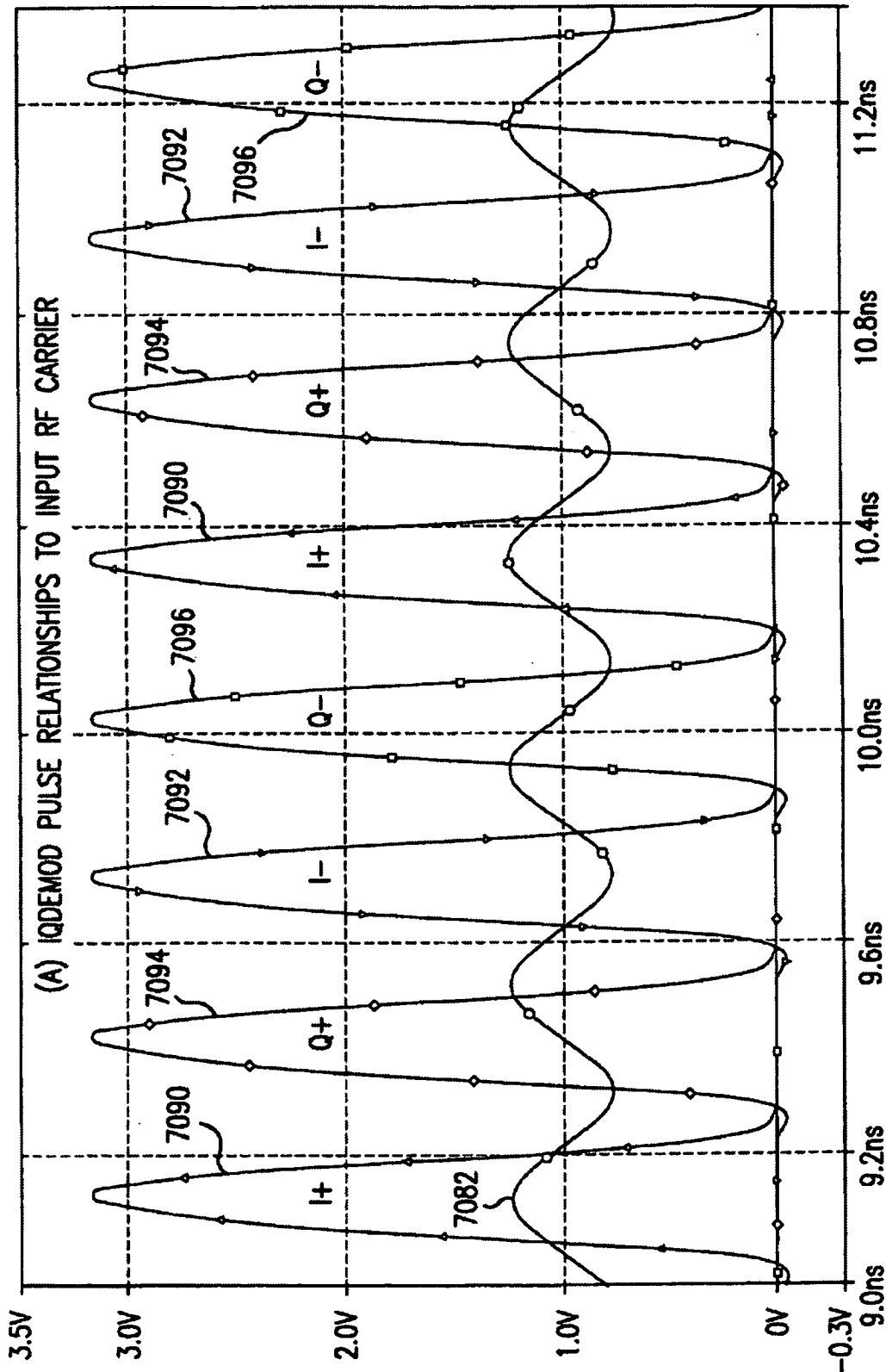


FIG. 70D





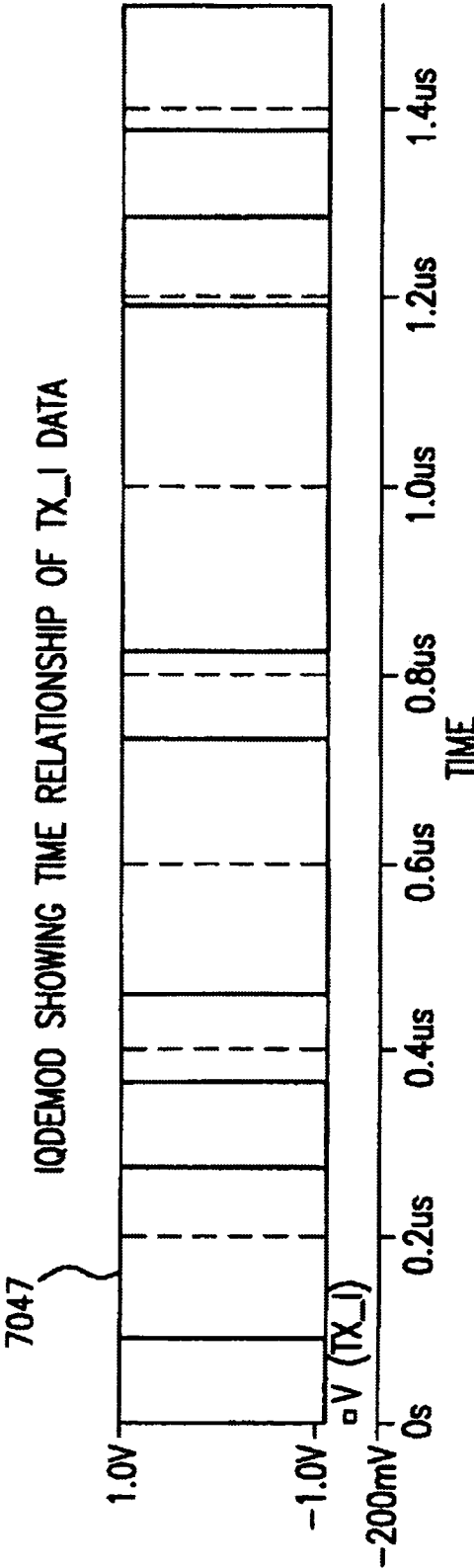


FIG.70F

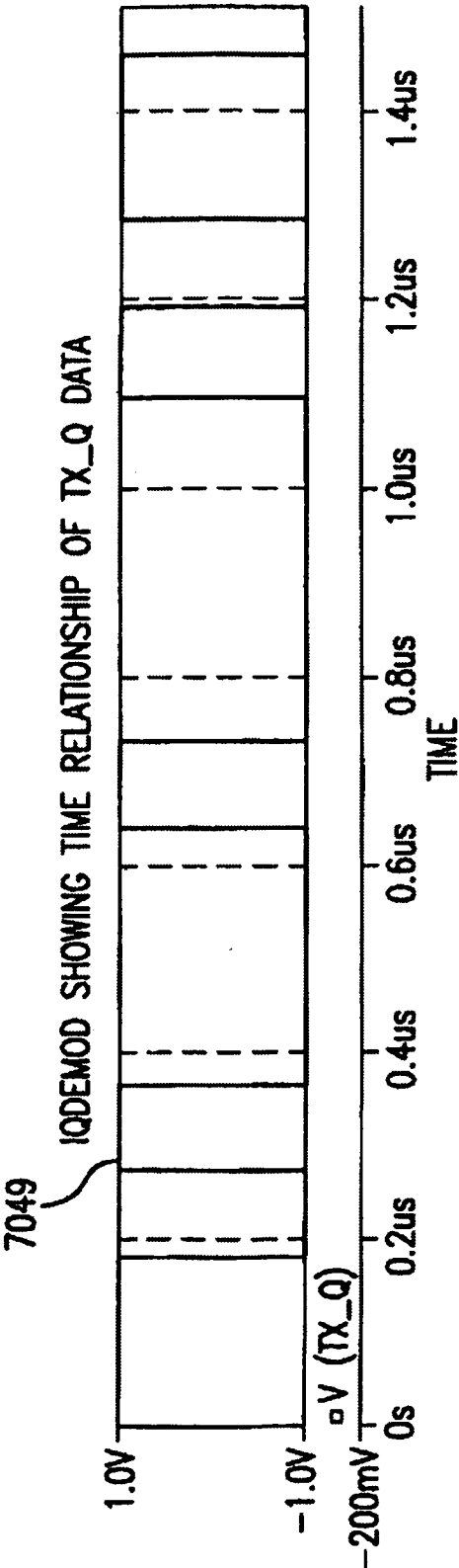


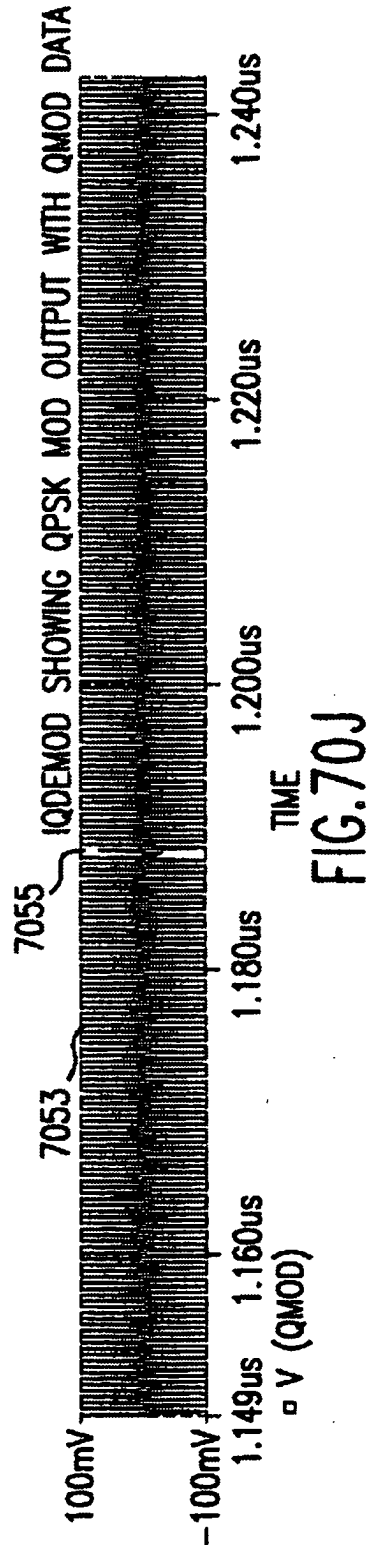
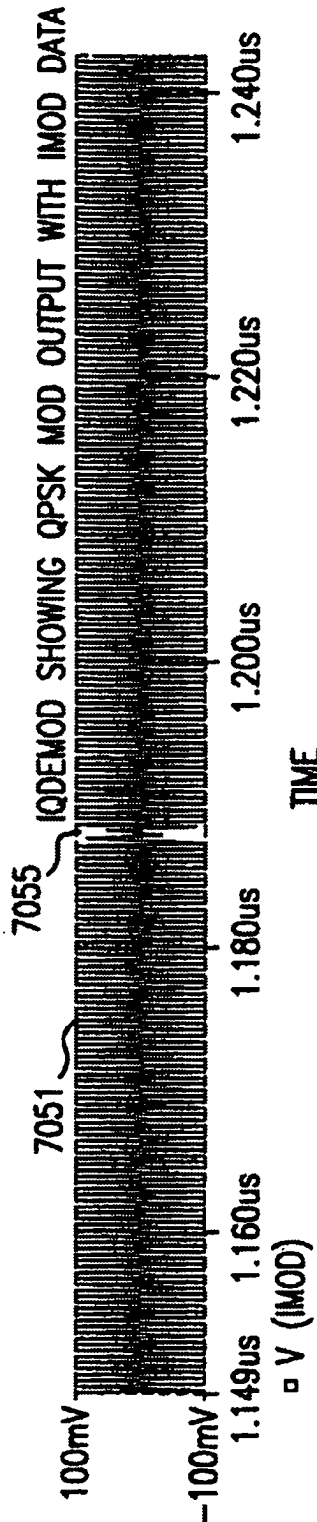
FIG.70G

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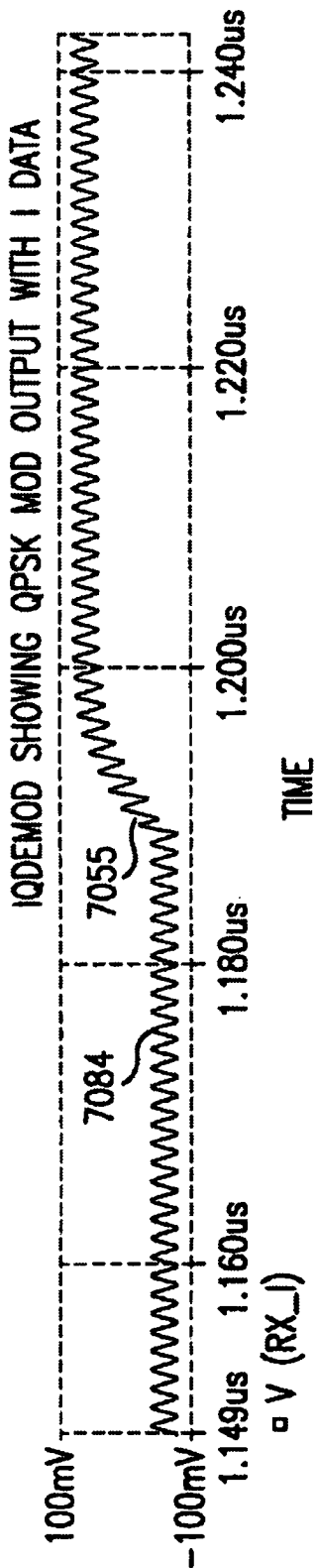


FIG. 70K

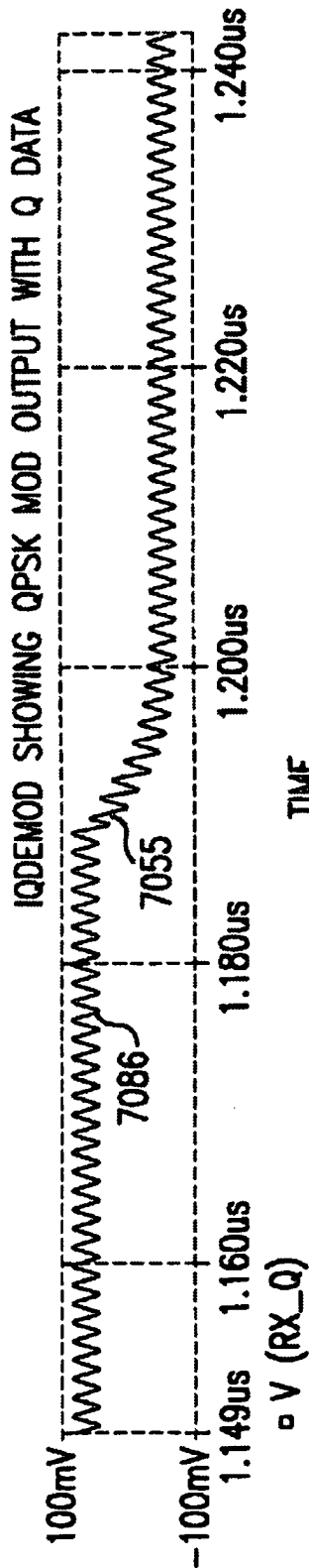


FIG. 70L

IQDEMOD RELATIONSHIP OF I RECEIVED DATA DIFFERENTIAL SINGLE ENDED AFTER DIFFERENTIAL AMPLIFIER

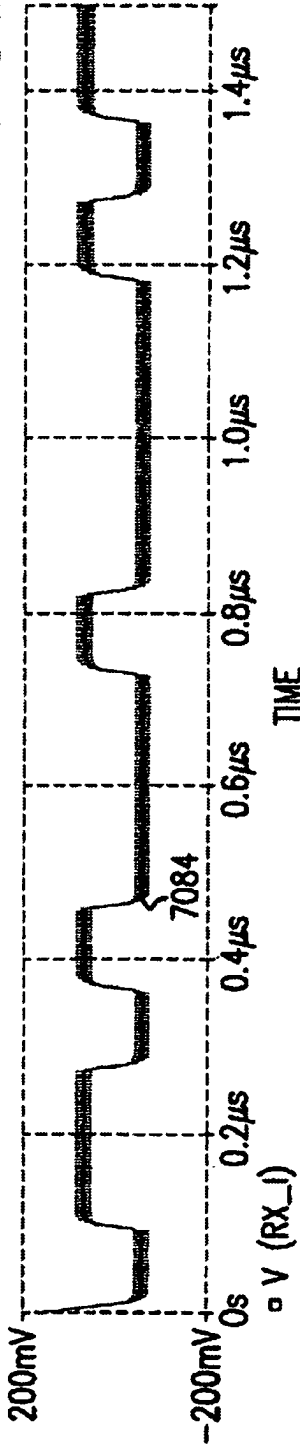


FIG. 70M

IQDEMOD RELATIONSHIP OF Q RECEIVED DATA DIFFERENTIAL SINGLE ENDED AFTER DIFFERENTIAL AMPLIFIER

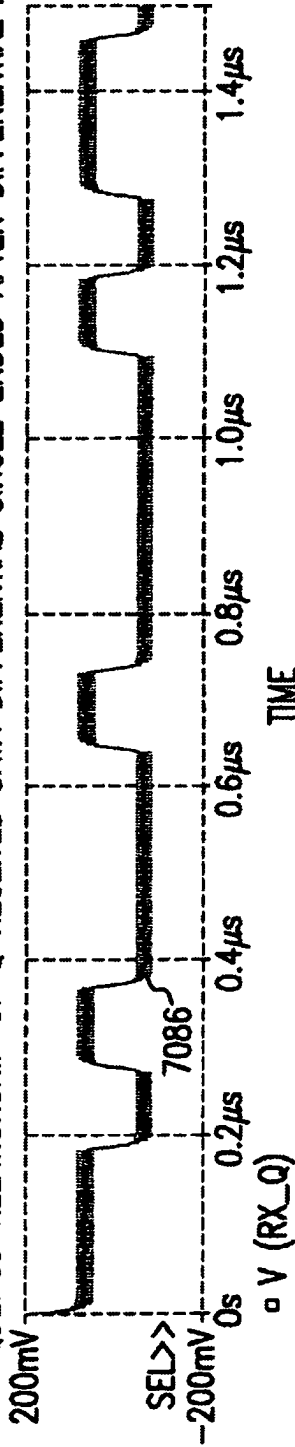


FIG. 70N

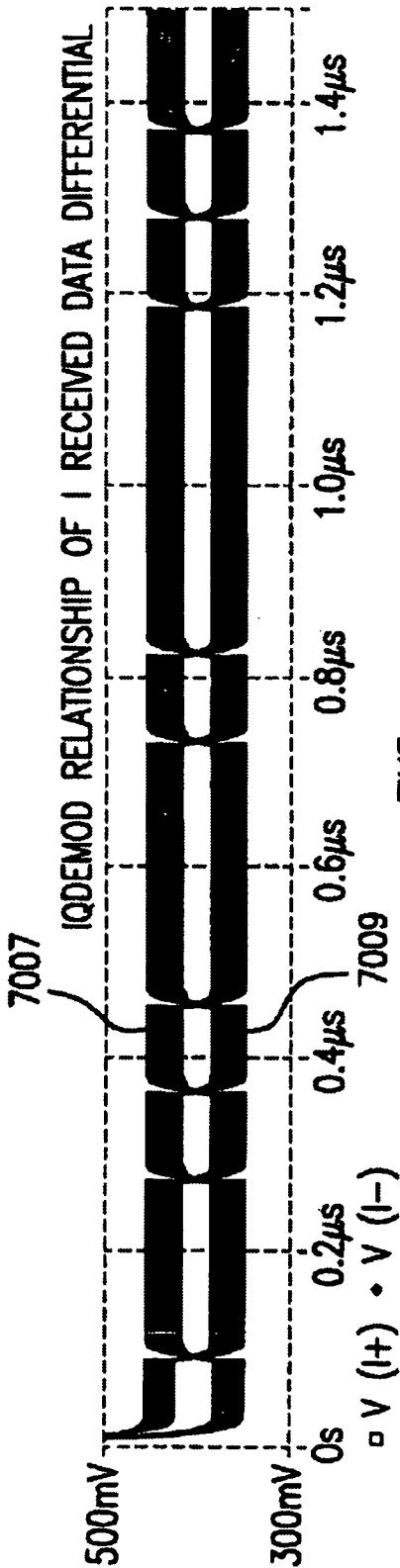


FIG.700

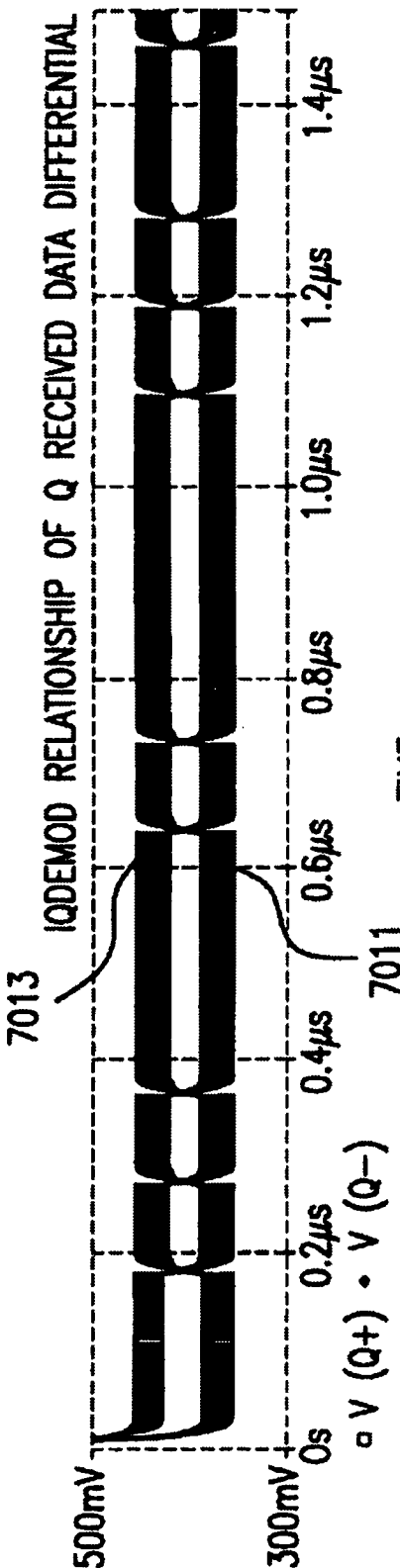


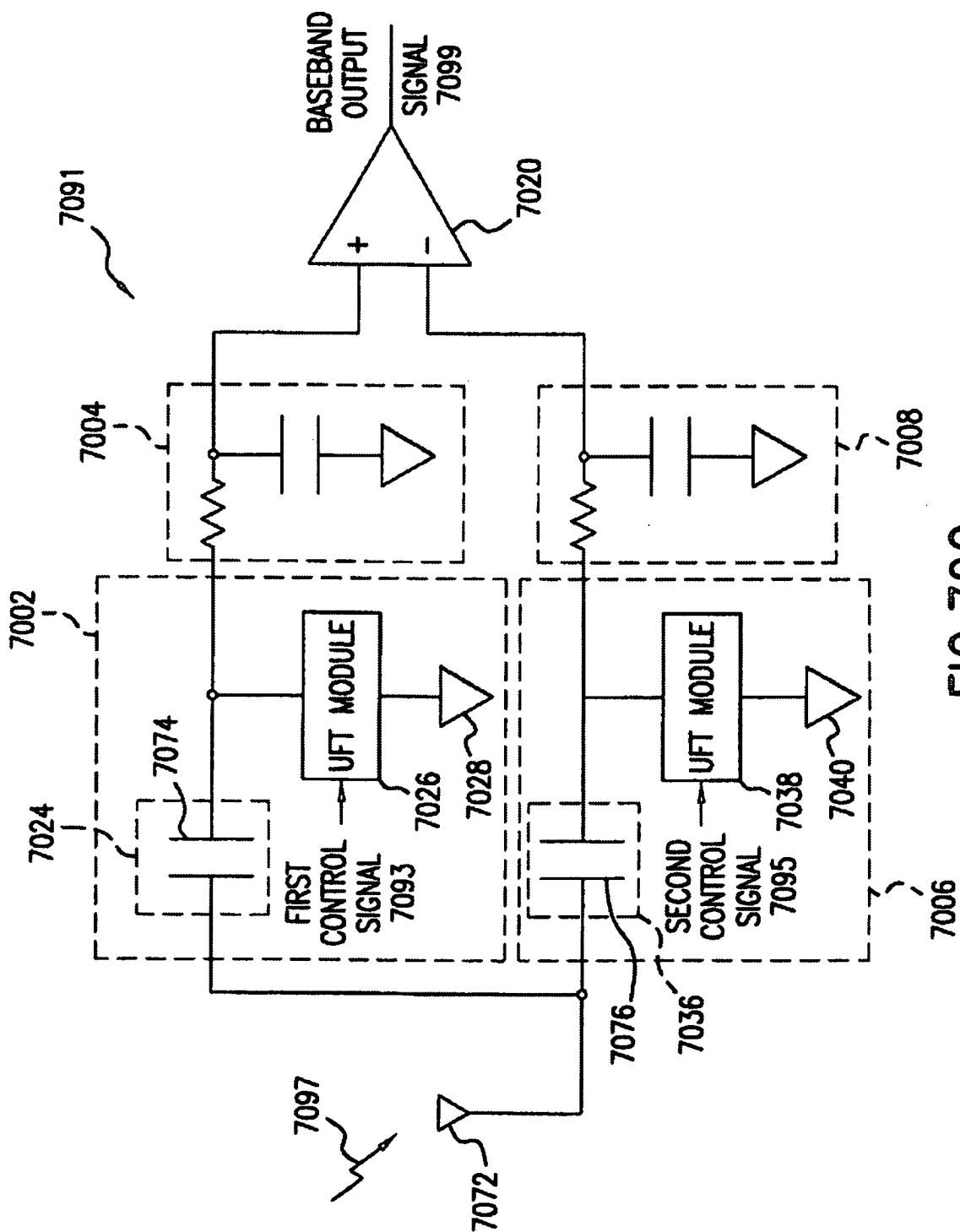
FIG.70P

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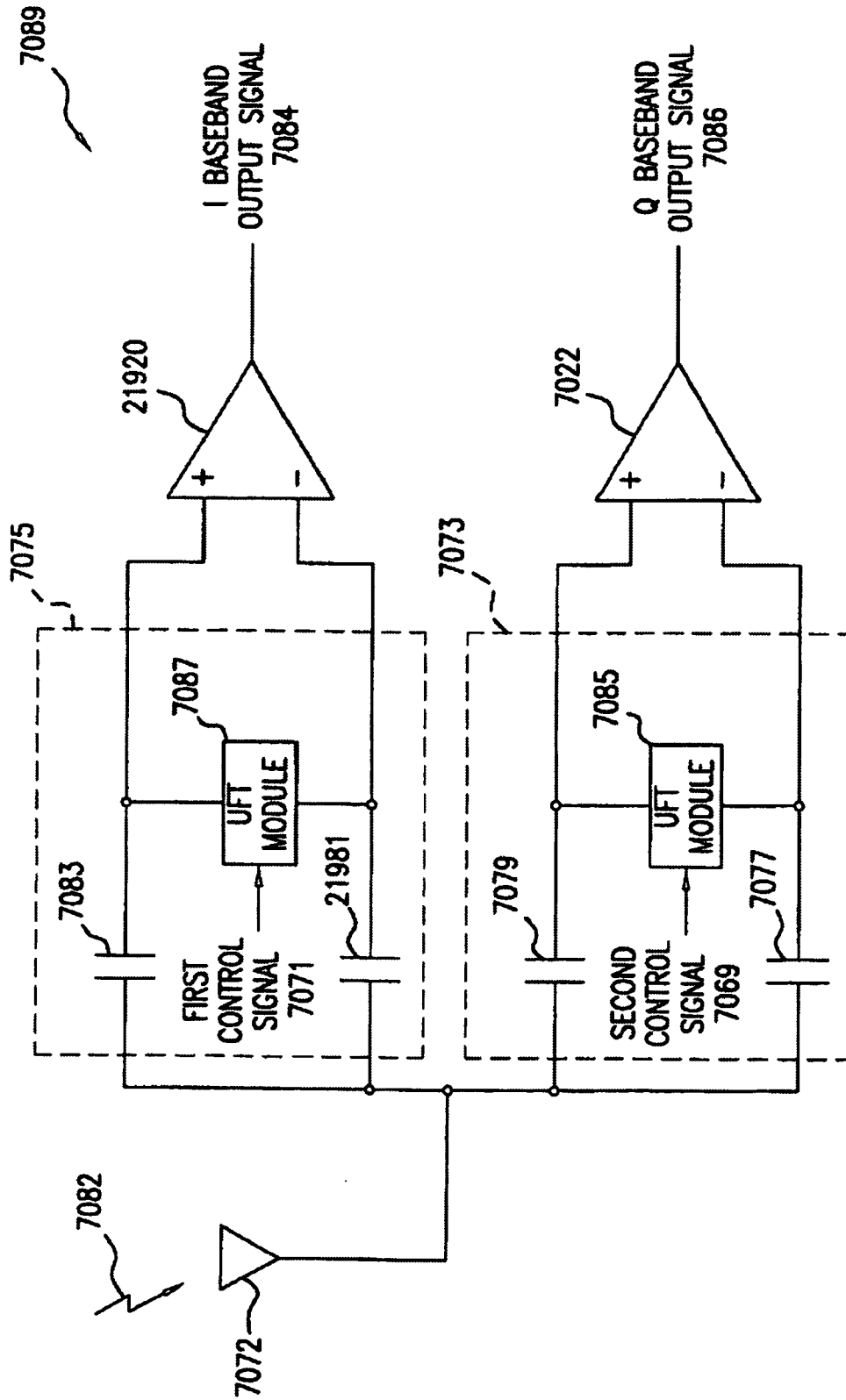


FIG. 70R

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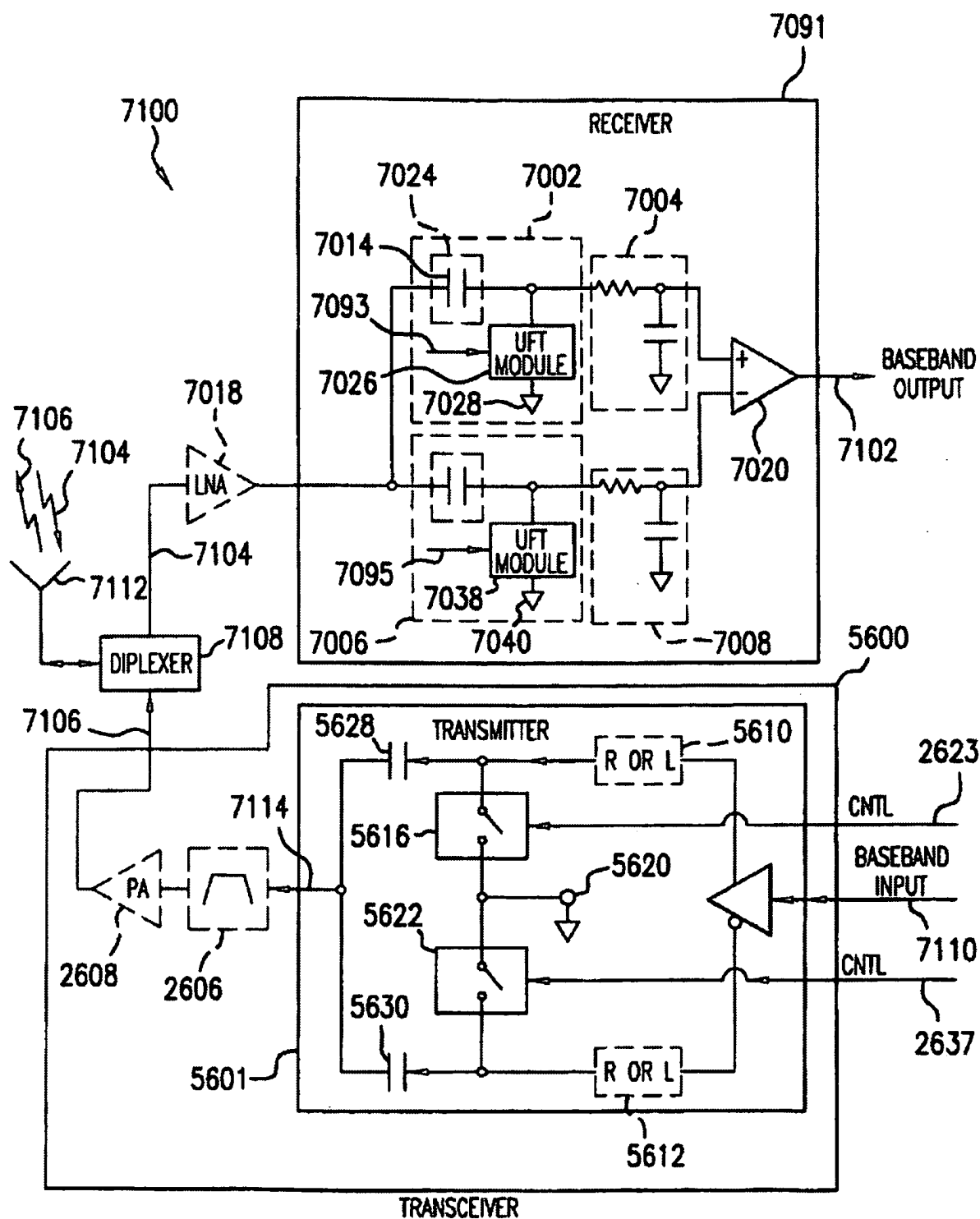


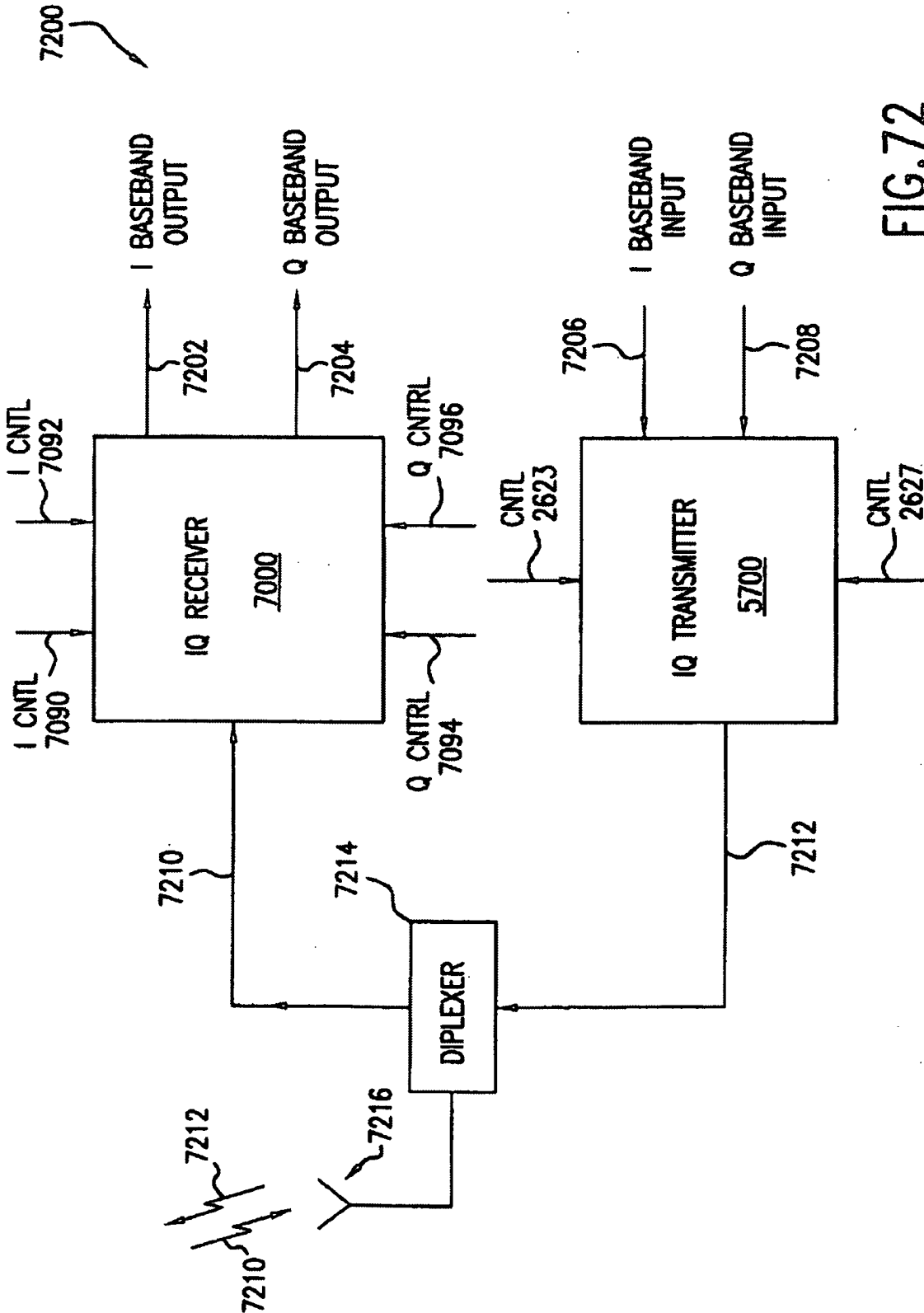
FIG. 71

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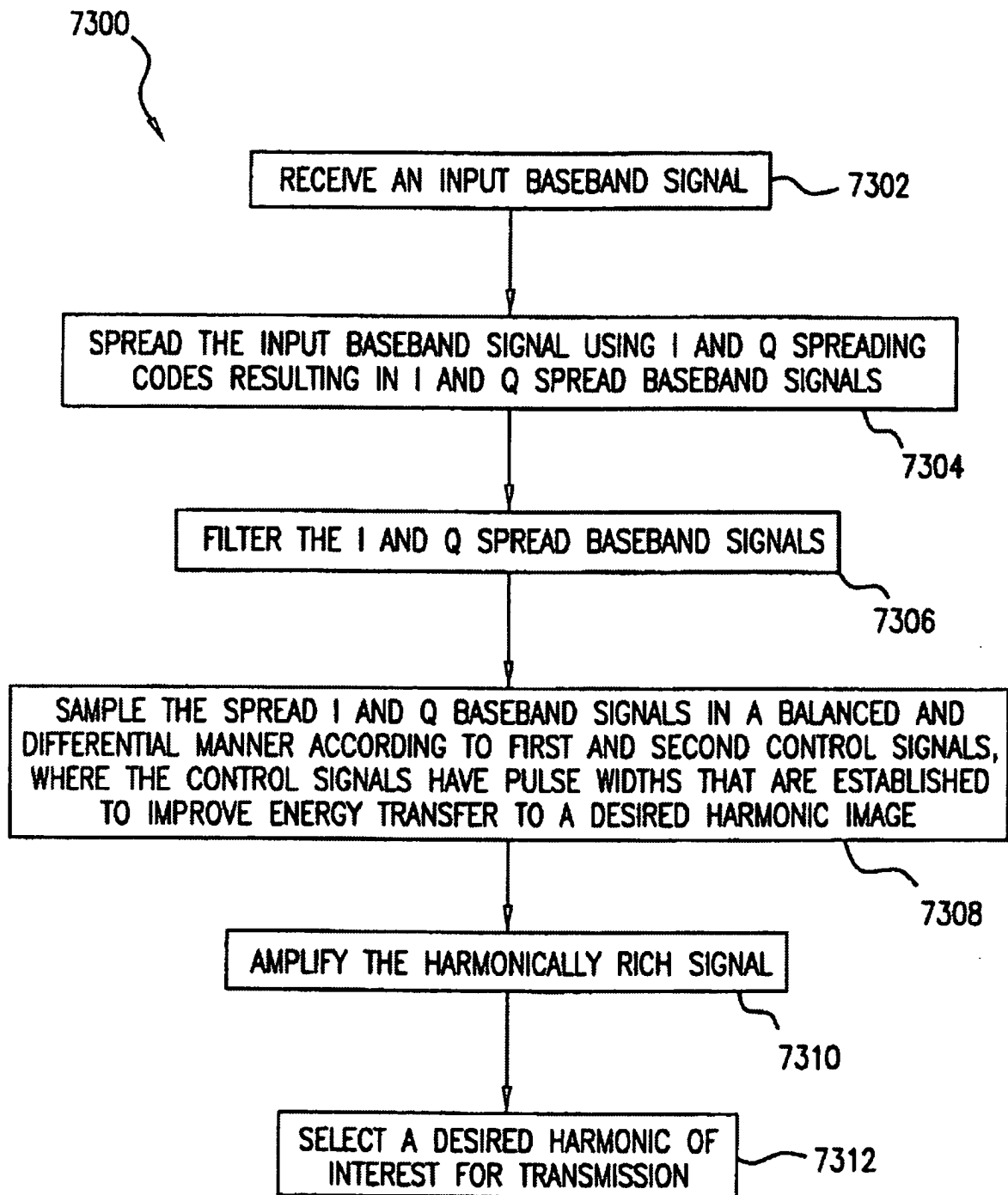


FIG.73

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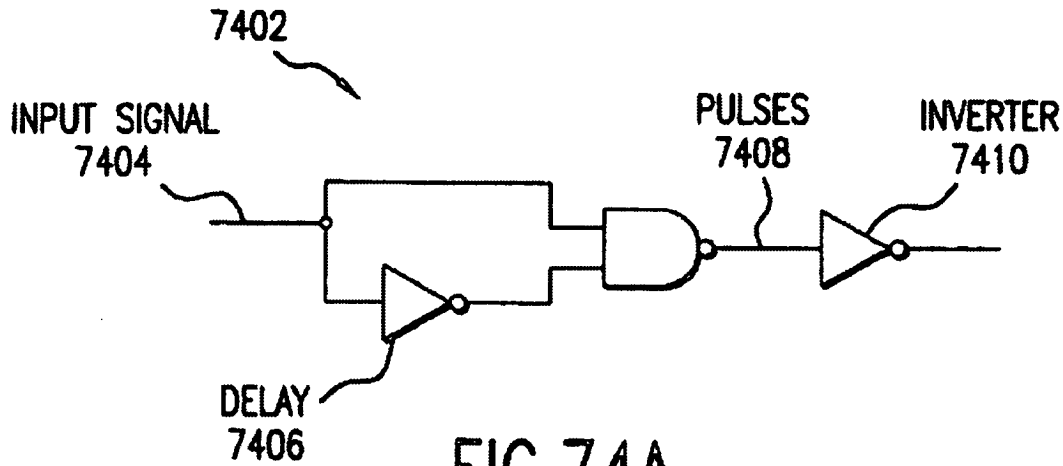


FIG.74A

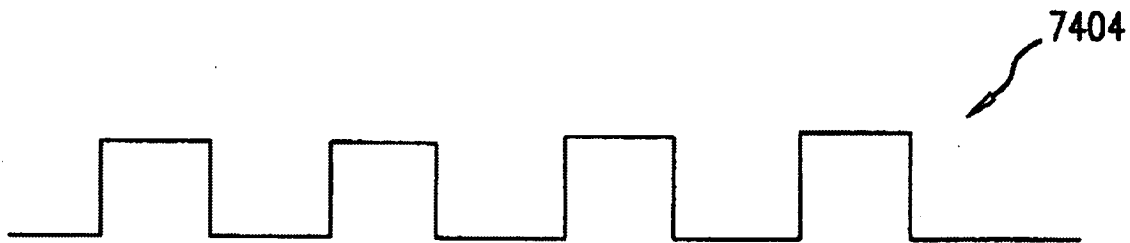


FIG.74B

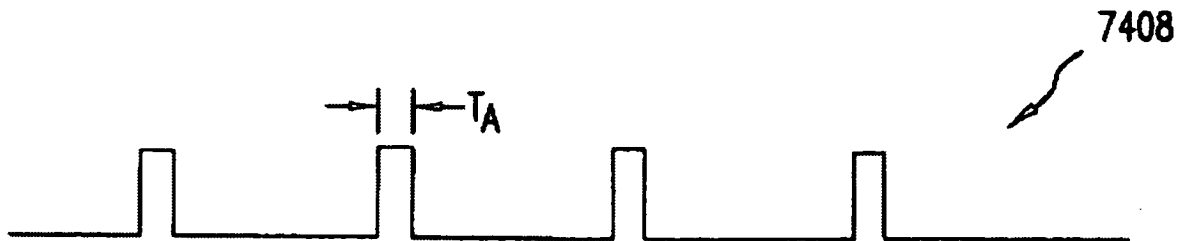


FIG.74C

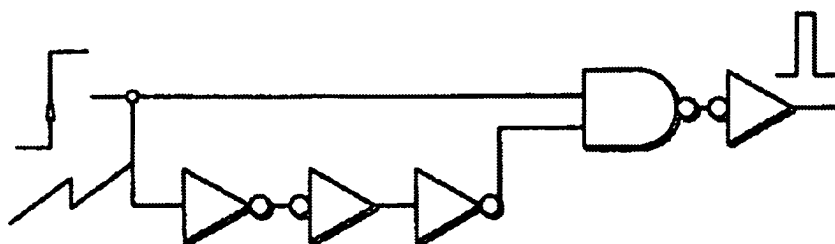
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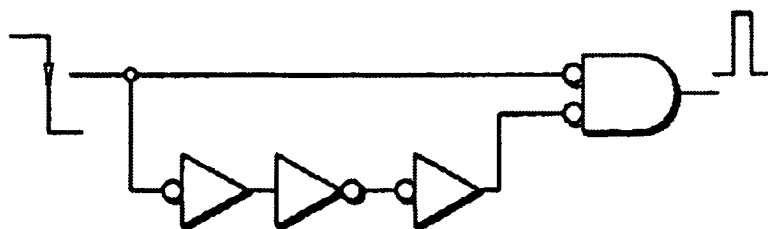
7412



RIISING EDGE PULSE GENERATOR

FIG.74D

7416



FALLING-EDGE PULSE GENERATOR

FIG.74E